

ARENA PHARMACEUTICALS INC

Form 3

December 18, 2015

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB
Number: 3235-0104Expires: January 31,
2005Estimated average
burden hours per
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Mezzino Maurice James

(Last)

(First)

(Middle)

2. Date of Event Requiring
Statement

(Month/Day/Year)

12/16/2015

3. Issuer Name **and** Ticker or Trading Symbol

ARENA PHARMACEUTICALS INC [ARNA]

4. Relationship of Reporting
Person(s) to Issuer5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

SVP, Corporate Development

C/O ARENA
PHARMACEUTICALS,
INC.,Â 6154 NANCY RIDGE
DRIVE

(Street)

SAN DIEGO,Â CAÂ 92121

(City)

(State)

(Zip)

6. Individual or Joint/Group
Filing(Check Applicable Line)
☒ Form filed by One Reporting
Person
☐ Form filed by More than One
Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

8,763

D

Â

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)3. Title and Amount of
Securities Underlying
Derivative Security4. Conversion
or Exercise5. Ownership
Form of6. Nature of Indirect
Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Option (right to buy)	Â (1)	09/15/2021	Common Stock	61,220	\$ 1.48	D	Â
Employee Stock Option (right to buy)	Â (2)	10/03/2021	Common Stock	9,780	\$ 1.48	D	Â
Employee Stock Option (right to buy)	Â (3)	03/19/2022	Common Stock	75,000	\$ 1.81	D	Â
Employee Stock Option (right to buy)	Â (4)	03/05/2020	Common Stock	45,000	\$ 8.54	D	Â
Employee Stock Option (right to buy)	Â (5)	03/03/2021	Common Stock	60,000	\$ 6.8	D	Â
Employee Stock Option (right to buy)	Â (6)	03/11/2022	Common Stock	75,000	\$ 4.47	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				Other
	Director	10% Owner	Officer		
Mezzino Maurice James C/O ARENA PHARMACEUTICALS, INC. 6154 NANCY RIDGE DRIVE SAN DIEGO, CA 92121	Â	Â	Â SVP, Corporate Development		Â

Signatures

Adam S. Chinnock, as
Attorney-in-Fact

12/18/2015

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) The options originally for a total of 65,220 shares vested and became exercisable in four equal annual installments beginning on September 15, 2012.
- (2) The options vested and became exercisable in four equal annual installments beginning on September 15, 2012.
The options vest in four equal annual installments, and are exercisable once vested. The first installment became exercisable on March 19, 2013, the second installment became exercisable on March 19, 2014, the third installment became exercisable on March 19, 2015, and the fourth installment vests on March 19, 2016.
- (3) The options vest in four equal annual installments, and are exercisable once vested. The first installment became exercisable on March 5, 2014, the second installment became exercisable on March 5, 2015, the third installment vests on March 5, 2016, and the fourth installment vests on March 5, 2017.
- (4) The options vest in four equal annual installments, and are exercisable once vested. The first installment became exercisable on March 5, 2014, the second installment became exercisable on March 5, 2015, the third installment vests on March 5, 2016, and the fourth installment vests on March 5, 2017.
- (5)

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The options vest in four equal annual installments, and are exercisable once vested. The first installment became exercisable on March 3, 2015, the second installment vests on March 3, 2016, the third installment vests on March 3, 2017, and the fourth installment vests on March 3, 2018.

(6) The options vest in four equal annual installments beginning on March 11, 2016, and are exercisable once vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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