#### ARRIS GROUP INC

Form 4

January 06, 2016

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

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Check this box

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

Common

stock (1)

01/04/2016

(Print or Type Responses)

1. Name and A McClelland	Symbol	2. Issuer Name and Ticker or Trading Symbol ARRIS GROUP INC [ARRS]			5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (M	Middle) 3. Date of	3. Date of Earliest Transaction			(Check all applicable)		
3871 LAKE		(Month/Day/Year) 01/04/2016			Director Officer (give below) PRES, NETWO	title Other below)		
	4. If Am	4. If Amendment, Date Original			6. Individual or Joint/Group Filing(Check			
SUWANEE	Filed(Mo	Filed(Month/Day/Year)			Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip) Tab	le I - Non-E	Derivative Se	ecurities Acq	uired, Disposed of	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	4. Securitie on(A) or Disp (Instr. 3, 4	osed of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)

Code V

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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D

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Amount

167,572 D

Price

\$0

0

#### Edgar Filing: ARRIS GROUP INC - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of TransactionDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. I De Sec (In	
				Code V	/ (A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted stock (T) 130329 (1) (2)	\$ 0	01/04/2016		D		5,678	(3)	<u>(4)</u>	Common Stock	5,678	
Restricted stock (T) 130712 (1) (2)	\$ 0	01/04/2016		D		16,820	(3)	<u>(4)</u>	Common Stock	16,820	
Restricted stock (T) 140327 (1) (2)	\$ 0	01/04/2016		D		10,877	(3)	<u>(4)</u>	Common Stock	10,877	
Restricted stock (T) 150330 (1) (2)	\$ 0	01/04/2016		D		15,476	(3)	<u>(4)</u>	Common Stock	15,476	
Restricted stock (P) 140327 (1) (2)	\$ 0	01/04/2016		D		43,510	<u>(5)</u>	<u>(4)</u>	Common Stock	43,510	
Restricted stock (P) 150330 (1) (2)	\$ 0	01/04/2016		D		41,270	<u>(5)</u>	<u>(4)</u>	Common Stock	41,270	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
• 0	Director	10% Owner	Officer	Other		
McClelland Bruce William						
3871 LAKEFIELD DRIVE			PRES, NETWORK AND CLOUD GROUP			
SUWANEE, GA 30024						

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### **Signatures**

/s/ Lawrence A. Margolis, Attorney-in-Fact

01/06/2016

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - On 4 January 2016, ARRIS Group, Inc. ("ARRIS") and Pace plc ("Pace") consummated a transaction (the "Combination") pursuant to which each of ARRIS and Pace was acquired by a new English holding company, ARRIS International plc ("New ARRIS"). Under the terms of the Combination (a) New ARRIS acquired Pace pursuant to a scheme of arrangement under UK law and (b) a newly formed
- (1) subsidiary of New ARRIS merged with and into ARRIS, with ARRIS as the surviving corporation in the merger (the "Merger") and an indirect wholly-owned subsidiary of New ARRIS. At the effective time of the Merger, each ARRIS common share was cancelled and converted into the right to receive one New ARRIS ordinary share. The number here represents shares of ARRIS disposed of pursuant to the Merger.
- (2) This restricted stock award grant was previously reported on Table I. After further analysis, it was determined that reporting this holding on Table II more accurately reflected the type and intent of the award grant.
- (3) Represents a restricted stock grant which vests in four equal annual installments beginning one year from the grant date.
- (4) This restricted stock grant does not have a date of expiration, but will vest pursuant to the predetermined vesting schedule.
- Represents a restricted stock grant that is performance-based and can vest between 0 and 200% of the grant amount based on the
- (5) Company's shareholder return as compared to the NASDAQ Composite shareholder return over a three-year measurement period. Amount shown reflects maximum vesting of the award at the 200% level.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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