#### GOMEZ GREGORY M

Form 4

March 05, 2019

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

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(Print or Type Responses)

1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading **GOMEZ GREGORY M** Symbol

BADGER METER INC [BMI]

(First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year)

(Zip)

03/01/2019

(Street)

X\_ Officer (give title below)

4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting Person

MILWAUKEE, WI 53223

(State)

(City)

4545 W. BROWN DEER ROAD

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

4. Securities

5. Amount of Securities Beneficially Owned Following Reported

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Issuer

Director

Form: Direct Indirect (D) or Indirect (I) (Instr. 4)

Beneficial Ownership (Instr. 4)

6. Ownership 7. Nature of

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

5. Relationship of Reporting Person(s) to

(Check all applicable)

VP-Flow Instrumentation

6. Individual or Joint/Group Filing(Check

10% Owner

Other (specify

Estimated average

burden hours per

(A) Transaction(s) or (Instr. 3 and 4) Amount (D) Price

Common  $A_{-}^{(1)}$ 03/01/2019 548 A \$0 16,364 D Stock

3.

Common I **ESSOP** 12,764.4734 Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	5. Number action of Derivative Securities 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options	\$ 59.85	03/01/2019		A	1,813	3	03/01/2020(2)	03/01/2029	BMI Common Stock	1,813
Stock Options	\$ 18.075						05/04/2013	05/04/2022	BMI Common Stock	4,800
Stock Options	\$ 25.645						03/01/2014	03/01/2023	BMI Common Stock	2,836
Stock Options	\$ 27.18						03/07/2015	03/07/2024	BMI Common Stock	2,818
Stock Options	\$ 28.33						03/06/2016	03/06/2025	BMI Common Stock	3,378
Stock Options	\$ 33.975						03/04/2017	03/04/2026	BMI Common Stock	2,886
Stock Options	\$ 36.45						03/03/2018	03/03/2027	BMI Common Stock	2,294
Stock Options	\$ 48.2						03/02/2019	03/02/2028	BMI Common Stock	1,783

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

**VP-Flow Instrumentation** 

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GOMEZ GREGORY M 4545 W. BROWN DEER ROAD MILWAUKEE, WI 53223

#### **Signatures**

/s/ William R.A. Bergum, Attorney-in-Fact for Gregory M. Gomez

03/05/2019

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock granted March 1, 2019 to reporting person under the 2011 Omnibus Incentive Plan. The restricted stock vests 100% on the third anniversary of the date of grant.
- (2) Grant to reporting person of option to buy shares under the 2011 Omnibus Incentive Plan. This option vests and becomes exercisable in 20% increments on March 1, 2020, 2021, 2022, 2023 and 2024, respectively.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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