CORNERSTONE TOTAL RETURN FUND INC

Form N-PX August 18, 2017

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, DC 20549

FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED MANAGEMENT INVESTMENT COMPANY

Investment Company Act file number 811-02363

Cornerstone Total Return Fund, Inc.

(Exact name of registrant as specified in charter)

48 Wall Street New York, NY 10005

(Address of principal executive offices) (Zip code)

Frank J. Maresca

AST Fund Solutions, LLC, 48 Wall Street, 22nd Floor, New York, NY 10005

(Name and address of agent for service)

Registrant's telephone number, including area code: (866) 668-6558

Date of fiscal year end: December 31

Date of reporting period: July 1, 2016 - June 30, 2017

ITEM 1. PROXY VOTING RECORD.

| Company Name | Ticker | CUSIP | Meeting Date | Proposal Number | Proposal Description | Proponer |
|---------------------|--------|-----------|-----------------|--------------------|--|----------|
| 3M Company | MMM | 88579Y101 | 5/9/2017 | 1 | Elect Sondra L. Barbour | Mgmt |
| 3M Company | MMM | 88579Y101 | 5/9/2017 | 2 | Elect Thomas K. Brown | Mgmt |
| 3M Company | MMM | 88579Y101 | 5/9/2017 | 3 | Elect Vance D. Coffman | Mgmt |
| 3M Company | MMM | 88579Y101 | 5/9/2017 | 4 | Elect David B. Dillon | Mgmt |
| 3M Company | MMM | 88579Y101 | 5/9/2017 | 5 | Elect Michael L. Eskew | Mgmt |
| 3M Company | MMM | 88579Y101 | 5/9/2017 | 6 | Elect Herbert L. Henkel | Mgmt |
| 3M Company | MMM | 88579Y101 | 5/9/2017 | 7 | Elect Muhtar Kent | Mgmt |
| 3M Company | MMM | 88579Y101 | 5/9/2017 | 8 | Elect Edward M. Liddy | Mgmt |
| 3M Company | MMM | 88579Y101 | 5/9/2017 | 9 | Elect Gregory R. Page | Mgmt |
| 3M Company | MMM | 88579Y101 | 5/9/2017 | 10 | Elect Inge G. Thulin | Mgmt |
| 3M Company | MMM | 88579Y101 | 5/9/2017 | 11 | Elect Patricia A. Woertz | Mgmt |
| 3M Company | MMM | 88579Y101 | 5/9/2017 | 12 | Ratification of Auditor | Mgmt |
| 3M Company | MMM | 88579Y101 | 5/9/2017 | 13 | Advisory Vote on Executive Compensation | Mgmt |
| 3M Company | MMM | 88579Y101 | 5/9/2017 | 14 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| 3M Company | MMM | 88579Y101 | 5/9/2017 | 15 | Shareholder Proposal Regarding Holy Land Principles | ShrHold |
| Abbott Laboratories | ABT | 002824100 | 4/28/2017 | 1.1 | Elect Robert J. Alpern | Mgmt |
| Abbott Laboratories | ABT | 002824100 | 4/28/2017 | 1.2 | Elect Roxanne S. Austin | Mgmt |
| Abbott Laboratories | ABT | 002824100 | 4/28/2017 | 1.3 | Elect Sally E. Blount | Mgmt |
| Abbott Laboratories | ABT | 002824100 | 4/28/2017 | 1.4 | Elect Edward M. Liddy | Mgmt |
| Abbott Laboratories | ABT | 002824100 | 4/28/2017 | 1.5 | Elect Nancy McKinstry | Mgmt |
| Abbott Laboratories | ABT | 002824100 | 4/28/2017 | 1.6 | Elect Phebe N. Novakovic | Mgmt |
| Abbott Laboratories | ABT | 002824100 | 4/28/2017 | 1.7 | Elect William A. Osborn | Mgmt |
| Abbott Laboratories | ABT | 002824100 | 4/28/2017 | 1.8 | Elect Samuel C. Scott III | Mgmt |
| Abbott Laboratories | ABT | 002824100 | 4/28/2017 | 1.9 | Elect Daniel J. Starks | Mgmt |
| Abbott Laboratories | ABT | 002824100 | 4/28/2017 | 1.10 | Elect Glenn F. Tilton | Mgmt |
| Abbott Laboratories | ABT | 002824100 | 4/28/2017 | 1.11 | Elect Miles D. White | Mgmt |
| Abbott Laboratories | ABT | 002824100 | 4/28/2017 | 2 | Ratification of Auditor | Mgmt |
| Abbott Laboratories | ABT | 002824100 | 4/28/2017 | 3 | Advisory Vote on Executive Compensation | Mgmt |
| Abbott Laboratories | ABT | 002824100 | 4/28/2017 | 4 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Abbott Laboratories | ABT | 002824100 | 4/28/2017 | 5 | Approval of 2017 Incentive Stock Program | Mgmt |
| Abbott Laboratories | ABT | 002824100 | 4/28/2017 | 6 | Employee Stock Purchase Plan for Non-U.S. Employees | Mgmt |
| | | | | | | |

| Abbott Laboratories | ABT | 002824100 | 4/28/2017 | 7 | Shareholder Proposal Regarding Independent Board Chair | ShrHold |
|------------------------------------|-----|-----------|-----------|----|---|---------|
| Aberdeen Chile Fund Inc | СН | 00301W105 | 3/30/2017 | 1 | Elect James J. Cattano | Mgmt |
| Aberdeen Chile Fund Inc | СН | 00301W105 | 3/30/2017 | 2 | Elect Steven N. Rappaport | Mgmt |
| Aberdeen Greater China Fund Inc | GCH | 003031101 | 6/21/2017 | 1 | Elect C. William Maher | Mgmt |
| Aberdeen Greater China Fund Inc | GCH | 003031101 | 6/21/2017 | 2 | Elect Jonathan J.K. Taylor | Mgmt |
| Aberdeen Singapore Fund Inc | SGF | 003244100 | 6/21/2017 | 1 | Elect Richard J. Herring | Mgmt |
| Accenture plc | ACN | G1151C101 | 2/10/2017 | 1 | Elect Jaime Ardila Gomez | Mgmt |
| Accenture plc | ACN | G1151C101 | 2/10/2017 | 2 | Elect Charles H. Giancarlo | Mgmt |
| Accenture plc | ACN | G1151C101 | 2/10/2017 | 3 | Elect Herbert Hainer | Mgmt |
| Accenture plc | ACN | G1151C101 | 2/10/2017 | 4 | Elect William L. Kimsey | Mgmt |
| Accenture plc | ACN | G1151C101 | 2/10/2017 | 5 | Elect Marjorie Magner | Mgmt |
| Accenture plc | ACN | G1151C101 | 2/10/2017 | 6 | Elect Nancy McKinstry | Mgmt |
| Accenture plc | ACN | G1151C101 | 2/10/2017 | 7 | Elect Pierre Nanterme | Mgmt |
| Accenture plc | ACN | G1151C101 | 2/10/2017 | 8 | Elect Gilles Pelisson | Mgmt |
| Accenture plc | ACN | G1151C101 | 2/10/2017 | 9 | Elect Paula A. Price | Mgmt |
| Accenture plc | ACN | G1151C101 | | 10 | Elect Arun Sarin | Mgmt |
| Accenture plc | ACN | G1151C101 | | 11 | Elect Frank K. Tang | Mgmt |
| Accenture plc | ACN | G1151C101 | 2/10/2017 | 12 | Advisory Vote on Executive Compensation | Mgmt |
| Accenture plc | ACN | G1151C101 | 2/10/2017 | 13 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Accenture plc | ACN | G1151C101 | 2/10/2017 | 14 | Ratification of Auditor | Mgmt |
| Accenture plc | ACN | G1151C101 | 2/10/2017 | 15 | Authority to Issue Shares w/ Preemptive | Mgmt |

