Giesige Charles R Form 4 May 21, 2013

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 3235-0287

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obligations

may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

Common

Stock

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Giesige Charles R Issuer Symbol COLUMBUS MCKINNON CORP (Check all applicable) [CMCO] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner X\_ Officer (give title Other (specify (Month/Day/Year) below) 140 JOHN JAMES AUDUBON 05/17/2013 VP Corporate Development **PARKWAY** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting AMHERST, NY 14228 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 2. Transaction Date 2A. Deemed 4. Securities Acquired 5. Amount of 6. Ownership 7. Nature of 1. Title of 3. Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of Securities Form: Direct Indirect (Instr. 3) Code (D) Beneficially (D) or Beneficial (Instr. 8) (Instr. 3, 4 and 5) Indirect (I) Ownership (Month/Day/Year) Owned (Instr. 4) **Following** (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Price Code V Amount (D) Common  $13,745 \frac{(1)}{2}$ D Stock Common 05/17/2013 F 348 <sup>(2)</sup> D 13,397 (1) D Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of **SEC 1474** information contained in this form are not (9-02)required to respond unless the form

 $737.9507 \frac{(3)}{2}$ 

D

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		e	7. Title and A Underlying S (Instr. 3 and	Securities
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Non-Qualified Stock Options (Right to Buy)	\$ 28.45					05/19/2009	05/18/2018	Common Stock	526
Incentive Stock Options (Right to Buy)	\$ 19.15					01/19/2010	07/31/2016	Common Stock	10,000
Non-Qualified Stock Options (Right to Buy)	\$ 13.27					05/18/2010	05/17/2019	Common Stock	8,525
Non-Qualified Stock Options (Right to Buy)	\$ 18.24					05/17/2011	05/16/2020	Common Stock	6,264
Non-Qualified Stock Options (Right to Buy)	\$ 19.5					05/23/2012	05/22/2021	Common Stock	5,736

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
<b>FS</b>	Director	10% Owner	Officer	Other		
Giesige Charles R 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228			VP Corporate Development			

# **Signatures**

Charles R.	05/21/2013
Giesige	03/21/2013

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\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - Includes 9,054 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 1,136 shares become fully vested and non-forfeitable 5/18/2013; 92 shares become fully vested and non-forfeitable 5/19/2013; 824 shares become fully vested and
- (1) non-forfeitable on 5/17/2014; 2,280 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/23/2013; and the remaining 4,722 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/21/2013, if reporting person remains an employee of issuer.
- (2) Represents shares withheld to satisfy tax withholding obligation upon vesting of 824 restricted stock units on 5/17/2013.
- (3) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
- (4) Exercisable 25% per year for four years beginning 5/19/2009, if reporting person remains an employee of issuer.
- (5) All exercisable, subject to IRS limitations.
- (6) Exercisable 25% per year for four years beginning 5/18/2010, if reporting person remains an employee of issuer.
- (7) Exercisable 25% per year for four years beginning 5/17/2011, if reporting person remains an employee of issuer.
- (8) Exercisable 25% per year for four years beginning 5/23/2012, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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