Phillips John McQuitty Form 4 April 24, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB Number:

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response...

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person * Phillips John McQuitty

2. Issuer Name and Ticker or Trading

Symbol

(Middle)

(Zip)

Habit Restaurants, Inc. [HABT]

3. Date of Earliest Transaction

(Month/Day/Year)

04/23/2019

Director 10% Owner Other (specify

5. Relationship of Reporting Person(s) to

X_ Officer (give title below)

CGBPO - See Remarks

(Check all applicable)

C/O THE HABIT RESTAURANTS, INC., 17320 RED HILL AVENUE, SUITE 140

(State)

(First)

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Issuer

IRVINE, CA 92614

(City)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

Reported Transaction(s)

(A) (Instr. 3 and 4)

Price Code V Amount (D)

Class A

Common 04/23/2019 Stock

 $A^{(1)}$

5,000 Α

\$0 15,204 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(9-02)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of orDerivative Securities Acquired (A)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securitie (Instr. 3 and 4)	
(IIISu. 3)	Derivative Security		(Month Day Tear)	(IIIsu. 6)		or Disposed of (D) (Instr. 3, 4, and 5)					
				Code V	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amour or Number of Shar
Nonstatutory Stock Option (right to buy)	\$ 10.1	04/23/2019		A		20,000		(2)	04/23/2029	Class A Common Stock	20,00

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer Other

Phillips John McQuitty C/O THE HABIT RESTAURANTS, INC. 17320 RED HILL AVENUE, SUITE 140 IRVINE, CA 92614

CGBPO - See Remarks

Signatures

/s/ Ira Fils,

Attorney-in-Fact 04/24/2019

**Signature of Reporting Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares of restricted stock granted under the Company's 2014 Omnibus Incentive Plan, as amended. The awards vest in equal installments over four years, with one-fourth of the total award vesting on the first, second, third and fourth anniversary date.
- The Nonstatutory Stock Option is exercisable in the following cumulative installments prior to the Expiration date: 5,000 shares on or after April 23, 2020; an additional 5,000 shares on or after April 23, 2021; an additional 5,000 shares on or after April 23, 2022; and an additional 5,000 shares on or after April 23, 2023.

Remarks:

Chief Global Business Partnership Officer

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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