Lehman Daniel L. Form 4 May 04, 2018

FORM 4

OMB APPROVAL

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

January 31, Expires: 2005 Estimated average

Form 5 obligations

SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

burden hours per response... 0.5

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Lehman Daniel L.

2. Issuer Name and Ticker or Trading Symbol

Capitol Federal Financial Inc

5. Relationship of Reporting Person(s) to Issuer

[CFFN]

(Last) (First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year) 05/02/2018

700 SOUTH KANSAS AVENUE

(Street)

(State)

4. If Amendment, Date Original

Filed(Month/Day/Year)

Director 10% Owner Other (specify

(Check all applicable)

X_ Officer (give title below) below) **Executive Vice President**

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

TOPEKA, KS 66603

(City)

(City)	(State) (2	Zip) Table	e I - Non-D	erivative Securities Acc	quired, Disposed o	f, or Beneficial	ly Owned
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securities Acquired	5. Amount of	6. Ownership	7. Nature o
Security	(Month/Day/Year)	Execution Date, if	Transactio	n(A) or Disposed of	Securities	Form: Direct	Indirect
(Instr. 3)		any	Code	(D)	Beneficially	(D) or	Beneficial
		(Month/Day/Year)	(Instr 8)	(Instr. 3, 4 and 5)	Owned	Indirect (I)	Ownership

(Zip)

4. Securities Acquired Transaction(A) or Disposed of Code (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of 6. Ownership 7. Nature of Securities Form: Direct Indirect Beneficially (D) or Beneficial Indirect (I) Ownership Owned Following (Instr. 4) (Instr. 4)

Reported (A) Transaction(s) (Instr. 3 and 4)

V Amount (D) Price

CFFN common

stock **CFFN** common

stock

05/02/2018

P 4,200 21,002

38,628

D

I

ESOP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

De Sec

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Transaction Date 3A. Deemed 4. 5. 6. Date Exercisable and Onth/Day/Year) Execution Date, if any Code of (Month/Day/Year) (Instr. 8) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8 1 3 (
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
CFFN phantom stock 2015	\$ 12.56					12/31/2018(1)	12/31/2018	CFFN common stock	1,004	
CFFN Phantom Stock 2016	\$ 16.46					12/31/2019	12/31/2019	CFFN common stock	971	
CFFN Phantom Stock 2017	\$ 13.41					01/02/2021	01/02/2021	CFFN common stock	3,154	

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Lehman Daniel L.

700 SOUTH KANSAS AVENUE Executive Vice President

TOPEKA, KS 66603

Signatures

Cara J. Puglisi, Power of 05/03/2018

Attorney

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1)

Reporting Owners 2

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Phantom stock units are acquired under the Company's Deferred Incentive Bonus Plan. The units are deemed sold and settled in cash three years from date of acquisition.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.