| | | | | | Rights | |
|-------------------------------------|------|-----------|-----------|-----|--|------|
| Accenture plc | ACN | G1151C101 | 2/10/2017 | 16 | Authority to Issue Shares w/o Preemptive Rights | Mgmt |
| Accenture plc | ACN | G1151C101 | 2/10/2017 | 17 | Issuance of Treasury Shares | Mgmt |
| Activision Blizzard, Inc. | ATVI | 00507V109 | 6/1/2017 | 1 | Elect Robert J. Corti | Mgmt |
| Activision Blizzard, Inc. | ATVI | 00507V109 | 6/1/2017 | 2 | Elect Hendrik Hartong III | Mgmt |
| Activision Blizzard, Inc. | ATVI | 00507V109 | 6/1/2017 | 3 | Elect Brian G. Kelly | Mgmt |
| Activision Blizzard, Inc. | ATVI | 00507V109 | 6/1/2017 | 4 | Elect Robert A. Kotick | Mgmt |
| Activision Blizzard, Inc. | ATVI | 00507V109 | 6/1/2017 | 5 | Elect Barry Meyer | Mgmt |
| Activision Blizzard, Inc. | ATVI | 00507V109 | 6/1/2017 | 6 | Elect Robert J. Morgado | Mgmt |
| Activision Blizzard, Inc. | ATVI | 00507V109 | 6/1/2017 | 7 | Elect Peter Nolan | Mgmt |
| Activision Blizzard, Inc. | ATVI | 00507V109 | 6/1/2017 | 8 | Elect Casey Wasserman | Mgmt |
| Activision Blizzard, Inc. | ATVI | 00507V109 | 6/1/2017 | 9 | Elect Elaine P. Wynn | Mgmt |
| Activision Blizzard, Inc. | ATVI | 00507V109 | 6/1/2017 | 10 | Advisory Vote on Executive Compensation | Mgmt |
| Activision Blizzard, Inc. | ATVI | 00507V109 | 6/1/2017 | 11 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Activision Blizzard, Inc. | ATVI | 00507V109 | 6/1/2017 | 12 | Ratification of Auditor | Mgmt |
| Adams Diversified Equity Fund, Inc. | ADX | 006212104 | 4/21/2017 | 1.1 | Elect Enrique R. Arzac | Mgmt |
| Adams Diversified Equity Fund, Inc. | ADX | 006212104 | 4/21/2017 | 1.2 | Elect Phyllis O. Bonanno | Mgmt |
| Adams Diversified Equity Fund, Inc. | ADX | 006212104 | 4/21/2017 | 1.3 | Elect Kenneth J. Dale | Mgmt |
| Adams Diversified Equity Fund, Inc. | ADX | 006212104 | 4/21/2017 | 1.4 | Elect Frederic A. Escherich | Mgmt |
| Adams Diversified Equity Fund, Inc. | ADX | 006212104 | 4/21/2017 | 1.5 | Elect Roger W. Gale | Mgmt |
| Adams Diversified Equity Fund, Inc. | ADX | 006212104 | 4/21/2017 | 1.6 | Elect Kathleen T. McGahran | Mgmt |
| Adams Diversified Equity Fund, Inc. | ADX | 006212104 | 4/21/2017 | 1.7 | Elect Craig R. Smith | Mgmt |
| Adams Diversified Equity Fund, Inc. | ADX | 006212104 | 4/21/2017 | 1.8 | Elect Mark E. Stoeckle | Mgmt |
| | ADX | 006212104 | 4/21/2017 | 2 | Ratification of Auditor | Mgmt |

Adams Diversified Equity Fund, Inc.

| Adams Natural Resources Fund | PEO | 00548F105 | 4/21/2017 | 1.1 | Elect Enrique R. Arzac | Mgmt |
|---------------------------------|-----|-----------|-----------|-----|-----------------------------|------|
| Adams Natural Resources Fund | PEO | 00548F105 | 4/21/2017 | 1.2 | Elect Phyllis O. Bonanno | Mgmt |
| Adams Natural Resources Fund | PEO | 00548F105 | 4/21/2017 | 1.3 | Elect Kenneth J. Dale | Mgmt |
| Adams Natural Resources Fund | PEO | 00548F105 | 4/21/2017 | 1.4 | Elect Frederic A. Escherich | Mgmt |
| Adams Natural Resources Fund | PEO | 00548F105 | 4/21/2017 | 1.5 | Elect Roger W. Gale | Mgmt |
| Adams Natural Resources Fund | PEO | 00548F105 | 4/21/2017 | 1.6 | Elect Kathleen T. McGahran | Mgmt |
| Adams Natural Resources Fund | PEO | 00548F105 | 4/21/2017 | 1.7 | Elect Craig R. Smith | Mgmt |
| Adams Natural Resources Fund | PEO | 00548F105 | 4/21/2017 | 1.8 | Elect Mark E. Stoeckle | Mgmt |
| Adams Natural Resources Fund | PEO | 00548F105 | 4/21/2017 | 2 | Ratification of Auditor | Mgmt |
| Aflac Incorporated | AFL | 001055102 | 5/1/2017 | 1 | Elect Daniel P. Amos | Mgmt |
| Aflac Incorporated | AFL | 001055102 | 5/1/2017 | 2 | Elect Paul S. Amos II | Mgmt |
| Aflac Incorporated | AFL | 001055102 | 5/1/2017 | 3 | Elect W. Paul Bowers | Mgmt |
| Aflac Incorporated | AFL | 001055102 | 5/1/2017 | 4 | Elect Kriss Cloninger III | Mgmt |
| Aflac Incorporated | AFL | 001055102 | 5/1/2017 | 5 | Elect Toshihiko Fukuzawa | Mgmt |
| Aflac Incorporated | AFL | 001055102 | 5/1/2017 | 6 | Elect Elizabeth J. Hudson | Mgmt |
| Aflac Incorporated | AFL | 001055102 | 5/1/2017 | 7 | Elect Douglas W. Johnson | Mgmt |
| Aflac Incorporated | AFL | 001055102 | 5/1/2017 | 8 | Elect Robert B. Johnson | Mgmt |
| Aflac Incorporated | AFL | 001055102 | 5/1/2017 | 9 | Elect Thomas J. Kenny | Mgmt |
| Aflac Incorporated | AFL | 001055102 | 5/1/2017 | 10 | Elect Charles B. Knapp | Mgmt |

| Aflac Incorporated | AFL | 001055102 | 5/1/2017 | 11 | Elect Karole F Lloyd | Mgmt |
|----------------------------------|-----|-----------|-----------|----|---|------|
| Aflac Incorporated | AFL | 001055102 | 5/1/2017 | 12 | Elect Joseph L. Moskowitz | Mgmt |
| Aflac Incorporated | AFL | 001055102 | 5/1/2017 | 13 | Elect Barbara K. Rimer | Mgmt |
| Aflac Incorporated | AFL | 001055102 | 5/1/2017 | 14 | Elect Melvin T. Stith | Mgmt |
| Aflac Incorporated | AFL | 001055102 | 5/1/2017 | 15 | Advisory Vote on Executive Compensation | Mgmt |
| Aflac Incorporated | AFL | 001055102 | 5/1/2017 | 16 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Aflac Incorporated | AFL | 001055102 | 5/1/2017 | 17 | Ratification of Auditor | Mgmt |
| Aflac Incorporated | AFL | 001055102 | 5/1/2017 | 18 | Amendment to the Long-Term Incentive Plan | Mgmt |
| Aflac Incorporated | AFL | 001055102 | 5/1/2017 | 19 | Approval of the Amended and Restated 2018 Management Incentive Plan | Mgmt |
| Air Products and Chemicals, Inc. | APD | 009158106 | 1/26/2017 | 1 | Elect Susan K. Carter | Mgmt |
| Air Products and Chemicals, Inc. | APD | 009158106 | 1/26/2017 | 2 | Elect Charles I. Cogut | Mgmt |
| Air Products and Chemicals, Inc. | APD | 009158106 | 1/26/2017 | 3 | Elect Seifi Ghasemi | Mgmt |
| Air Products and Chemicals, Inc. | APD | 009158106 | 1/26/2017 | 4 | Elect Chadwick C. Deaton | Mgmt |
| Air Products and Chemicals, Inc. | APD | 009158106 | 1/26/2017 | 5 | Elect David H. Y. Ho | Mgmt |
| Air Products and Chemicals, Inc. | APD | 009158106 | 1/26/2017 | 6 | Elect Margaret G. McGlynn | Mgmt |
| Air Products and Chemicals, Inc. | APD | 009158106 | 1/26/2017 | 7 | Elect Edward L. Monser | Mgmt |
| Air Products and Chemicals, Inc. | APD | 009158106 | 1/26/2017 | 8 | Elect Matthew H. Paull | Mgmt |
| Air Products and Chemicals, Inc. | APD | 009158106 | 1/26/2017 | 9 | Advisory Vote on Executive Compensation | Mgmt |
| Air Products and Chemicals, Inc. | APD | 009158106 | 1/26/2017 | 10 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Air Products and Chemicals, Inc. | APD | 009158106 | 1/26/2017 | 11 | Ratification of Auditor | Mgmt |
| Allergan plc | AGN | G0177J108 | 5/4/2017 | 1 | Elect Nesli Basgoz | Mgmt |
| Allergan plc | AGN | G0177J108 | 5/4/2017 | 2 | Elect Paul M. Bisaro | Mgmt |
| Allergan plc | AGN | G0177J108 | 5/4/2017 | 3 | Elect James H. Bloem | Mgmt |
| Allergan plc | AGN | G0177J108 | 5/4/2017 | 4 | Elect Christopher W. Bodine | Mgmt |
| Allergan plc | AGN | G0177J108 | 5/4/2017 | 5 | Elect Adriane M. Brown | Mgmt |
| Allergan plc | AGN | G0177J108 | 5/4/2017 | 6 | Elect Christopher J. Coughlin | Mgmt |
| Allergan plc | AGN | G0177J108 | 5/4/2017 | 7 | Elect Catherine M. Klema | Mgmt |
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|----------------------|-------|-----------|-----------|-----|---|---------|
| Allergan plc | AGN | G0177J108 | 5/4/2017 | 8 | Elect Peter J. McDonnell | Mgmt |
| Allergan plc | AGN | G0177J108 | 5/4/2017 | 9 | Elect Patrick J. O'Sullivan | Mgmt |
| Allergan plc | AGN | G0177J108 | 5/4/2017 | 10 | Elect Brenton L. Saunders | Mgmt |
| Allergan plc | AGN | G0177J108 | 5/4/2017 | 11 | Elect Ronald R. Taylor | Mgmt |
| Allergan plc | AGN | G0177J108 | 5/4/2017 | 12 | Elect Fred G. Weiss | Mgmt |
| Allergan plc | AGN | G0177J108 | 5/4/2017 | 13 | Advisory Vote on Executive Compensation | Mgmt |
| Allergan plc | AGN | G0177J108 | 5/4/2017 | 14 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Allergan plc | AGN | G0177J108 | 5/4/2017 | 15 | Ratification of Auditor | Mgmt |
| Allergan plc | AGN | G0177J108 | 5/4/2017 | 16 | Approval of performance goals under the 2017 Annual Incentive Compensation Plan | Mgmt |
| Allergan plc | AGN | G0177J108 | 5/4/2017 | 17 | Shareholder Proposal Regarding Independent Board Chair | ShrHold |
| Allstate Corporation | ALL | 020002101 | 5/25/2017 | 1 | Elect Kermit R. Crawford | Mgmt |
| Allstate Corporation | ALL | 020002101 | 5/25/2017 | 2 | Elect Michael L. Eskew | Mgmt |
| Allstate Corporation | ALL | 020002101 | 5/25/2017 | 3 | Elect Siddharth N. Mehta | Mgmt |
| Allstate Corporation | ALL | 020002101 | 5/25/2017 | 4 | Elect Jacques P. Perold | Mgmt |
| Allstate Corporation | ALL | 020002101 | 5/25/2017 | 5 | Elect Andrea Redmond | Mgmt |
| Allstate Corporation | ALL | 020002101 | 5/25/2017 | 6 | Elect John W. Rowe | Mgmt |
| Allstate Corporation | ALL | 020002101 | 5/25/2017 | 7 | Elect Judith A. Sprieser | Mgmt |
| Allstate Corporation | ALL | 020002101 | 5/25/2017 | 8 | Elect Mary Alice Taylor | Mgmt |
| Allstate Corporation | ALL | 020002101 | 5/25/2017 | 9 | Elect Perry M. Traquina | Mgmt |
| Allstate Corporation | ALL | 020002101 | 5/25/2017 | 10 | Elect Thomas J. Wilson | Mgmt |
| Allstate Corporation | ALL | 020002101 | 5/25/2017 | 11 | Advisory Vote on Executive Compensation | Mgmt |
| Allstate Corporation | ALL | 020002101 | 5/25/2017 | 12 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Allstate Corporation | ALL | 020002101 | 5/25/2017 | 13 | Approval of the 2017 Equity Compensation Plan for Non-Employee Directors | Mgmt |
| Allstate Corporation | ALL | 020002101 | 5/25/2017 | 14 | Ratification of Auditor | Mgmt |
| Allstate Corporation | ALL | 020002101 | 5/25/2017 | 15 | Shareholder Proposal Regarding Independent Board Chair | ShrHold |
| Allstate Corporation | ALL | 020002101 | 5/25/2017 | 16 | Shareholder Proposal Regarding Lead Director Tenure | ShrHold |
| Allstate Corporation | ALL | 020002101 | 5/25/2017 | 17 | Shareholder Proposal Regarding Political Contributions and Expenditures Report | ShrHold |
| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 1.1 | Elect Larry Page | Mgmt |
| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 1.2 | Elect Sergey Brin | Mgmt |
| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 1.3 | Elect Eric E. Schmidt | Mgmt |
| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 1.4 | Elect L. John Doerr | Mgmt |
| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 1.5 | Elect Roger W. Ferguson, Jr. | Mgmt |
| | | | | | | |

| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 1.6 | Elect Diane B. Greene | Mgmt |
|--|-------|-----------|----------|------|---|----------|
| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 1.7 | Elect John L. Hennessy | Mgmt |
| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 1.8 | Elect Ann Mather | Mgmt |
| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 1.9 | Elect Alan R. Mulally | Mgmt |
| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 1.10 | Elect Paul S. Otellini | Mgmt |
| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 1.11 | Elect K. Ram Shriram | Mgmt |
| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 1.12 | Elect Shirley M. Tilghman | Mgmt |
| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 2 | Ratification of Auditor | Mgmt |
| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 3 | Amendment to the 2012 Stock Plan | Mgmt |
| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 4 | Advisory Vote on Executive Compensation | Mgmt |
| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 5 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 6 | Shareholder Proposal Regarding Recapitalization | ShrHold |
| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 7 | Shareholder Proposal Regarding Lobbying Report | ShrHold |
| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 8 | Shareholder Proposal Regarding Political Contributions and Expenditures Report | ShrHoldi |
| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 9 | Shareholder Proposal Regarding Gender Pay Equity Report | ShrHold |
| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 10 | Shareholder Proposal Regarding Charitable Contributions Report | ShrHold |
| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 11 | Shareholder Proposal Regarding Holy Land Principles | ShrHold |
| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 12 | Shareholder Proposal Regarding False News | ShrHold |
| Alpine Global Dynamic Dividend Fund | AGD | 021060207 | 5/9/2017 | 1.1 | Elect Eleanor T Hoagland | Mgmt |
| Alpine Global Dynamic Dividend Fund | AGD | 021060207 | 5/9/2017 | 1.2 | Elect Jeffrey E. Wacksman | Mgmt |
| Alpine Global Dynamic Dividend Fund | AGD | 021060207 | 5/9/2017 | 2 | Transaction of Other Business | Mgmt |
| Alpine Global Premier Properties Fund | AGD | 02083A103 | 5/9/2017 | 1.1 | Elect Eleanor T Hoagland | Mgmt |
| Alpine Global Premier Properties Fund | AGD | 02083A103 | 5/9/2017 | 1.2 | Elect Jeffrey E. Wacksman | Mgmt |

| Alpine Global Premier Properties Fund | AGD | 02083A103 | 5/9/2017 | 2 | Transaction of Other Business | Mgmt |
|--|------|-----------|-----------|----|--|---------|
| Amazon.com, Inc. | AMZN | 023135106 | 5/23/2017 | 1 | Elect Jeffrey P. Bezos | Mgmt |
| Amazon.com, Inc. | AMZN | 023135106 | 5/23/2017 | 2 | Elect Tom A. Alberg | Mgmt |
| Amazon.com, Inc. | AMZN | 023135106 | 5/23/2017 | 3 | Elect John Seely Brown | Mgmt |
| Amazon.com, Inc. | AMZN | 023135106 | 5/23/2017 | 4 | Elect Jamie S. Gorelick | Mgmt |
| Amazon.com, Inc. | AMZN | 023135106 | 5/23/2017 | 5 | Elect Daniel P. Huttenlocher | Mgmt |
| Amazon.com, Inc. | AMZN | 023135106 | 5/23/2017 | 6 | Elect Judith A. McGrath | Mgmt |
| Amazon.com, Inc. | AMZN | 023135106 | 5/23/2017 | 7 | Elect Jonathan J. Rubinstein | Mgmt |
| Amazon.com, Inc. | AMZN | 023135106 | 5/23/2017 | 8 | Elect Thomas O. Ryder | Mgmt |
| Amazon.com, Inc. | AMZN | 023135106 | 5/23/2017 | 9 | Elect Patricia Q. Stonesifer | Mgmt |
| Amazon.com, Inc. | AMZN | 023135106 | 5/23/2017 | 10 | Elect Wendell P. Weeks | Mgmt |
| Amazon.com, Inc. | AMZN | 023135106 | 5/23/2017 | 11 | Ratification of Auditor | Mgmt |
| Amazon.com, Inc. | AMZN | 023135106 | 5/23/2017 | 12 | Advisory Vote on Executive Compensation | Mgmt |
| Amazon.com, Inc. | AMZN | 023135106 | 5/23/2017 | 13 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Amazon.com, Inc. | AMZN | 023135106 | 5/23/2017 | 14 | Amendment to the 1997 Stock Incentive Plan | Mgmt |
| Amazon.com, Inc. | AMZN | 023135106 | 5/23/2017 | 15 | Shareholder Proposal Regarding Report on Use of Criminal Background Checks in Hiring Decisions | ShrHold |
| Amazon.com, Inc. | AMZN | 023135106 | 5/23/2017 | 16 | Shareholder Proposal Regarding Linking Executive Pay to Sustainability | ShrHold |
| Amazon.com, Inc. | AMZN | 023135106 | 5/23/2017 | 17 | Shareholder Proposal Regarding Counting Abstentions | ShrHold |
| American Electric Power Company, Inc. | AEP | 025537101 | 4/25/2017 | 1 | Elect Nicholas K. Akins | Mgmt |
| American Electric Power Company, Inc. | AEP | 025537101 | 4/25/2017 | 2 | Elect David J. Anderson | Mgmt |
| American Electric Power Company, Inc. | AEP | 025537101 | 4/25/2017 | 3 | Elect J. Barnie Beasley, Jr. | Mgmt |
| American Electric Power Company, Inc. | AEP | 025537101 | 4/25/2017 | 4 | Elect Ralph D. Crosby, Jr. | Mgmt |
| American Electric Power Company, Inc. | AEP | 025537101 | 4/25/2017 | 5 | Elect Linda A. Goodspeed | Mgmt |
| American Electric Power Company, Inc. | AEP | 025537101 | 4/25/2017 | 6 | Elect Thomas E. Hoaglin | Mgmt |
| American Electric Power Company, Inc. | AEP | 025537101 | 4/25/2017 | 7 | Elect Sandra Beach Lin | Mgmt |

