#### EASTMAN KODAK CO

Form 4

January 04, 2007

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* **BRADDOCK RICHARD S** 

2. Issuer Name and Ticker or Trading Symbol

EASTMAN KODAK CO [EK]

5. Relationship of Reporting Person(s) to

Issuer

(Last)

(City)

(First)

3. Date of Earliest Transaction

\_X\_\_ Director

(Check all applicable)

10% Owner Other (specify

EASTMAN KODAK COMPANY, 343 STATE STREET

(Street)

(State)

(Middle)

(Zip)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

(Month/Day/Year)

01/02/2007

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Officer (give title

below)

ROCHESTER, NY 14650

(City)	(State)	(Zip) Table	e I - Non-D	erivative S	Securi	ties Acqu	uired, Disposed of	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securition(A) or Dis (Instr. 3, 4	sposed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(D)	Price	(Instr. 3 and 4)		
Common Stock	01/02/2007		A	1,536 (1)	Δ	\$ 26.04	31,239 (3)	D	
Common Stock	01/02/2007		A	192 (2)	A	\$ 26.04	31,431 (3)	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of **SEC 1474** information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

### Edgar Filing: EASTMAN KODAK CO - Form 4

# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction of Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		re	6. Date Exerc Expiration D (Month/Day/	ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code V	(A	) (D		Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option (right to buy)	\$ 65.625							<u>(4)</u>	01/02/2010	Common Stock	2,000
Option (right to buy)	\$ 38.7813							<u>(4)</u>	01/01/2011	Common Stock	2,000
Option (right to buy)	\$ 29.1							<u>(4)</u>	01/01/2012	Common Stock	2,000
Option (right to buy)	\$ 36.66							<u>(4)</u>	11/21/2012	Common Stock	2,000
Option (right to buy)	\$ 24.49							<u>(4)</u>	11/18/2013	Common Stock	2,000
Option (right to buy)	\$ 31.71							<u>(4)</u>	12/09/2014	Common Stock	1,500
Option (right to buy) (5)	\$ 24.75							<u>(4)</u>	12/06/2012	Common Stock	1,500
Option (right to buy) (5)	\$ 25.88							<u>(4)</u>	12/11/2013	Common Stock	1,500
Phantom Stock Units	<u>(6)</u>	12/14/2006		A	63.0			<u>(8)</u>	(8)	Common Stock	63.04

8. De

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

BRADDOCK RICHARD S EASTMAN KODAK COMPANY 343 STATE STREET ROCHESTER, NY 14650



### **Signatures**

Patrick M. Sheller, as attorney-in-fact for Richard S. Braddock

01/04/2007

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Grant of shares in partial payment of annual retainer.
- (2) Grant of shares in partial payment of chair retainer.
- (3) Some of these shares are restricted.
- (4) These options vest one year after the date of grant.
- (5) Stock option granted under the 2005 Omnibus Long-Term Compensaton Plan.
- (6) This award converts to common stock on a 1-for-1 basis.
- (7) These units were credited to the reporting person's account as dividend equivalents.
- (8) Phantom stock units do not have exercise dates or expiration dates.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3