

NEWLINK GENETICS CORP  
Form SC 13D/A  
October 06, 2017

SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, DC 20549  
SCHEDULE 13D

Under the Securities Exchange Act of 1934  
(Amendment No.2)\*

NewLink Genetics Corporation  
(Name of Issuer)

Common Stock  
(Title of Class of Securities)

651511 107  
(CUSIP Number)

Stine Seed Farm, Inc.  
22555 Laredo Trail, Adel, Iowa 50003

Attn: Jerald L. Reichling  
515-677-2605

(Name, Address and Telephone Number of Person  
Authorized to Receive Notices and Communications)

October 6, 2017  
(Date of Event Which Requires Filing of this Statement)

If the filing person has previously filed a statement on Schedule 13G to report the acquisition that is the subject of this Schedule 13D, and is filing this schedule because of §§240.13d-1(e), 240.13d-1(f) or 240.13d-1(g), check the following box. "

Note: Schedules filed in paper format shall include a signed original and five copies of the schedule, including all exhibits. See Rule 13d-7 for other parties to whom copies are to be sent.

\* The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter disclosures provided in a prior cover page.

The information required on the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

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- Name of Reporting  
1. Persons  
Stine Seed Farm, Inc.  
Check the  
Appropriate  
Box if a  
2. Member  
of a  
Group  
(see  
instructions)  
(a) ..  
(b) ..  
3. SEC USE ONLY  
Source of Funds (see  
4. instructions)  
WC  
Check if  
Disclosure  
of Legal  
Proceedings  
5. Is ..  
Required  
Pursuant  
to Items  
2(d) or  
2(e)  
Citizenship or Place  
of Organization  
6. Iowa, United States of  
America

Number of 7. Shares	Sole Voting Power
Beneficially Owned by Each 8. Reporting Person With	7,857,732 Shared Voting Power
9.	0 Sole Dispositive Power
10.	7,857,732 Shared Dispositive Power

- 0  
 Aggregate Amount  
 Beneficially Owned  
 11. by Each Reporting  
 Person  
 7,857,732  
 Check if  
 the  
 Aggregate  
 Amount  
 in Row  
 12. (11) ..  
 Excludes  
 Certain  
 Shares  
 (see  
 instructions)  
 Percent of Class  
 13. Represented by  
 Amount in Row 11  
 21.2%  
 Type of Reporting  
 Person (see  
 14. instructions)  
 CO (1)

This percentage is calculated based upon 37,077,159 shares of the Issuer's common stock outstanding as of October 6, 2017 following the closing of the underwritten public offering as reported by the Issuer in the Current Report on Form 8-K and Prospectus Supplement filed pursuant to Rule 424(b)(4) (No. 333-171300), each filed with the Securities and Exchange Commission on October 4, 2017.

This Amendment No. 2 to Schedule 13D (this "Amendment No. 2") relates to, amends and supplements where indicated the Statement on Schedule 13D originally filed with the Securities and Exchange Commission on November 22, 2011, as amended on February 14, 2014, (as amended, the "Original Statement") by and on behalf of Stine Seed Farm, Inc. ("Stine" or the "Reporting Person") and relates to the common stock, \$0.01 par value per share ("Common Stock"), of NewLink Genetics Corporation, a Delaware corporation (the "Issuer").

Capitalized terms used herein but not defined herein shall have the meanings ascribed to them in the Original Statement. This Amendment No. 2 amends and supplements the Original Statement as specifically set forth herein. Except as set forth below, all previous Items in the Original Statement remain unchanged.

This Amendment No. 2 is being filed to amend Items 3, 4 and 5 as set forth below and to update the number of shares of Common Stock beneficially held by the Reporting Person as a result of the transaction described below.

Item 3. Source and Amount of Funds or Other Consideration.

Item 3 of the Original Statement is hereby supplemented by adding the following paragraph:

On October 6, 2017, in connection with the closing of an underwritten public offering (the "Offering") of shares of the Common Stock of the Issuer, the Reporting Person purchased 780,487 shares of Common Stock at the public offering price of \$10.25 per share for an aggregate purchase price of \$7,999,992. The funds used by the Reporting Person to acquire the shares described herein were from the Reporting Person's working capital. As of the date hereof, the total number of shares of Common Stock of the Issuer held by the Reporting Person is 7,857,732 shares.

Item 4. Purpose of Transaction.

Item 4 of the Original Statement is hereby supplemented by adding the following paragraph:

On October 6, 2017, the Reporting Person purchased 780,487 shares of Common Stock of the Issuer in the Offering described above.

Item 5. Interest in Securities of the Issuer.

Item 5 of the Original Statement is hereby amended and restated as follows.

The following information with respect to the ownership of the Common Stock of the Issuer by the Reporting Person filing this Schedule 13D is provided as of October 6, 2017:

- (a) The Reporting Person beneficially owns 7,857,732 shares of the Common Stock of the Issuer, or approximately 21.2% of the Issuer's outstanding Common Stock.
  - (b) The Reporting Person has sole power to vote and dispose of the securities of the Issuer held by it.
  - (c) The Reporting Person has not effected any other transactions in the Issuer's Common Stock within past sixty days, except as provided herein.
  - (d) Not applicable.
  - (e) Not applicable.
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SIGNATURE

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Date: October 6, 2017 Stine Seed Farm, Inc.

By: /s/ Jerald L. Reichling  
Jerald L. Reichling  
Chief Financial Officer