Kass Jordan T Form 4 August 21, 2018

# FORM 4

### **OMB APPROVAL**

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

January 31, Expires: 2005 Estimated average burden hours per

0.5

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

**SECURITIES** 

response...

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

2. Issuer Name and Ticker or Trading Symbol

Issuer

Kass Jordan T

C H ROBINSON WORLDWIDE INC [CHRW]

(Check all applicable)

5. Relationship of Reporting Person(s) to

(Last)

Common

Stock

(Middle)

3. Date of Earliest Transaction

Director \_X\_\_ Officer (give title

10% Owner Other (specify

14701 CHARLSON ROAD

(Month/Day/Year) 02/04/2015

below) President, Managed Services

(Street)

(First)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting Person

621

Ι

EDEN PRAIRIE, MN 55347

(City)	(State)	(Zip) Tab	le I - Non-l	Derivative	Secu	rities Acqui	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A Transaction Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or			(D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	02/04/2015		Code V A	Amount 2,389 (1)	(D)	Price \$ 0	(Instr. 3 and 4) 25,538	D	
Common Stock	02/02/2016		A	1,965 (2)	A	\$ 0	27,503	D	
Common Stock	08/17/2018		M	6,190	A	\$ 58.25	33,693	D	
Common Stock	08/17/2018		S	6,190	D	\$ 97.0561 (3)	27,503	D	

by ESPP

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of TransactiorDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option (right to buy)	\$ 68.81	02/02/2017		A	245		<u>(4)</u>	12/07/2021	Common Stock	245
Option (right to buy)	\$ 61.91	02/02/2017		A	374		<u>(5)</u>	12/05/2022	Common Stock	374
Option (right to buy)	\$ 61.91	01/31/2018		A	75		<u>(5)</u>	12/05/2022	Common Stock	75
Stock Option (right to buy)	\$ 58.25	02/02/2017		A	1,397		<u>(6)</u>	12/04/2023	Common Stock	1,397
Option (right to buy)	\$ 58.25	01/31/2018		A	1,048		<u>(6)</u>	12/04/2023	Common Stock	1,048
Option (right to buy)	\$ 74.57	02/02/2017		A	1,231		<u>(7)</u>	12/03/2024	Common Stock	1,231
Option (right to buy)	\$ 74.57	01/31/2018		A	923		<u>(7)</u>	12/03/2024	Common Stock	923
Option (right to buy)	\$ 58.25	08/17/2018		M		6,190	<u>(6)</u>	12/04/2023	Common Stock	6,190

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## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Kass Jordan T 14701 CHARLSON ROAD EDEN PRAIRIE, MN 55347

President, Managed Services

## **Signatures**

/s/ Ben G. Campbell, Attorney-in-Fact for Jordan T. Kass

08/21/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents Performance-based restricted stock units that vested on February 4, 2015, have been credited to the reporting person's account in the Issuer's NQDC Plan and will be settled on a 1 for 1 basis in shares of the Issuer's common stock.
- (2) Represents Performance-based restricted stock units that vested on February 2, 2016, have been credited to the reporting person's account in the Issuer's NQDC Plan and will be settled on a 1 for 1 basis in shares of the Issuer's common stock.
- Reflects the weighted average price of 6,190 shares of common stock of C.H. Robinson Worldwide, Inc. sold by the reporting person in multiple transactions on August 17, 2018 with sale prices ranging from \$97.05 to \$97.10 per share. The reporting person undertakes to provide upon request by the U.S. Securities and Exchange Commission staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price.
- (4) Performance-based stock option granted 12/7/11 that vests, becomes exercisable and reportable as and to the extent applicable performance conditions are satisfied.
- (5) Performance-based stock option granted 12/5/12 that vests, becomes exercisable and reportable as and to the extent applicable performance conditions are satisfied.
- (6) Performance-based stock option granted 12/4/13 that vests, becomes exercisable and reportable as and to the extent applicable performance conditions are satisfied.
- (7) Performance-based stock option granted 12/3/14 that vests, becomes exercisable and reportable as and to the extent applicable performance conditions are satisfied.

#### Remarks:

Exhibit List: Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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