THESTREET, INC. Form 4

January 05, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or Form 5

obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Morgan Betsy L.

2. Issuer Name and Ticker or Trading

Symbol THESTREET, INC. [TST]

(Last) (First)

(Middle)

3. Date of Earliest Transaction

(Month/Day/Year) 01/03/2017

C/O THESTREET, INC., 14 WALL STREET, 15TH FLOOR

(Street)

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

OMB

Number:

Expires:

response...

Estimated average

burden hours per

OMB APPROVAL

3235-0287

January 31,

2005

0.5

Issuer

(Check all applicable)

X_ Director 10% Owner Officer (give title Other (specify

below)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

NEW YORK, NY 10005

1.Title of

Security

(Instr. 3)

(City) (State) (Zip)

(Month/Day/Year)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

2. Transaction Date 2A. Deemed Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial (I) Ownership (Instr. 4) (Instr. 4)

Reported Transaction(s) (Instr. 3 and 4)

Code V Amount (D) Price

(A)

or

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion Security or Exercise

3. Transaction Date 3A. Deemed (Month/Day/Year) Execution Date, if any

4. 5. Number of TransactionDerivative Code Securities

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amount of Underlying Securities (Instr. 3 and 4)

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| (Instr. 3) | Price of Derivative Security | | (Month/Day/Year) | (Instr. 8 | | Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | | | | |
|--------------------------------------|------------------------------------|------------|------------------|-----------|---|--|-----|---------------------|-----------------|---|-----------------------------------|
| | | | | Code | V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amoun or Number of Share |
| Restricted Stock Units (1) | <u>(2)</u> | 01/03/2017 | | A | | 35,295 | | (3) | (3) | Common Stock, par value \$.01 per share | 35,29 |
| Stock Option (Right to Buy) | \$ 0.85 | 01/03/2017 | | A | | 10,000 | | <u>(4)</u> | 01/03/2024(5) | Common Stock, par value \$.01 per share | 10,00 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--|---------------|-----------|---------|-------|--|--|--|
| reporting 6 wher runne / runne 6 | Director | 10% Owner | Officer | Other | | | |
| Morgan Betsy L. C/O THESTREET, INC. 14 WALL STREET, 15TH FLOOR NEW YORK, NY 10005 | X | | | | | | |

Signatures

/s/ Heather Mars, as Attorney-in-Fact for Betsy L.

Morgan

01/05/2017

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Grant made to each non-employee director in connection with his or her continued Board Membership and represents \$60,000 of value.

Date

- (2) Each restricted stock unit represents a contingent right to receive one share of Common Stock.
- (3) The RSUs will vest on the date of the 2017 annual meeting of stockholders, subject to continued service through the applicable vesting date.
- (4) The option will vest on the date of the 2017 annual meeting of stockholders, subject to continued service through the applicable vesting date.
- (5) Subject to acceleration or forfeiture under various circumstances.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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