ATOSSA GENETICS INC
Form 3
May 08, 2014

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
**Washington, D.C. 20549**

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| 1. Name and Address of Reporting Person $^*$ <br> Â Carbonaro Peter J. <br><br> (Last) (First) (Middle) <br><br> C/O ATOSSA GENETICS INC.,Â 1616 EASTLAKE AVE. EAST, SUITE 510 <br><br> (Street) <br><br> SEATTLE,Â WAÂ 98102 <br><br> (City) (State) (Zip) | 2. Date of Event Requiring Statement (Month/Day/Year) <br> 05/06/2014 | 3. Issuer Name **and** Ticker or Trading Symbol <br> ATOSSA GENETICS INC [ATOS] |
|---|---|---|
| | 4. Relationship of Reporting Person(s) to Issuer <br><br> (Check all applicable) <br><br> _____ Director _____ 10% Owner <br> __X__ Officer _____ Other <br> (give title below) (specify below) <br> Sr. Vice President, Operations | 5. If Amendment, Date Original Filed(Month/Day/Year) <br><br><br> 6. Individual or Joint/Group Filing(Check Applicable Line) <br> _X_ Form filed by One Reporting Person <br> ___ Form filed by More than One Reporting Person |

## Table I - Non-Derivative Securities Beneficially Owned

| 1.Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---|---|---|---|
| | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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## Table II - Derivative Securities Beneficially Owned (*e.g.*, puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---|---|---|---|---|---|---|---|
| | Date Exercisable | Expiration Date | Title | Amount or Number of | | | |

| | | | | Shares | | or Indirect (I) (Instr. 5) | |
|---|---|---|---|---|---|---|---|
| Stock Option (right to buy) | Â (1) | 06/03/2023 | Common Stock | 87,000 | $ 4.58 | D | Â |
| Stock Option (right to buy) | Â (1) | 06/03/2023 | Common Stock | 163,000 | $ 4.58 | D | Â |
| Stock Option (right to buy) | Â (2) | 01/08/2024 | Common Stock | 62,500 | $ 2.2 | D | Â |
| Stock Option (right to buy) | Â (2) | 05/06/2024 | Common Stock | 45,000 | $ 1.22 | D | Â |

# Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---|---|---|---|
| | Director | 10% Owner | Officer | Other |
| Carbonaro Peter J. C/O ATOSSA GENETICS INC. 1616 EASTLAKE AVE. EAST, SUITE 510 SEATTLE,Â WAÂ 98102 | Â | Â | Â Sr. Vice President, Operations | Â |

# Signatures

| | |
|---|---|
| /s/ Peter J. Carbonaro | 05/08/2014 |
| **Signature of Reporting Person | Date |

# Explanation of Responses:

*    If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

**   Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1)   The option shall vest on a quarterly basis over four years. The option shall vest with respect to one-quarter of the underlying shares on the first anniversary of the grant date and then vest with respect to 1/16th of the underlying shares quarterly thereafter so that the option is fully vested and exercisable on the fourth anniversary of the grant date.

(2)   The option shall vest on a quarterly basis over the next four years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.
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