

VICAL INC
Form 3
September 19, 2006

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *		2. Date of Event Requiring Statement	3. Issuer Name and Ticker or Trading Symbol	
Â ROLLAND ALAIN P PHD		(Month/Day/Year)	VICAL INC [VICL]	
(Last)	(First)	(Middle)	09/14/2006	
10390 PACIFIC CENTER COURT			4. Relationship of Reporting Person(s) to Issuer	5. If Amendment, Date Original Filed(Month/Day/Year)
(Street)			(Check all applicable)	
SAN DIEGO,Â CAÂ 92121			<input type="checkbox"/> Director <input type="checkbox"/> 10% Owner	6. Individual or Joint/Group Filing(Check Applicable Line)
(City)	(State)	(Zip)	<input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other	<input checked="" type="checkbox"/> Form filed by One Reporting Person
			(give title below) (specify below)	<input type="checkbox"/> Form filed by More than One Reporting Person
			Sr. VP, Product Development	

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	20,114 ⁽¹⁾	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
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	Date Exercisable	Expiration Date	Amount or Number of Shares			or Indirect (I) (Instr. 5)	
Employee Stock Option (right to buy)	Â (2)	09/13/2016	Common Stock, \$.01 par value	56,682	\$ 6.48	D	Â
Nonstatutory Stock Option (right to buy)	Â (2)	09/13/2016	Common Stock, \$.01 par value	3,318	\$ 6.48	D	Â
Employee Stock Option (right to buy)	Â (2)	09/13/2016	Common Stock, \$.01 par value	8,050	\$ 3.11	D	Â
Nonstatutory Stock Option (right to buy)	Â (2)	09/13/2016	Common Stock, \$.01 par value	11,950	\$ 3.11	D	Â
Employee Stock Option (right to buy)	Â (2)	09/13/2016	Common Stock, \$.01 par value	6,505	\$ 6.35	D	Â
Nonstatutory Stock Option (right to buy)	Â (2)	09/13/2016	Common Stock, \$.01 par value	8,495	\$ 6.35	D	Â
Employee Stock Option (right to buy)	Â (2)	09/13/2016	Common Stock, \$.01 par value	8,438	\$ 5.08	D	Â
Nonstatutory Stock Option (right to buy)	Â (2)	09/13/2016	Common Stock, \$.01 par value	6,562	\$ 5.08	D	Â
Employee Stock Option (right to buy)	Â (2)	09/13/2016	Common Stock, \$.01 par value	15,000	\$ 4.54	D	Â

Reporting Owners

Reporting Owner Name / Address

Relationships

Director	10% Owner	Officer	Other
Â	Â	Â Sr. VP, Product Development	Â

ROLLAND ALAIN P PHD
10390 PACIFIC CENTER COURT
SAN DIEGO, CA 92121

Signatures

Alain P. Rolland 09/14/2006

**Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares were acquired pursuant to a restricted stock grant.
- (2) The right to exercise the above stock options generally vests 25% on the first anniversary date of the grant, with the remaining rights vesting quarterly over the remaining three years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.