CHUY'S HOLDINGS, INC.

Form 4

March 09, 2017

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

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**OMB APPROVAL** 

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

(City)

1. Name and Address of Reporting Person \* Zapp John A.

(First)

(Street)

(State)

2. Issuer Name and Ticker or Trading

Issuer

5. Relationship of Reporting Person(s) to

Symbol

CHUY'S HOLDINGS, INC.

[CHUY]

(Check all applicable)

(Middle)

3. Date of Earliest Transaction

4. If Amendment, Date Original

X\_ Director 10% Owner Other (specify Officer (give title below)

(Month/Day/Year) 03/07/2017

1623 TOOMEY ROAD

(Zip)

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting Person

Table I. Non Derivative Securities Acquired Disposed of an Reposicially Ou

AUSTIN, TX 78704

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1.Title of	2. Transaction Date	3.	4. Securities			5. Amount of	6. Ownership	7. Nature of	
Security (Instr. 3)	(Month/Day/Year)	Execution Date, if any	Transactio Code	sactionAcquired (A) or  Disposed of (D)			Securities Beneficially	Form: Direct (D) or	Indirect Beneficial
(msu. 3)		(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5)			Owned	Indirect (I)	Ownership
		•					Following	(Instr. 4)	(Instr. 4)
					(A)		Reported Transaction(s)		
					or	ъ.	(Instr. 3 and 4)		
C			Code V	Amount	(D)	Price			
Common Stock	03/07/2017		M	215	A	$\frac{\$ 0}{(1)}$	172,528	D	
Common Stock	03/07/2017		M	433	A	\$ 0 (1)	172,961	D	
Common Stock	03/07/2017		M	289	A	\$ 0 (1)	173,250	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control

(9-02)

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	Expiration I	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	(1)	03/07/2017		M	215	<u>(2)</u>	(2)	Common Stock	215	\$ 0
Restricted Stock Units	<u>(1)</u>	03/07/2017		M	433	(3)	(3)	Common Stock	433	\$ 0
Restricted Stock Units	(1)	03/07/2017		M	289	(4)	<u>(4)</u>	Common Stock	289	\$ 0

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer Other				
Zapp John A. 1623 TOOMEY ROAD AUSTIN, TX 78704	X						

# **Signatures**

/s/ Jon Howie,
attorney-in-fact

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock units convert to common stock on a one-for-one basis.
- (2) The restricted stock units vest in four equal annual installments with the first installment vesting on March 4, 2015. Settlement will occur no later than 30 days after such date, subject to certain exceptions in the Restricted Stock Unit Agreement between the Issuer and the

Reporting Owners 2

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reporting person.

- The restricted stock units vest in four equal annual installments with the first installment vesting on March 5, 2016. Settlement will occur (3) no later than 30 days after such date, subject to certain exceptions in the Restricted Stock Unit Agreement between the Issuer and the reporting person.
- The restricted stock units vest in four equal annual installments with the first installment vesting on March 4, 2017. Settlement will occur no later than 30 days after such date, subject to certain exceptions in the Restricted Stock Unit Agreement between the Issuer and the reporting person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.