| American Electric Power Company, Inc. | AEP | 025537101 | 4/25/2017 | 8 | Elect Richard C. Notebaert | Mgmt |
|--|-----|-----------|-----------|----|---|------|
| American Electric Power Company, Inc. | AEP | 025537101 | 4/25/2017 | 9 | Elect Lionel L. Nowell, III | Mgmt |
| American Electric Power Company, Inc. | AEP | 025537101 | 4/25/2017 | 10 | Elect Stephen S. Rasmussen | Mgmt |
| American Electric Power Company, Inc. | AEP | 025537101 | 4/25/2017 | 11 | Elect Oliver G. Richard, III | Mgmt |
| American Electric Power Company, Inc. | AEP | 025537101 | 4/25/2017 | 12 | Elect Sara Martinez Tucker | Mgmt |
| American Electric Power Company, Inc. | AEP | 025537101 | 4/25/2017 | 13 | Reapprove the Material Terms of the Senior Officer Incentive Plan | Mgmt |
| American Electric Power Company, Inc. | AEP | 025537101 | 4/25/2017 | 14 | Ratification of Auditor | Mgmt |
| American Electric Power Company, Inc. | AEP | 025537101 | 4/25/2017 | 15 | Advisory Vote on Executive Compensation | Mgmt |
| American Electric Power Company, Inc. | AEP | 025537101 | 4/25/2017 | 16 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| American Express Company | AXP | 025816109 | 5/1/2017 | 1 | Elect Charlene Barshefsky | Mgmt |
| American Express Company | AXP | 025816109 | 5/1/2017 | 2 | Elect John J. Brennan | Mgmt |
| American Express Company | AXP | 025816109 | 5/1/2017 | 3 | Elect Ursula M. Burns | Mgmt |
| American Express Company | AXP | 025816109 | 5/1/2017 | 4 | Elect Kenneth I. Chenault | Mgmt |
| American Express Company | AXP | 025816109 | 5/1/2017 | 5 | Elect Peter Chernin | Mgmt |
| American Express Company | AXP | 025816109 | 5/1/2017 | 6 | Elect Ralph de la Vega | Mgmt |
| American Express Company | AXP | 025816109 | 5/1/2017 | 7 | Elect Anne L. Lauvergeon | Mgmt |
| American Express Company | AXP | 025816109 | 5/1/2017 | 8 | Elect Micheal O. Leavitt | Mgmt |
| American Express Company | AXP | 025816109 | 5/1/2017 | 9 | Elect Theodore Leonsis | Mgmt |
| American Express Company | AXP | 025816109 | 5/1/2017 | 10 | Elect Richard C. Levin | Mgmt |
| American Express Company | AXP | 025816109 | 5/1/2017 | 11 | Elect Samuel J. Palmisano | Mgmt |
| American Express Company | AXP | 025816109 | 5/1/2017 | 12 | Elect Daniel L. Vasella | Mgmt |
| | AXP | 025816109 | 5/1/2017 | 13 | Elect Robert D. Walter | Mgmt |

| American Express Company | | | | | | |
|-------------------------------|------|-----------|-----------|----|--|---------|
| American Express Company | AXP | 025816109 | 5/1/2017 | 14 | Elect Ronald A. Williams | Mgmt |
| American Express Company | AXP | 025816109 | 5/1/2017 | 15 | Ratification of Auditor | Mgmt |
| American Express Company | AXP | 025816109 | 5/1/2017 | 16 | Advisory Vote on Executive Compensation | Mgmt |
| American Express Company | AXP | 025816109 | 5/1/2017 | 17 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| American Express Company | AXP | 025816109 | 5/1/2017 | 18 | Shareholder Proposal Regarding Right to Act by Written Consent | ShrHold |
| American Express Company | AXP | 025816109 | 5/1/2017 | 19 | Shareholder Proposal Regarding Gender Pay Equity Report | ShrHold |
| American Tower Corporation | AMT | 03027X100 | 5/31/2017 | 1 | Elect Gustavo Lara Cantu | Mgmt |
| American Tower Corporation | AMT | 03027X100 | 5/31/2017 | 2 | Elect Raymond P. Dolan | Mgmt |
| American Tower Corporation | AMT | 03027X100 | 5/31/2017 | 3 | Elect Robert D. Hormats | Mgmt |
| American Tower Corporation | AMT | 03027X100 | 5/31/2017 | 4 | Elect Craig Macnab | Mgmt |
| American Tower Corporation | AMT | 03027X100 | 5/31/2017 | 5 | Elect JoAnn A. Reed | Mgmt |
| American Tower Corporation | AMT | 03027X100 | 5/31/2017 | 6 | Elect Pamela D.A. Reeve | Mgmt |
| American Tower Corporation | AMT | 03027X100 | 5/31/2017 | 7 | Elect David E. Sharbutt | Mgmt |
| American Tower Corporation | AMT | 03027X100 | 5/31/2017 | 8 | Elect James D. Taiclet, Jr. | Mgmt |
| American Tower Corporation | AMT | 03027X100 | 5/31/2017 | 9 | Elect Samme L. Thompson | Mgmt |
| American Tower Corporation | AMT | 03027X100 | 5/31/2017 | 10 | Ratification of Auditor | Mgmt |
| American Tower Corporation | AMT | 03027X100 | 5/31/2017 | 11 | Advisory Vote on Executive Compensation | Mgmt |
| American Tower Corporation | AMT | 03027X100 | 5/31/2017 | 12 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Anthem, Inc. | ANTM | 036752103 | 5/18/2017 | 1 | Elect R. Kerry Clark | Mgmt |
| Anthem, Inc. | ANTM | 036752103 | 5/18/2017 | 2 | Elect Robert L. Dixon, Jr. | Mgmt |
| Anthem, Inc. | ANTM | 036752103 | 5/18/2017 | 3 | Ratification of Auditor | Mgmt |
| Anthem, Inc. | ANTM | 036752103 | 5/18/2017 | 4 | Advisory Vote on Executive Compensation | Mgmt |

| Anthem, Inc. | ANTM | 036752103 | 5/18/2017 | 5 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
|-----------------------------------|------|-----------|-----------|----|---|------|
| Anthem, Inc. | ANTM | 036752103 | 5/18/2017 | 6 | Amendments to Articles to Allow Shareholders to Amend Bylaws | Mgmt |
| Anthem, Inc. | ANTM | 036752103 | 5/18/2017 | 7 | Approval of the 2017 Anthem Incentive Compensation Plan | Mgmt |
| Aon plc | AON | G0408V102 | 6/23/2017 | 1 | Elect Lester B. Knight | Mgmt |
| Aon plc | AON | G0408V102 | 6/23/2017 | 2 | Elect Gregory C. Case | Mgmt |
| Aon plc | AON | G0408V102 | 6/23/2017 | 3 | Elect Jin-Yong Cai | Mgmt |
| Aon plc | AON | G0408V102 | 6/23/2017 | 4 | Elect Fulvio Conti | Mgmt |
| Aon plc | AON | G0408V102 | 6/23/2017 | 5 | Elect Cheryl Francis | Mgmt |
| Aon plc | AON | G0408V102 | 6/23/2017 | 6 | Elect J. Michael Losh | Mgmt |
| Aon plc | AON | G0408V102 | 6/23/2017 | 7 | Elect Robert S. Morrison | Mgmt |
| Aon plc | AON | G0408V102 | 6/23/2017 | 8 | Elect Richard B. Myers | Mgmt |
| Aon plc | AON | G0408V102 | 6/23/2017 | 9 | Elect Richard C. Notebaert | Mgmt |
| Aon plc | AON | G0408V102 | 6/23/2017 | 10 | Elect Gloria Santona | Mgmt |
| Aon plc | AON | G0408V102 | 6/23/2017 | 11 | Elect Carolyn Y. Woo | Mgmt |
| Aon plc | AON | G0408V102 | 6/23/2017 | 12 | Advisory Vote on Executive Compensation | Mgmt |
| Aon plc | AON | G0408V102 | 6/23/2017 | 13 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Aon plc | AON | G0408V102 | 6/23/2017 | 14 | Remuneration Policy (Binding) | Mgmt |
| Aon plc | AON | G0408V102 | 6/23/2017 | 15 | Remuneration Report (Advisory) | Mgmt |
| Aon plc | AON | G0408V102 | 6/23/2017 | 16 | Accounts and Reports | Mgmt |
| Aon plc | AON | G0408V102 | 6/23/2017 | 17 | Ratification of Auditor | Mgmt |
| Aon plc | AON | G0408V102 | 6/23/2017 | 18 | Ratification of Statutory Auditor | Mgmt |
| Aon plc | AON | G0408V102 | 6/23/2017 | 19 | Authority to Set Auditor's Fees | Mgmt |
| Aon plc | AON | G0408V102 | 6/23/2017 | 20 | Approval of Share Repurchase Contracts and | Mgmt |
| | | | | | Repurchase Counterparties | |
| Aon plc | AON | G0408V102 | 6/23/2017 | 21 | Authority to Issue Shares w/ or w/o Preemptive Rights | Mgmt |
| Aon plc | AON | G0408V102 | 6/23/2017 | 22 | Authority to Issue Shares w/o Preemptive Rights | Mgmt |
| Aon plc | AON | G0408V102 | 6/23/2017 | 23 | Authorisation of Political Donations | Mgmt |
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/4/2017 | 1 | Elect Alan L. Boeckmann | Mgmt |
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/4/2017 | 2 | Elect Terrell K. Crews | Mgmt |
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/4/2017 | 3 | Elect Pierre Dufour | Mgmt |

