CHO ALEX Form 4 December 11, 2018

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box if no longer subject to

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

January 31, Expires: 2005

0.5

OMB APPROVAL

Section 16. Form 4 or Form 5 obligations

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

burden hours per response...

Estimated average

may continue. See Instruction

30(h) of the Investment Company Act of 1940 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * **CHO ALEX** Symbol

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

HP INC [HPQ]

(Last) (First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year)

HP INC, 1501 PAGE MILL ROAD 12/07/2018 Director 10% Owner

(Check all applicable)

_ Other (specify Officer (give title below) President, Personal Systems

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

PALO ALTO, CA 94304

| (City) | (State) | (Zip) Tabl | e I - Non-D | Derivative S | Securi | ities Acqu | iired, Disposed of | f, or Beneficial | y Owned |
|--------------------------------------|---|---|---|--|------------------|-------------|--|---|---------|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned Following | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | Code V | Amount | (A) or (D) | Price | Reported Transaction(s) (Instr. 3 and 4) | (Instr. 4) | |
| Common Stock | 12/07/2018 | | M | 36,154 | A | \$0 | 36,154 | D | |
| Common Stock | 12/07/2018 | | F | 14,209 (1) | D | \$ 22.93 | 21,945 | D | |
| Common Stock | 12/09/2018 | | M | 13,547 | A | \$ 0 | 35,492 | D | |
| Common Stock | 12/09/2018 | | F | 6,718 (2) | D | \$ 22.93 | 28,774 | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amoun Underlying Securiti (Instr. 3 and 4) | |
|--|---|---|---|---|---|---------------|--|--------------------|---|-----------------------------|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amor or Numb of Sh |
| Restricted Stock Units | <u>(3)</u> | 12/07/2018 | | A | 69,778 (4) | | <u>(4)</u> | <u>(4)</u> | Common Stock | 69,7 |
| Performance Adjusted Restricted Stock Units | (5) | 12/07/2018 | | A | 96,210 (<u>5)</u> | | <u>(5)</u> | <u>(5)</u> | Common Stock | 96,2 |
| Restricted Stock Units | <u>(3)</u> | 12/07/2018 | | M | | 20,683 (6) | <u>(6)</u> | <u>(6)</u> | Common Stock | 20,6 |
| Restricted Stock Units | <u>(3)</u> | 12/07/2018 | | M | | 15,471 (7) | <u>(7)</u> | <u>(7)</u> | Common Stock | 15,4 |
| Restricted Stock Units | <u>(3)</u> | 12/09/2018 | | M | | 13,547 | (8) | (8) | Common Stock | 13,5 |

Reporting Owners

ALEX

| Reporting Owner Name / Address | Relationships | | | | | | |
|--|---------------|----------------------------|-----------------------------|-------|--|--|--|
| Topolong of nor items (items of | Director | Director 10% Owner Officer | | Other | | | |
| CHO ALEX HP INC 1501 PAGE MILL ROAD PALO ALTO, CA 94304 | | | President, Personal Systems | | | | |
| Signatures | | | | | | | |
| /s/ Katie Colendich as Attorney | -in-Fact | for CHO | 12/11/2019 | | | | |

**Signature of Reporting Person

2 Reporting Owners

12/11/2018

Date

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Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 14,209 shares were withheld by HP to satisfy tax withholding on vesting of restricted stock units ("RSUs").
- (2) 6,718 shares were withheld by HP to satisfy tax withholding on vesting of RSUs.
- (3) Each RSU represents a contingent right to receive one share of HP common stock.
- (4) On 12/7/2018, the reporting person was granted 69,778 RSUs, one-third of which vest annually over three years on the anniversary of the grant date. Dividend equivalent rights accrue with respect to these RSUs when and as dividends are paid on HP common stock.
 - On 12/7/2018, the reporting person was granted 96,210 Performance Adjusted Restricted Stock Units ("PARSUs"), 50% of which will be earned on each of 12/7/2020 and 12/7/2021 subject to certain relative total stockholder return conditions being met as of those dates, and
- (5) 50% of which will be earned on each of 12/7/2019, 12/7/2020 and 12/7/2021 subject to certain earnings per share conditions being met as of those dates. A portion of the earned units will vest on each of 12/7/2020 and 12/7/2021. Dividend equivalent rights accrue with respect to these PARSUs when and as dividends are paid on HP common stock.
- On 12/7/2016, the reporting person was granted 58,824 RSUs, 19,608 of which vested on each of 12/7/2017 and 12/7/2018, and of which 19,608 are scheduled to vest on 12/7/2019. Dividend equivalent rights accrue with respect to these RSUs when and as dividends are paid on HP common stock. The number of derivative securities in column 5 includes 1,075 vested dividend equivalent rights.
- On 12/7/2017, the reporting person was granted 45,303 RSUs, 15,101 of which vested on 12/7/2018 and of which 15,101 are scheduled to vest on each of 12/7/2019 and 12/7/2020. Dividend equivalent rights accrue with respect to these RSUs when and as dividends are paid on HP common stock. The number of derivative securities in column 5 includes 370 vested dividend equivalent rights.
- On 12/9/2015, the reporting person was granted 37,159 RSUs, 12,386 of which vested on each of 12/9/2016 and 12/9/2017, and of which 12,387 vested on 12/9/2018. Dividend equivalent rights accrue with respect to these RSUs when and as dividends are paid on HP common stock. The number of derivative securities in column 5 includes 1,160 vested dividend equivalent rights.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.