Edgar Filing: Super Micro Computer, Inc. - Form 4/A

Super Micr Form 4/A April 10, 20	ЛЛ	STATES S					ANGE CO	OMMISSION	OMB	PROVAL 3235-0287	
Check this box if no longer subject to Section 16. SECURITIES STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16. SECURITIES SECURITIES Number: 200 Estimated average burden hours per											
(Print or Type	e Responses)										
Liaw Yih-Shyan Wally Symbol					nd Ticker of		I	5. Relationship of Reporting Person(s) to ssuer			
(Last)	(First) (Middle)	3. Date o	of Earliest '	Transaction	-	-	(Check	all applicable))	
980 ROCK AVE. (Month/E 02/27/2							_	elow)	C Officer (give title Other (specify		
				2014 Ap				Individual or Joint/Group Filing(Check pplicable Line) K_ Form filed by One Reporting Person Form filed by More than One Reporting			
(City)	(State)	(Zip)	Tah	de I - Non	.Derivative	Secu		erson red, Disposed of,	or Beneficiall	v Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2. Transaction Date 2A. Deemed			4. Securit oror Dispos (Instr. 3, -	ies Ac sed of 6 4 and 3 (A) or	equired (A) (D) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	02/27/2014			Code V M	Amount 30,000	(D) A	Price \$ 2.53	30,000	D		
Common Stock	02/27/2014			S <u>(1)</u>	30,000	D	\$ 20.8418 (2)	0	D		
Common Stock	02/27/2014			М	8,000	А	\$ 2.6	76,177	Ι	By Spouse	
Common Stock	02/27/2014			S <u>(3)</u>	8,000	D	\$ 20.743 (4)	68,177	Ι	By Spouse	
Common Stock								2,059,616	Ι	By Liaw Family	

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			Trust (5)
Common Stock	7,280	Ι	By Child A
Common Stock	7,280	Ι	By Child B

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Derivative Expiration Date Securities (Month/Day/Year) Acquired (A) or Disposed of (D) (Instr. 3, 4,		7. Title and Amount of 8 Underlying Securities 1 (Instr. 3 and 4)	
				Code V		Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy)	\$ 2.53	02/27/2014		М	30,000	<u>(6)</u>	03/31/2014	Common Stock	30,000
Stock Option (right to buy)	\$ 2.6	02/27/2014		М	8,000	<u>(6)</u>	09/30/2014	Common Stock	8,000

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Liaw Yih-Shyan Wally 980 ROCK AVE. SAN JOSE, CA 95131	Х		Secretary & V P of Intl Sales					
Signatures								

/s/Howard Hideshima, 04/10/2014 Attorney-In-Fact 8 E S () **Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reported sale was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on November 15, 2013.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$20.49 to \$20.98, inclusive. The reporting person undertakes to provide to Super Micro Computer, Inc., any security holder of Super Micro

- (2) To \$20.96, inclusive. The reporting person undertakes to provide to Super Micro Computer, Inc., any security holder of super Micro Computer, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnote (2) to this Form 4.
- (3) The reported sale was effected pursuant to a Rule 10b5-1 trading plan adopted by the Spouse of the reporting person on November 15, 2013.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$20.49 to \$20.92, inclusive. The reporting person undertakes to provide to Super Micro Computer, Inc., any security holder of Super Micro

- (4) Computer, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnote (4) to this Form 4.
- (5) These shares are held in a trust for the benefit of the reporting person's children. The reporting person and his spouse, Shiow-Meei S. Liaw, are the trustees of the trust.
- (6) The stock option is fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.