Gingrich James A Form 4 February 25, 2019

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

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**OMB APPROVAL** 

response...

subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

if no longer

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Gingrich James A

(First)

(Street)

(State)

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

ALLIANCEBERNSTEIN HOLDING L.P. [AB]

(Check all applicable) 10% Owner Director

3. Date of Earliest Transaction (Month/Day/Year) 02/21/2019

X\_ Officer (give title below) Chief Operating Officer

Other (specify

C/O ALLIANCEBERNSTEIN L.P., 1345 AVENUE OF THE **AMERICAS** 

(City)

(Last)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

(Zip)

(Middle)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I. Non Derivative Securities Acquired Disposed of or Peneficially Owned

NEW YORK, NY 10105

| (,)                                  | ()                                   | Tab   | le I - Non-l                            | Derivative                                  | Secur  | ities Acq          | uirea, Disposea o  | i, or Beneficial   | y Owned   |
|--------------------------------------|--------------------------------------|---|---|---|--------|--------------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securit<br>on(A) or Dis<br>(Instr. 3, 4) | sposed | of (D)             | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| AB<br>Holding<br>Units (1)           | 02/21/2019                           |   | S                                       | 25,000                                      | D      | \$<br>30.35<br>(2) | 69,909   | D  |   |
| AB Holding Units (1)                 | 02/22/2019                           |   | S                                       | 25,000                                      | D      | \$<br>29.76<br>(3) | 44,909   | D  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form

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# displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.                    | 5.         | 6. Date Exer     | cisable and | 7. Tit | le and     | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|-----------------------|------------|------------------|-------------|--------|------------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | TransactionNumber     |            | Expiration D     | ate         | Amou   | ınt of     | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code                  | of         | (Month/Day/      | Year)       | Unde   | rlying     | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) Derivative |            | ;                |             | Secur  | rities     | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |                       | Securities |                  |             | (Instr | . 3 and 4) |             | Own    |
|             | Security    |                     |                    |                       | Acquired   |                  |             |        |            |             | Follo  |
|             | ·           |                     |                    |                       | (A) or     |                  |             |        |            |             | Repo   |
|             |             |                     |                    |                       | Disposed   |                  |             |        |            |             | Trans  |
|             |             |                     |                    |                       | of (D)     |                  |             |        |            |             | (Instr |
|             |             |                     |                    |                       | (Instr. 3, |                  |             |        |            |             |        |
|             |             |                     |                    |                       | 4, and 5)  |                  |             |        |            |             |        |
|             |             |                     |                    |                       |            |                  |             |        |            |             |        |
|             |             |                     |                    |                       |            |                  |             |        | Amount     |             |        |
|             |             |                     |                    |                       |            | Date             | Expiration  | m: 1   | or         |             |        |
|             |             |                     |                    |                       |            | Exercisable Date | *           |        | Number     |             |        |
|             |             |                     |                    | C + V                 | (A) (D)    |                  |             | of     |            |             |        |
|             |             |                     |                    | Code V                | (A) (D)    |                  |             |        | Shares     |             |        |

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Gingrich James A C/O ALLIANCEBERNSTEIN L.P. 1345 AVENUE OF THE AMERICAS NEW YORK, NY 10105

**Chief Operating Officer** 

# **Signatures**

/s/ David M. Lesser, by pwr. of att'y

02/25/2019

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Units representing assignments of beneficial ownership of limited partnership interests in AllianceBernstein Holding L.P. ("AB Holding Units").
- (2) Reporting Person sold 25,000 AB Holding Units at prices ranging from \$30.26 to \$30.56 per Unit. The price set forth in the table is the weighted average of his sales at these prices.
- (3) Reporting Person sold 25,000 AB Holding Units at prices ranging from \$29.59 to \$29.95 per Unit. The price set forth in the table is the weighted average of his sales at these prices.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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