Gotelli Robert Form 4 March 05, 2019

## FORM 4

#### **OMB APPROVAL**

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

if no longer subject to Section 16. Form 4 or

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

January 31, Expires: 2005

Form 5 obligations **SECURITIES** 

Estimated average burden hours per response... 0.5

may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* Gotelli Robert

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

Bank of Marin Bancorp [BMRC]

(Check all applicable)

(Last)

(First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)

Director 10% Owner X\_ Officer (give title Other (specify

504 REDWOOD BOULEVARD,

SUITE 100

**Executive Vice President** 6. Individual or Joint/Group Filing(Check

(Street) 4. If Amendment, Date Original

03/01/2019

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

below)

**NOVATO, CA 94947** 

(City)	(State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securities nAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Form: Direct Beneficially (D) or Owned Indirect (I) Following (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Common Stock	03/01/2019		A	1,120	A	\$0	10,546	D	
Common Stock	03/01/2019		A	400	A	\$0	10,946	D	
Common Stock							11,916.0006	I	By ESOP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control

### Edgar Filing: Gotelli Robert - Form 4

### number.

# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day/Year) erivative curities equired ) or sposed (D) sstr. 3,		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options (Right to Buy)	\$ 44.45					<u>(1)</u>	03/01/2029	Common Stock	1,580
Stock Options (Right to buy)	\$ 33.575					<u>(1)</u>	03/01/2028	Common Stock	1,740
Stock Options (Right to buy)	\$ 33.575					(2)	03/01/2028	Common Stock	980
Stock Options (Right to buy)	\$ 34.8					<u>(1)</u>	03/01/2027	Common Stock	1,560
Stock Options (Right to buy)	\$ 24.825					<u>(1)</u>	03/01/2026	Common Stock	2,400
Stock Options (Right to buy)	\$ 25.375					(3)	03/02/2025	Common Stock	2,200
Stock Options (Right to Buy)	\$ 22.94					(3)	04/01/2024	Common Stock	1,300

8. Price Derivat Securit (Instr. 5

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Stock Options (Right to buy)	\$ 19.675	(3)	04/01/2023	Common Stock	1,400
Stock Options (Right to buy)	\$ 19.09	(3)	04/02/2022	Common Stock	2,200
Stock Options (Right to buy)	\$ 19	(3)	04/01/2021	Common Stock	1,400
Stock Options (Right to buy)	\$ 16.55	<u>(3)</u>	04/01/2020	Common Stock	1,400
Stock Options (Right to buy)	\$ 11.125	(3)	04/01/2019	Common Stock	3,100

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## **Reporting Owners**

Reporting Owner Name / Address	Keiationsinps						
	Director	10% Owner	Officer	Other			
Gotelli Robert 504 REDWOOD BOULEVARD, SUITE 100 NOVATO, CA 94947			Executive Vice President				

## **Signatures**

Nancy R. Boatright, Attorney-in-Fact 03/05/2019

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exercisable 33% per year beginning on first anniversary date of grant
- (2) Exercisable 33% immediately, then 33% per year on first anniversary date of grant.
- (3) Exercisable 20% per year beginning on first anniversary date of grant

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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