SEI INVESTMENTS CO

Form 4

August 01, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL OMB

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obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

1. Name and Address of Reporting Person * MCCARTHY KATHRYN

2. Issuer Name and Ticker or Trading Symbol

Issuer

5. Relationship of Reporting Person(s) to

SEI INVESTMENTS CO [SEIC] 3. Date of Earliest Transaction

(Check all applicable)

ONE FREEDOM VALLEY DRIVE

(Middle)

(Zip)

(First)

(Street)

(State)

(Month/Day/Year)

X_ Director 10% Owner Other (specify Officer (give title below)

07/29/2014

6. Individual or Joint/Group Filing(Check

4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line) _X_ Form filed by One Reporting Person

Form filed by More than One Reporting

Person

Table I. Non Darivative Securities Acquired Disposed of an Panaficially Ov

OAKS, PA 19456

(City)

| (,) | (I _r) | Table 1 - | Non-Deriv | vative Sec | uritie | s Acquire | ea, Disposea of, o | r Beneficially | / Owned |
|--------------------------------|--------------------------------------|---|--|------------|------------------------------|----------------|--|--|---|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transactic Code (Instr. 8) | (Instr. 3, | ispose 4 and (A) or | d of (D) 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| STOCK OPTION | 07/00/0014 | | Code V | Amount | , , | Price | , , , , , , , , , , , , , , , , , , , | D | |
| (RIGHT TO PURCHASE) | 07/29/2014 | | M | 8,000 | A | 21.55 | 72,440 | D | |
| COMMON STOCK | 07/29/2014 | | S | 8,000 | D | \$ 35.91 | 64,440 | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. Number proof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|--------------------------------------|---|--|--|--|--------------------|---|---------------------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amour or Number of Shares |
| STOCK OPTION (RIGHT TO PURCHASE) | \$ 21.55 | 07/29/2014 | | M | 8,000 | 12/14/2005 | 12/14/2014 | common stock | 8,00 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--|---------------|-----------|---------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| ICCARTHY KATHRYN NE FREEDOM VALLEY DRIVE AKS, PA 19456 | X | | | | | | |

Signatures

M Ol O

RUTH MONTGOMERY (ATTOREY IN FACT) 07/29/2014

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Date

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