

Bauer Kevin S
Form 3
February 05, 2018

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB
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2005
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(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Bauer Kevin S
(Last) (First) (Middle)

980 ROCK AVE.
(Street)

SAN JOSE,Â CAÂ 95131

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)
01/30/2018

3. Issuer Name **and** Ticker or Trading Symbol
Super Micro Computer, Inc. [SMCI]

4. Relationship of Reporting
Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner
X Officer ___ Other
(give title below) (specify below)
Chief Financial Officer

5. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group
Filing(Check Applicable Line)
X Form filed by One Reporting
Person
___ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and
Expiration Date
(Month/Day/Year)

Date Expiration
Exercisable Date

3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

Title Amount or
Number of
Shares

4. Conversion
or Exercise
Price of
Derivative
Security

5. Ownership
Form of
Derivative
Security:
Direct (D)
or Indirect
(I)
(Instr. 5)

6. Nature of Indirect
Beneficial Ownership
(Instr. 5)

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| | | | | | | | |
|-----------------------------|--------------|--------------|--------------|--------|---------------|---|---|
| Stock Option (right to buy) | Â <u>(1)</u> | 01/25/2027 | Common Stock | 8,030 | \$ 28.45 | D | Â |
| Stock Option (right to buy) | Â <u>(1)</u> | 01/25/2027 | Common Stock | 21,970 | \$ 28.45 | D | Â |
| Stock Option (right to buy) | Â <u>(2)</u> | 01/25/2027 | Common Stock | 8,000 | \$ 28.45 | D | Â |
| Stock Option (right to buy) | Â <u>(2)</u> | 01/25/2027 | Common Stock | 42,000 | \$ 28.45 | D | Â |
| Restricted Stock Units | Â <u>(3)</u> | Â <u>(3)</u> | Common Stock | 15,000 | \$ <u>(4)</u> | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Bauer Kevin S 980 ROCK AVE. SAN JOSE, CA 95131 | Â | Â | Â Chief Financial Officer | Â |

Signatures

Kevin S. Bauer, Reporting Person 02/05/2018

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The vesting commencement date is January 11, 2017. The option is exercisable at the rate of 1/4th of the shares on the first anniversary of the vesting commencement date and 1/16th at the end of each successive calendar quarter thereafter.

(2) The vesting commencement date is January 11, 2017. The option is exercisable at the rate of 1/5th of the shares on the first anniversary of the vesting commencement date and 1/20th at the end of each successive calendar quarter thereafter.

(3) Subject to the Reporting Person's continued service to the Issuer, the restricted stock units vest at the rate of 25% of the total number of units on February 16, 2018 and 1/16th at the end of each successive calendar quarter thereafter. Vested units are settled in shares of SMCI common stock.

(4) Each restricted stock unit represents a contingent right to receive one share of SMCI common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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