| Archer-Daniels-Midland Company | ADM | 039483102 | 5/4/2017 | 4 | Elect Donald E. Felsinger | Mgmt |
|-----------------------------------|-----|-----------|-----------|----|---|---------|
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/4/2017 | 5 | Elect Suzan F. Harrison | Mgmt |
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/4/2017 | 6 | Elect Juan R. Luciano | Mgmt |
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/4/2017 | 7 | Elect Patrick J. Moore | Mgmt |
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/4/2017 | 8 | Elect Francisco Sanchez | Mgmt |
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/4/2017 | 9 | Elect Debra A. Sandler | Mgmt |
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/4/2017 | 10 | Elect Daniel Shih | Mgmt |
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/4/2017 | 11 | Elect Kelvin R. Westbrook | Mgmt |
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/4/2017 | 12 | Ratification of Auditor | Mgmt |
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/4/2017 | 13 | Advisory Vote on Executive Compensation | Mgmt |
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/4/2017 | 14 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| AT&T Inc. | T | 00206R102 | 4/28/2017 | 1 | Elect Randall L. Stephenson | Mgmt |
| AT&T Inc. | T | 00206R102 | 4/28/2017 | 2 | Elect Samuel A. Di Piazza, Jr. | Mgmt |
| AT&T Inc. | T | 00206R102 | 4/28/2017 | 3 | Elect Richard W. Fisher | Mgmt |
| AT&T Inc. | T | 00206R102 | 4/28/2017 | 4 | Elect Scott T. Ford | Mgmt |
| AT&T Inc. | T | 00206R102 | 4/28/2017 | 5 | Elect Glenn H. Hutchins | Mgmt |
| AT&T Inc. | T | 00206R102 | 4/28/2017 | 6 | Elect William E. Kennard | Mgmt |
| AT&T Inc. | T | 00206R102 | 4/28/2017 | 7 | Elect Michael B. McCallister | Mgmt |
| AT&T Inc. | T | 00206R102 | 4/28/2017 | 8 | Elect Beth E. Mooney | Mgmt |
| AT&T Inc. | T | 00206R102 | 4/28/2017 | 9 | Elect Joyce M. Roche | Mgmt |
| AT&T Inc. | T | 00206R102 | 4/28/2017 | 10 | Elect Matthew K. Rose | Mgmt |
| AT&T Inc. | T | 00206R102 | 4/28/2017 | 11 | Elect Cindy B. Taylor | Mgmt |
| AT&T Inc. | T | 00206R102 | 4/28/2017 | 12 | Elect Laura D'Andrea Tyson | Mgmt |
| AT&T Inc. | T | 00206R102 | 4/28/2017 | 13 | Elect Geoffrey Y. Yang | Mgmt |
| AT&T Inc. | T | 00206R102 | 4/28/2017 | 14 | Ratification of Auditor | Mgmt |
| AT&T Inc. | T | 00206R102 | 4/28/2017 | 15 | Advisory Vote on Executive Compensation | Mgmt |
| AT&T Inc. | T | 00206R102 | 4/28/2017 | 16 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| AT&T Inc. | Т | 00206R102 | 4/28/2017 | 17 | Shareholder Proposal Regarding Political Contributions and Expenditures Report | ShrHold |
| | | | | | | |

| AT&T Inc. | T | 00206R102 | 4/28/2017 | 18 | Shareholder Proposal Regarding Lobbying Report | ShrHolo |
|---------------------------------|-----|-----------|-----------|------|---|---------|
| AT&T Inc. | T | 00206R102 | 4/28/2017 | 19 | Shareholder Proposal Regarding Amendments to Proxy Access Bylaw | ShrHold |
| AT&T Inc. | T | 00206R102 | 4/28/2017 | 20 | Shareholder Proposal Regarding Lowering Threshold for Right to Act by Written Consent | ShrHold |
| Automatic Data Processing, Inc. | ADP | 053015103 | 11/8/2016 | 1.1 | Elect Peter Bisson | Mgmt |
| Automatic Data Processing, Inc. | ADP | 053015103 | 11/8/2016 | 1.2 | Elect Richard T. Clark | Mgmt |
| Automatic Data Processing, Inc. | ADP | 053015103 | 11/8/2016 | 1.3 | Elect Eric C. Fast | Mgmt |
| Automatic Data Processing, Inc. | ADP | 053015103 | 11/8/2016 | 1.4 | Elect Linda R. Gooden | Mgmt |
| Automatic Data Processing, Inc. | ADP | 053015103 | 11/8/2016 | 1.5 | Elect Michael P. Gregoire | Mgmt |
| Automatic Data Processing, Inc. | ADP | 053015103 | 11/8/2016 | 1.6 | Elect R. Glenn Hubbard | Mgmt |
| Automatic Data Processing, Inc. | ADP | 053015103 | 11/8/2016 | 1.7 | Elect John P. Jones | Mgmt |
| Automatic Data Processing, Inc. | ADP | 053015103 | 11/8/2016 | 1.8 | Elect William J. Ready | Mgmt |
| Automatic Data Processing, Inc. | ADP | 053015103 | 11/8/2016 | 1.9 | Elect Carlos A. Rodriguez | Mgmt |
| Automatic Data Processing, Inc. | ADP | 053015103 | 11/8/2016 | 1.10 | Elect Sandra S. Wijnberg | Mgmt |
| Automatic Data Processing, Inc. | ADP | 053015103 | 11/8/2016 | 2 | Advisory Vote on Executive Compensation | Mgmt |
| Automatic Data Processing, Inc. | ADP | 053015103 | 11/8/2016 | 3 | Ratification of Auditor | Mgmt |
| Baker Hughes Incorporated | BHI | 057224107 | 4/27/2017 | 1 | Elect Gregory D. Brenneman | Mgmt |
| Baker Hughes Incorporated | BHI | 057224107 | 4/27/2017 | 2 | Elect Clarence P. Cazalot, Jr. | Mgmt |
| Baker Hughes Incorporated | BHI | 057224107 | 4/27/2017 | 3 | Elect Martin S. Craighead | Mgmt |
| Baker Hughes Incorporated | BHI | 057224107 | 4/27/2017 | 4 | Elect William H. Easter III | Mgmt |
| Baker Hughes Incorporated | ВНІ | 057224107 | 4/27/2017 | 5 | Elect Lynn L. Elsenhans | Mgmt |
| Baker Hughes Incorporated | ВНІ | 057224107 | 4/27/2017 | 6 | Elect Anthony G. Fernandes | Mgmt |
| | | | | | | |

| Baker Hughes Incorporated | BHI | 057224107 | 4/27/2017 | 7 | Elect Claire W. Gargalli | Mgmt |
|--------------------------------|-----|-----------|-----------|----|---|---------|
| Baker Hughes Incorporated | BHI | 057224107 | 4/27/2017 | 8 | Elect Pierre J. Jungels | Mgmt |
| Baker Hughes Incorporated | BHI | 057224107 | 4/27/2017 | 9 | Elect James A. Lash | Mgmt |
| Baker Hughes Incorporated | ВНІ | 057224107 | 4/27/2017 | 10 | Elect J. Larry Nichols | Mgmt |
| Baker Hughes Incorporated | ВНІ | 057224107 | 4/27/2017 | 11 | Elect James W. Stewart | Mgmt |
| Baker Hughes Incorporated | ВНІ | 057224107 | 4/27/2017 | 12 | Elect Charles L. Watson | Mgmt |
| Baker Hughes Incorporated | ВНІ | 057224107 | 4/27/2017 | 13 | Advisory Vote on Executive Compensation | Mgmt |
| Baker Hughes Incorporated | ВНІ | 057224107 | 4/27/2017 | 14 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Baker Hughes Incorporated | ВНІ | 057224107 | 4/27/2017 | 15 | Ratification of Auditor | Mgmt |
| Baker Hughes Incorporated | ВНІ | 057224107 | 4/27/2017 | 16 | Shareholder Proposal Regarding Counting Abstentions | ShrHold |
| Baker Hughes Incorporated | ВНІ | 057224107 | 6/30/2017 | 1 | Merger | Mgmt |
| Baker Hughes Incorporated | ВНІ | 057224107 | 6/30/2017 | 2 | Right to Adjourn Meeting | Mgmt |
| Baker Hughes Incorporated | ВНІ | 057224107 | 6/30/2017 | 3 | Advisory Vote on Golden Parachutes | Mgmt |
| Baker Hughes Incorporated | ВНІ | 057224107 | 6/30/2017 | 4 | Approval of the 2017 Long-Term Incentive Plan | Mgmt |
| Baker Hughes Incorporated | ВНІ | 057224107 | 6/30/2017 | 5 | Approval of Performance Terms under the STI Plan and LTI Plan | Mgmt |
| Bank of America Corporation | BAC | 060505104 | 4/26/2017 | 1 | Elect Sharon L. Allen | Mgmt |
| Bank of America Corporation | BAC | 060505104 | 4/26/2017 | 2 | Elect Susan S. Bies | Mgmt |
| Bank of America Corporation | BAC | 060505104 | 4/26/2017 | 3 | Elect Jack O. Bovender, Jr. | Mgmt |
| Bank of America Corporation | BAC | 060505104 | 4/26/2017 | 4 | Elect Frank P. Bramble, Sr. | Mgmt |
| Bank of America Corporation | BAC | 060505104 | 4/26/2017 | 5 | Elect Pierre J. P. de Weck | Mgmt |
| Bank of America Corporation | BAC | 060505104 | 4/26/2017 | 6 | Elect Arnold W. Donald | Mgmt |
| | BAC | 060505104 | 4/26/2017 | 7 | Elect Linda P. Hudson | Mgmt |

