## Edgar Filing: JOSEPH ROBERT H JR - Form 4

JOSEPH ROB	ERT H JR										
Form 4	2000										
December 17,									OMB A	PPROVAL	
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								OMB Number:	3235-0287		
Check this if no longer	r					~~		Expires:	January 31, 2005		
subject to Section 16. Form 4 or							ERSHIP OF		mated average len hours per		
Form 5 obligations may continue. See Instruction 1(b). Form 5 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 30(h) of the Investment Company Act of 1940											
(Print or Type Re	sponses)										
JOSEPH ROBERT H JR Sys			Symbol					5. Relationship of Reporting Person(s) to Issuer			
	HOLDIN	HOLDING L.P. [AB]					(Check all applicable)				
(Last)	(First)	(Middle)						Director X Officer (give		% Owner her (specify	
C/O ALLIAN L.P., 1345 AV AMERICAS						below)					
				Ionth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
NEW YORK	, NY 10105						Ī	Form filed by M Person	lore than One F	Reporting	
(City)	(State)	(Zip)	Table	I - Non-De	-Derivative Securities Acquired, Disposed of, or Beneficially Owned						
1.Title of Security (Instr. 3)	urity (Month/Day/Year) Execution Date, i		cution Date, if	Code (Instr. 3, 4 and 5) (Instr. 8) (A) or				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
units rep. assignments of beneficial owner. of lp interests $(1)$	12/07/2009 <u>(</u>	2)		A	15,339 (3)		Price \$ 26.73 (2)	80,018 (4) (5) (6)	I	by rabbi trust under incentive compensation award program	
Holding Units								39,108 <u>(4)</u> <u>(5)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Other

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Unde Secur	rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer			
OSEPH ROBERT H JR						
/O ALLIANCEBERNSTEIN L.P.			Chief Financial Officer			
345 AVENUE OF THE AMERICAS			Chief Fillancial Officer			

# Signatures

NEW YORK, NY 10105

JC C/ 13

/s/ Mona Bhalla, by pwr. att'y 12/17/2009

\*\*Signature of Reporting Person

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

Date

- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Units representing assignments of beneficial ownership of limited partnership interests in AllianceBernstein Holding L.P. ("Holding Units")

The price set forth in the table is the closing price of a Holding Unit as reported for composite transactions on the New York Stock Exchange on December 7, 2009, the date the award was granted by the Compensation Committee of the Board of Directors of

- (2) Exchange on December 7, 2009, the date the award was granted by the Compensation Commutee of the Doard of Directors of AllianceBernstein Corporation. This form was not filed within 48 hours of grant date because award amounts were not calculated and communicated to award recipients until the week of December 14, 2009.
- (3) Reporting Person's 2009 incentive compensation award was notionally converted into Holding Units. He therefore may be deemed to have acquired 15,339 Holding Units. These Holding Units are held in a rabbi trust under the incentive compensation award program until they are distributed to Reporting Person. The award vests (subject to various agreements and covenants set forth in the award agreement)

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in equal annual increments on each of December 1, 2010, 2011, 2012 and 2013.

- (4) On December 1, 2009, 3,818 Holding Units previously owned by Reporting Person through a rabbi trust under an incentive compensation award program were distributed to him.
- (5) On January 31, 2009, 4,200 Holding Units previously owned by Reporting Person through a rabbi trust under an incentive compensation award program were distributed to him.
- (6) Total includes 3,505 Holding Units acquired through quarterly distribution reinvestment.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.