GAGE L PATRICK Form 4

June 01, 2018

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

**GAGE L PATRICK** 

2. Issuer Name and Ticker or Trading

Symbol

**TETRAPHASE** 

PHARMACEUTICALS INC

[TTPH]

(Last) (First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year)

480 ARSENAL WAY 05/30/2018

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

5. Relationship of Reporting Person(s) to

(Check all applicable)

10% Owner

Other (specify

Applicable Line)

X\_ Director

Officer (give title

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Issuer

below)

WATERTOWN, MA 02472

(State) (City) 1.Title of 2. Transaction Date 2A. Deemed

Security

(Instr. 3)

(Zip)

(Month/Day/Year)

(Month/Day/Year) Execution Date, if

4. Securities TransactionAcquired (A) or Code (Instr. 8)

Disposed of (D) (Instr. 3, 4 and 5) 5. Amount of Securities Beneficially Owned Following

6. Ownership Form: Direct (D) or Indirect Beneficial (Instr. 4)

Reported Transaction(s)

or (Instr. 3 and 4) Code V Amount (D) Price

(A)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

7. Nature of

Ownership

(Instr. 4)

Indirect

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion 3. Transaction Date 3A. Deemed

(Month/Day/Year) Execution Date, if

4 5. Number of TransactionDerivative

6. Date Exercisable and **Expiration Date** 

7. Title and Amount of 8 **Underlying Securities** 

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| Security (Instr. 3)                  | or Exercise<br>Price of<br>Derivative<br>Security |            | any<br>(Month/Day/Year) | Code (Instr. 8) | Securities (Month<br>Acquired (A)<br>or Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) |     | (Month/Day/         | Year)              | (Instr. 3 and 4) |                                     |
|--------------------------------------|---------------------------------------------------|------------|-------------------------|-----------------|---------------------------------------------------------------------------------------|-----|---------------------|--------------------|------------------|-------------------------------------|
|                                      |                                                   |            |                         | Code V          | / (A)                                                                                 | (D) | Date<br>Exercisable | Expiration<br>Date | Title            | Amount<br>or<br>Number<br>of Shares |
| Stock<br>option<br>(right to<br>buy) | \$ 3.68                                           | 05/30/2018 |                         | A               | 12,500                                                                                |     | <u>(1)</u>          | 05/29/2028         | Common<br>Stock  | 12,500                              |

# **Reporting Owners**

| Reporting Owner Name / Address                           | Relationships |           |         |       |  |  |  |
|----------------------------------------------------------|---------------|-----------|---------|-------|--|--|--|
| reporting owner runner runners                           | Director      | 10% Owner | Officer | Other |  |  |  |
| GAGE L PATRICK<br>480 ARSENAL WAY<br>WATERTOWN, MA 02472 | X             |           |         |       |  |  |  |

### **Signatures**

/s/ Maria Stahl, as Attorney-in-Fact for L. Patrick
Gage
06/01/2018

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Vests in equal quarterly installments over a one-year period measured from the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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