| Bank of America Corporation | | | | | | |
|--|-----|-----------|-----------|----|--|---------|
| Bank of America Corporation | BAC | 060505104 | 4/26/2017 | 8 | Elect Monica C. Lozano | Mgmt |
| Bank of America Corporation | BAC | 060505104 | 4/26/2017 | 9 | Elect Thomas J. May | Mgmt |
| Bank of America Corporation | BAC | 060505104 | 4/26/2017 | 10 | Elect Brian T. Moynihan | Mgmt |
| Bank of America Corporation | BAC | 060505104 | 4/26/2017 | 11 | Elect Lionel L. Nowell, III | Mgmt |
| Bank of America Corporation | BAC | 060505104 | 4/26/2017 | 12 | Elect Michael D. White | Mgmt |
| Bank of America Corporation | BAC | 060505104 | 4/26/2017 | 13 | Elect Thomas D. Woods | Mgmt |
| Bank of America Corporation | BAC | 060505104 | 4/26/2017 | 14 | Elect R. David Yost | Mgmt |
| Bank of America Corporation | BAC | 060505104 | 4/26/2017 | 15 | Advisory Vote on Executive Compensation | Mgmt |
| Bank of America Corporation | BAC | 060505104 | 4/26/2017 | 16 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Bank of America Corporation | BAC | 060505104 | 4/26/2017 | 17 | Ratification of Auditor | Mgmt |
| Bank of America Corporation | BAC | 060505104 | 4/26/2017 | 18 | Shareholder Proposal Regarding Amendment to Clawback Policy | ShrHold |
| Bank of America Corporation | BAC | 060505104 | 4/26/2017 | 19 | Shareholder Proposal Regarding Study Session to Address Divestiture of Non-Core Banking Assets | ShrHold |
| Bank of America Corporation | BAC | 060505104 | 4/26/2017 | 20 | Shareholder Proposal Regarding Independent Board Chair | ShrHold |
| Bank of America Corporation | BAC | 060505104 | 4/26/2017 | 21 | Shareholder Proposal Regarding Gender Pay Equity Report | ShrHold |
| Bank of New York Mellon Corporation | BK | 064058100 | 4/11/2017 | 1 | Elect Linda Z. Cook | Mgmt |
| Bank of New York Mellon Corporation | BK | 064058100 | 4/11/2017 | 2 | Elect Nicholas M. Donofrio | Mgmt |
| Bank of New York Mellon Corporation | BK | 064058100 | 4/11/2017 | 3 | Elect Joseph J. Echevarria | Mgmt |
| Bank of New York Mellon Corporation | BK | 064058100 | 4/11/2017 | 4 | Elect Edward P. Garden | Mgmt |
| Bank of New York Mellon Corporation | BK | 064058100 | 4/11/2017 | 5 | Elect Jeffrey A. Goldstein | Mgmt |
| Bank of New York Mellon Corporation | BK | 064058100 | 4/11/2017 | 6 | Elect Gerald L. Hassell | Mgmt |
| | | | | | | |

| Bank of New York Mellon Corporation | BK | 064058100 | 4/11/2017 | 7 | Elect John M. Hinshaw | Mgmt |
|--|-----|-----------|-----------|----|--|---------|
| Bank of New York Mellon Corporation | BK | 064058100 | 4/11/2017 | 8 | Elect Edmund F. Kelly | Mgmt |
| Bank of New York Mellon Corporation | BK | 064058100 | 4/11/2017 | 9 | Elect John A. Luke, Jr. | Mgmt |
| Bank of New York Mellon Corporation | BK | 064058100 | 4/11/2017 | 10 | Elect Jennifer B. Morgan | Mgmt |
| Bank of New York Mellon Corporation | BK | 064058100 | 4/11/2017 | 11 | Elect Mark A. Nordenberg | Mgmt |
| Bank of New York Mellon Corporation | BK | 064058100 | 4/11/2017 | 12 | Elect Elizabeth E. Robinson | Mgmt |
| Bank of New York Mellon Corporation | BK | 064058100 | 4/11/2017 | 13 | Elect Samuel C. Scott III | Mgmt |
| Bank of New York Mellon Corporation | BK | 064058100 | 4/11/2017 | 14 | Advisory Vote on Executive Compensation | Mgmt |
| Bank of New York Mellon Corporation | BK | 064058100 | 4/11/2017 | 15 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Bank of New York Mellon Corporation | BK | 064058100 | 4/11/2017 | 16 | Ratification of Auditor | Mgmt |
| Bank of New York Mellon Corporation | BK | 064058100 | 4/11/2017 | 17 | Shareholder Proposal Regarding Proxy Voting Practices | ShrHold |
| Baxter International Inc. | BAX | 071813109 | 5/2/2017 | 1 | Elect Jose E. Almeida | Mgmt |
| Baxter International Inc. | BAX | 071813109 | 5/2/2017 | 2 | Elect Thomas F. Chen | Mgmt |
| Baxter International Inc. | BAX | 071813109 | 5/2/2017 | 3 | Elect John D. Forsyth | Mgmt |
| Baxter International Inc. | BAX | 071813109 | 5/2/2017 | 4 | Elect Munib Islam | Mgmt |
| Baxter International Inc. | BAX | 071813109 | 5/2/2017 | 5 | Elect Michael F. Mahoney | Mgmt |
| Baxter International Inc. | BAX | 071813109 | 5/2/2017 | 6 | Elect Carole J. Shapazian | Mgmt |
| Baxter International Inc. | BAX | 071813109 | 5/2/2017 | 7 | Elect Thomas T. Stallkamp | Mgmt |
| Baxter International Inc. | BAX | 071813109 | 5/2/2017 | 8 | Elect Albert P.L. Stroucken | Mgmt |
| Baxter International Inc. | BAX | 071813109 | 5/2/2017 | 9 | Advisory Vote on Executive Compensation | Mgmt |
| Baxter International Inc. | BAX | 071813109 | 5/2/2017 | 10 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Baxter International Inc. | BAX | 071813109 | 5/2/2017 | 11 | Ratification of Auditor | Mgmt |
| Baxter International Inc. | BAX | 071813109 | 5/2/2017 | 12 | Shareholder Proposal Regarding Amendments to Proxy Access Bylaw | ShrHold |
| BB&T Corporation | BBT | 054937107 | 4/25/2017 | 1 | Elect Jennifer S. Banner | Mgmt |
| BB&T Corporation | BBT | 054937107 | 4/25/2017 | 2 | Elect K. David Boyer, Jr. | Mgmt |
| BB&T Corporation | BBT | 054937107 | 4/25/2017 | 3 | Elect Anna R. Cablik | Mgmt |
| BB&T Corporation | BBT | 054937107 | 4/25/2017 | 4 | Elect James A. Faulkner | Mgmt |
| BB&T Corporation | BBT | 054937107 | 4/25/2017 | 5 | Elect I. Patricia Henry | Mgmt |

| BB&T Corporation | BBT | 054937107 | 4/25/2017 | 6 | Elect Eric C. Kendrick | Mgmt |
|-------------------------|-------|-----------|-----------|------|--|---------|
| BB&T Corporation | BBT | 054937107 | 4/25/2017 | 7 | Elect Kelly S. King | Mgmt |
| BB&T Corporation | BBT | 054937107 | 4/25/2017 | 8 | Elect Louis B. Lynn | Mgmt |
| BB&T Corporation | BBT | 054937107 | 4/25/2017 | 9 | Elect Charles A. Patton | Mgmt |
| BB&T Corporation | BBT | 054937107 | 4/25/2017 | 10 | Elect Nido R. Qubein | Mgmt |
| BB&T Corporation | BBT | 054937107 | 4/25/2017 | 11 | Elect William J. Reuter | Mgmt |
| BB&T Corporation | BBT | 054937107 | 4/25/2017 | 12 | Elect Tollie W. Rich, Jr. | Mgmt |
| BB&T Corporation | BBT | 054937107 | 4/25/2017 | 13 | Elect Christine Sears | Mgmt |
| BB&T Corporation | BBT | 054937107 | 4/25/2017 | 14 | Elect Thomas E. Skains | Mgmt |
| BB&T Corporation | BBT | 054937107 | 4/25/2017 | 15 | Elect Thomas N. Thompson | Mgmt |
| BB&T Corporation | BBT | 054937107 | 4/25/2017 | 16 | Elect Stephen T. Williams | Mgmt |
| BB&T Corporation | BBT | 054937107 | 4/25/2017 | 17 | Ratification of Auditor | Mgmt |
| BB&T Corporation | BBT | 054937107 | 4/25/2017 | 18 | Advisory Vote on Executive Compensation | Mgmt |
| BB&T Corporation | BBT | 054937107 | 4/25/2017 | 19 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| BB&T Corporation | BBT | 054937107 | 4/25/2017 | 20 | Amendment to the 2012 Incentive Plan | Mgmt |
| BB&T Corporation | BBT | 054937107 | 4/25/2017 | 21 | Shareholder Proposal Regarding Simple Majority Vote | ShrHold |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 5/6/2017 | 1.1 | Elect Warren E. Buffett | Mgmt |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 5/6/2017 | 1.2 | Elect Charles T. Munger | Mgmt |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 5/6/2017 | 1.3 | Elect Howard G. Buffett | Mgmt |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 5/6/2017 | 1.4 | Elect Stephen B. Burke | Mgmt |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 5/6/2017 | 1.5 | Elect Susan L. Decker | Mgmt |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 5/6/2017 | 1.6 | Elect William H. Gates III | Mgmt |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 5/6/2017 | 1.7 | Elect David S. Gottesman | Mgmt |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 5/6/2017 | 1.8 | Elect Charlotte Guyman | Mgmt |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 5/6/2017 | 1.9 | Elect Thomas S. Murphy | Mgmt |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 5/6/2017 | 1.10 | Elect Ronald L. Olson | Mgmt |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 5/6/2017 | 1.11 | Elect Walter Scott, Jr. | Mgmt |
| | BRK.A | 084670702 | 5/6/2017 | 1.12 | Elect Meryl B. Witmer | Mgmt |

| Berkshire Hathaway Inc. | | | | | | |
|--|-------|-----------|-----------|-----|---|---------|
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 5/6/2017 | 2 | Advisory Vote on Executive Compensation | Mgmt |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 5/6/2017 | 3 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 5/6/2017 | 4 | Shareholder Proposal Regarding Political Contributions and Expenditures Report | ShrHold |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 5/6/2017 | 5 | Shareholder Proposal Regarding Methane Emissions Report | ShrHold |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 5/6/2017 | 6 | Shareholder Proposal Regarding Fossil Fuel Divestment | ShrHold |
| BlackRock Municipal 2020 Term Trust | BCX | 09257A108 | 7/26/2016 | 1.1 | Elect Catherine A. Lynch | Mgmt |
| BlackRock Municipal 2020 Term Trust | BCX | 09257A108 | 7/26/2016 | 1.2 | Elect Richard E. Cavanagh | Mgmt |
| BlackRock Municipal 2020 Term Trust | BCX | 09257A108 | 7/26/2016 | 1.3 | Elect Cynthia L. Egan | Mgmt |
| BlackRock Municipal 2020 Term Trust | BCX | 09257A108 | 7/26/2016 | 1.4 | Elect Jerrold B. Harris | Mgmt |
| BlackRock Municipal 2020 Term Trust | BCX | 09257A108 | 7/26/2016 | 1.5 | Elect Barbara G. Novick | Mgmt |
| Bristol-Myers Squibb Company | BMY | 110122108 | 5/2/2017 | 1 | Elect Peter J. Arduini | Mgmt |
| Bristol-Myers Squibb Company | BMY | 110122108 | 5/2/2017 | 2 | Elect Robert J. Bertolini | Mgmt |
| Bristol-Myers Squibb Company | BMY | 110122108 | 5/2/2017 | 3 | Elect Giovanni Caforio | Mgmt |
| Bristol-Myers Squibb Company | BMY | 110122108 | 5/2/2017 | 4 | Elect Matthew W. Emmens | Mgmt |
| Bristol-Myers Squibb Company | BMY | 110122108 | 5/2/2017 | 5 | Elect Laurie H. Glimcher | Mgmt |
| Bristol-Myers Squibb Company | BMY | 110122108 | 5/2/2017 | 6 | Elect Michael Grobstein | Mgmt |
| Bristol-Myers Squibb Company | BMY | 110122108 | 5/2/2017 | 7 | Elect Alan J. Lacy | Mgmt |
| Bristol-Myers Squibb Company | BMY | 110122108 | 5/2/2017 | 8 | Elect Dinesh Paliwal | Mgmt |
| | BMY | 110122108 | 5/2/2017 | 9 | Elect Theodore R. Samuels | Mgmt |

| Bristol-Myers Squibb Company | | | | | | |
|-----------------------------------|------|-----------|----------|----|---|---------|
| Bristol-Myers Squibb Company | BMY | 110122108 | 5/2/2017 | 10 | Elect Gerald Storch | Mgmt |
| Bristol-Myers Squibb Company | BMY | 110122108 | 5/2/2017 | 11 | Elect Vicki L. Sato | Mgmt |
| Bristol-Myers Squibb Company | BMY | 110122108 | 5/2/2017 | 12 | Advisory Vote on Executive Compensation | Mgmt |
| Bristol-Myers Squibb Company | BMY | 110122108 | 5/2/2017 | 13 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Bristol-Myers Squibb Company | BMY | 110122108 | 5/2/2017 | 14 | Amendment to the 2012 Stock Award and Incentive Plan for the Purposes of Section 162(m) | Mgmt |
| Bristol-Myers Squibb Company | BMY | 110122108 | 5/2/2017 | 15 | Amendment to the 2012 Stock Award and Incentive Plan | Mgmt |
| Bristol-Myers Squibb Company | BMY | 110122108 | 5/2/2017 | 16 | Ratification of Auditor | Mgmt |
| Bristol-Myers Squibb Company | BMY | 110122108 | 5/2/2017 | 17 | Shareholder Proposal Regarding Right to Call a Special Meeting | ShrHolo |
| Broadcom Limited | AVGO | Y09827109 | 4/5/2017 | 1 | Elect Hock E. Tan | Mgmt |
| Broadcom Limited | AVGO | Y09827109 | 4/5/2017 | 2 | Elect James V. Diller, Sr. | Mgmt |
| Broadcom Limited | AVGO | Y09827109 | 4/5/2017 | 3 | Elect Lewis C. Eggebrecht | Mgmt |
| Broadcom Limited | AVGO | Y09827109 | 4/5/2017 | 4 | Elect Kenneth Y. Hao | Mgmt |
| Broadcom Limited | AVGO | Y09827109 | 4/5/2017 | 5 | Elect Eddy W. Hartenstein | Mgmt |
| Broadcom Limited | AVGO | Y09827109 | 4/5/2017 | 6 | Elect Check Kian Low | Mgmt |
| Broadcom Limited | AVGO | Y09827109 | 4/5/2017 | 7 | Elect Donald Macleod | Mgmt |
| Broadcom Limited | AVGO | Y09827109 | 4/5/2017 | 8 | Elect Peter J. Marks | Mgmt |
| Broadcom Limited | AVGO | Y09827109 | 4/5/2017 | 9 | Elect Dr. Henry S. Samueli | Mgmt |
| Broadcom Limited | AVGO | Y09827109 | 4/5/2017 | 10 | Appointment of Auditor and Authority to Set Fees | Mgmt |
| Broadcom Limited | AVGO | Y09827109 | 4/5/2017 | 11 | Authority to Issue Ordinary and Special Preference Shares | Mgmt |
| Broadcom Limited | AVGO | Y09827109 | 4/5/2017 | 12 | Advisory Vote on Executive Compensation | Mgmt |
| Broadcom Limited | AVGO | Y09827109 | 4/5/2017 | 13 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Capital One Financial Corporation | COF | 14040H105 | 5/4/2017 | 1 | Elect Richard D. Fairbank | Mgmt |
| Capital One Financial Corporation | COF | 14040H105 | 5/4/2017 | 2 | Elect Ann Fritz Hackett | Mgmt |
| Capital One Financial Corporation | COF | 14040H105 | 5/4/2017 | 3 | Elect Lewis Hay, III | Mgmt |
| | COF | 14040H105 | 5/4/2017 | 4 | Elect Benjamin P. Jenkins, III | Mgmt |

| Capital One Financial Corporation | | | | | | |
|--------------------------------------|-----|-----------|-----------|----|---|---------|
| Capital One Financial Corporation | COF | 14040H105 | 5/4/2017 | 5 | Elect Peter Thomas Killalea | Mgmt |
| Capital One Financial Corporation | COF | 14040H105 | 5/4/2017 | 6 | Elect Pierre E. Leroy | Mgmt |
| Capital One Financial Corporation | COF | 14040H105 | 5/4/2017 | 7 | Elect Peter E. Raskind | Mgmt |
| Capital One Financial Corporation | COF | 14040H105 | 5/4/2017 | 8 | Elect Mayo A. Shattuck III | Mgmt |
| Capital One Financial Corporation | COF | 14040H105 | 5/4/2017 | 9 | Elect Bradford H. Warner | Mgmt |
| Capital One Financial Corporation | COF | 14040H105 | 5/4/2017 | 10 | Elect Catherine G. West | Mgmt |
| Capital One Financial Corporation | COF | 14040H105 | 5/4/2017 | 11 | Ratification of Auditor | Mgmt |
| Capital One Financial Corporation | COF | 14040H105 | 5/4/2017 | 12 | Advisory Vote on Executive Compensation | Mgmt |
| Capital One Financial Corporation | COF | 14040H105 | 5/4/2017 | 13 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Capital One Financial Corporation | COF | 14040H105 | 5/4/2017 | 14 | Amendment of the 2002 Associate Stock Purchase Plan | Mgmt |
| Capital One Financial Corporation | COF | 14040H105 | 5/4/2017 | 15 | Shareholder Proposal Regarding Right to Act by Written Consent | ShrHold |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/3/2016 | 1 | Elect David J. Anderson | Mgmt |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/3/2016 | 2 | Elect Colleen F. Arnold | Mgmt |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/3/2016 | 3 | Elect George S. Barrett | Mgmt |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/3/2016 | 4 | Elect Carrie S. Cox | Mgmt |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/3/2016 | 5 | Elect Calvin Darden | Mgmt |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/3/2016 | 6 | Elect Bruce L. Downey | Mgmt |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/3/2016 | 7 | Elect Patricia Hemingway Hall | Mgmt |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/3/2016 | 8 | Elect Clayton M. Jones | Mgmt |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/3/2016 | 9 | Elect Gregory B. Kenny | Mgmt |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/3/2016 | 10 | Elect Nancy Killefer | Mgmt |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/3/2016 | 11 | Elect David P. King | Mgmt |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/3/2016 | 12 | Ratification of Auditor | Mgmt |
| Cardinal Health, Inc. | САН | 14149Y108 | 11/3/2016 | 13 | Amendment to the 2011 Long Term Incentive Plan | Mgmt |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/3/2016 | 14 | Advisory Vote on Executive Compensation | Mgmt |
| Carnival plc | CCL | 143658300 | 4/5/2017 | 1 | Elect Micky Arison | Mgmt |
| Carnival plc | CCL | 143658300 | 4/5/2017 | 2 | Elect Sir Jonathon Band | Mgmt |

| Carnival plc | CCL | 143658300 | 4/5/2017 | 3 | Elect Helen Deeble | Mgmt |
|------------------|-----|-----------|-----------|----|--|------|
| Carnival plc | CCL | 143658300 | 4/5/2017 | 4 | Elect Arnold W. Donald | Mgmt |
| Carnival plc | CCL | 143658300 | 4/5/2017 | 5 | Elect Richard J. Glasier | Mgmt |
| Carnival plc | CCL | 143658300 | 4/5/2017 | 6 | Elect Debra J. Kelly-Ennis | Mgmt |
| Carnival plc | CCL | 143658300 | 4/5/2017 | 7 | Elect Sir John Parker | Mgmt |
| Carnival plc | CCL | 143658300 | 4/5/2017 | 8 | Elect Stuart Subotnick | Mgmt |
| Carnival plc | CCL | 143658300 | 4/5/2017 | 9 | Elect Laura A. Weil | Mgmt |
| Carnival plc | CCL | 143658300 | 4/5/2017 | 10 | Elect Randall J. Weisenburger | Mgmt |
| Carnival plc | CCL | 143658300 | 4/5/2017 | 11 | Advisory Vote on Executive Compensation | Mgmt |
| Carnival plc | CCL | 143658300 | 4/5/2017 | 12 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Carnival plc | CCL | 143658300 | 4/5/2017 | 13 | Approval of Directors' Remuneration Report | Mgmt |
| Carnival plc | CCL | 143658300 | 4/5/2017 | 14 | Approval of Directors' Remuneration Policy | Mgmt |
| Carnival plc | CCL | 143658300 | 4/5/2017 | 15 | Appointment of Auditor | Mgmt |
| Carnival plc | CCL | 143658300 | 4/5/2017 | 16 | Authority to Set Auditor's Fees | Mgmt |
| Carnival plc | CCL | 143658300 | 4/5/2017 | 17 | Accounts and Reports | Mgmt |
| Carnival plc | CCL | 143658300 | 4/5/2017 | 18 | Authority to Issue Shares w/ Preemptive Rights | Mgmt |
| Carnival plc | CCL | 143658300 | 4/5/2017 | 19 | Authority to Issue Shares w/o Preemptive Rights | Mgmt |
| Carnival plc | CCL | 143658300 | 4/5/2017 | 20 | Authority to Repurchase Shares | Mgmt |
| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 1 | Elect David L. Calhoun | Mgmt |
| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 2 | Elect Daniel M. Dickinson | Mgmt |
| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 3 | Elect Juan Gallardo | Mgmt |
| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 4 | Elect Jesse J. Greene, Jr. | Mgmt |
| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 5 | Elect Jon M. Huntsman, Jr. | Mgmt |
| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 6 | Elect Dennis A. Muilenburg | Mgmt |
| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 7 | Elect William A. Osborn | Mgmt |
| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 8 | Elect Debra L. Reed | Mgmt |
| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 9 | Elect Edward B. Rust, Jr. | Mgmt |
| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 10 | Elect Susan C. Schwab | Mgmt |
| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 11 | Elect Jim Umpleby | Mgmt |
| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 12 | Elect Miles D. White | Mgmt |
| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 13 | Elect Rayford Wilkins Jr. | Mgmt |
| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 14 | Ratification of Auditor | Mgmt |
| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 15 | Advisory Vote on Executive Compensation | Mgmt |
| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 16 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| | | | | | | |

| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 17 | Amendment to the 2014 Long Term Incentive Plan | Mgmt |
|---|-----|-----------|------------|-----|--|---------|
| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 18 | Shareholder Proposal Regarding Lobbying Report | ShrHold |
| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 19 | Shareholder Proposal Regarding Right to Call a Special Meeting | ShrHold |
| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 20 | Shareholder Proposal Regarding Lobbying Priorities | ShrHold |
| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 21 | Shareholder Proposal Regarding Linking Executive Pay to Sustainability | ShrHold |
| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 22 | Shareholder Proposal Regarding Amendment to Clawback Policy | ShrHold |
| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 23 | Shareholder Proposal Regarding Independent Board Chair | ShrHold |
| CBRE Clarion Global Real Estate Income Fund | IGR | 12504G100 | 10/12/2016 | 1.1 | Elect Richard L. Sutton | Mgmt |
| CBRE Clarion Global Real Estate Income Fund | IGR | 12504G100 | 10/12/2016 | 1.2 | Elect John R. Bartholdson | Mgmt |
| Chevron Corporation | CVX | 166764100 | 5/31/2017 | 1 | Elect Wanda M. Austin | Mgmt |
| Chevron Corporation | CVX | 166764100 | 5/31/2017 | 2 | Elect Linnet F. Deily | Mgmt |
| Chevron Corporation | CVX | 166764100 | 5/31/2017 | 3 | Elect Robert E. Denham | Mgmt |
| Chevron Corporation | CVX | 166764100 | 5/31/2017 | 4 | Elect Alice P. Gast | Mgmt |
| Chevron Corporation | CVX | 166764100 | 5/31/2017 | 5 | Elect Enrique Hernandez, Jr. | Mgmt |
| Chevron Corporation | CVX | 166764100 | 5/31/2017 | 6 | Elect Jon M. Huntsman, Jr. | Mgmt |
| Chevron Corporation | CVX | 166764100 | 5/31/2017 | 7 | Elect Charles W. Moorman, IV | Mgmt |
| Chevron Corporation | CVX | 166764100 | 5/31/2017 | 8 | Elect Dambisa F. Moyo | Mgmt |
| Chevron Corporation | CVX | 166764100 | 5/31/2017 | 9 | Elect Ronald D. Sugar | Mgmt |
| Chevron Corporation | CVX | 166764100 | 5/31/2017 | 10 | Elect Inge G. Thulin | Mgmt |
| Chevron Corporation | CVX | 166764100 | 5/31/2017 | 11 | Elect John S. Watson | Mgmt |
| Chevron Corporation | CVX | 166764100 | 5/31/2017 | 12 | Elect Michael K. Wirth | Mgmt |
| Chevron Corporation | CVX | 166764100 | 5/31/2017 | 13 | Ratification of Auditor | Mgmt |
| Chevron Corporation | CVX | 166764100 | 5/31/2017 | 14 | Advisory Vote on Executive Compensation | Mgmt |
| Chevron Corporation | CVX | 166764100 | 5/31/2017 | 15 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Chevron Corporation | CVX | 166764100 | 5/31/2017 | 16 | Shareholder Proposal Regarding Lobbying Report | ShrHold |
| Chevron Corporation | CVX | 166764100 | 5/31/2017 | 17 | Shareholder Proposal Regarding Report On Doing Business With Governments Complicit in Genocide | ShrHold |
| | | | | | | |

| Chevron Corporation | CVX | 166764100 | 5/31/2017 | 18 | Shareholder Proposal Regarding Climate Change Policy Risk | ShrHold |
|---------------------|-----|-----------|-----------|----|--|---------|
| Chevron Corporation | CVX | 166764100 | 5/31/2017 | 19 | Shareholder Proposal Regarding Report on Transition to a Low Carbon Economy | ShrHold |
| Chevron Corporation | CVX | 166764100 | 5/31/2017 | 20 | Shareholder Proposal Regarding Independent Board Chair | ShrHold |
| Chevron Corporation | CVX | | | | | |