Edgar Filing: WORTHINGTON INDUSTRIES INC - Form 4

WORTHINGTON INDUSTRIES INC

Form 4

November 28, 2016

FORM	4				OIVID AI	THOVAL			
. •	UNITED STA		ITIES AND EXCHANGE	COMMISSION	OMB	3235-0287			
Check this	box	Was	hington, D.C. 20549		Number:	January 31,			
if no longe	ar.	T OF CITANA	CEC IN DENEFICIAL OW	NEDCHID OF	Expires:	2005			
subject to Section 16 Form 4 or	j.	I OF CHANG	GES IN BENEFICIAL OW SECURITIES	NEKSHIP OF	Estimated average burden hours per response 0.5				
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940									
(Print or Type Ro	esponses)								
1. Name and Ad RUSSELL M	ldress of Reporting Perso IARK A	Symbol	Name and Ticker or Trading	5. Relationship of Reporting Person(s) to Issuer					
		INC [W	IINGTON INDUSTRIES OR]	(Check	k all applicable	e)			
(Last)	(First) (Middle		Earliest Transaction	Director 10% Owner _X_ Officer (give title Other (specify below) Pres/COO, Worthington Industri					
200 OLD WI	ILSON BRIDGE RO	(Month/Da DAD 11/23/20							
	(Street)		ndment, Date Original th/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person					
COLUMBUS	S, OH 43085			Form filed by M Person	Iore than One Re	eporting			
(City)	(State) (Zip)	Table	e I - Non-Derivative Securities Ac	quired, Disposed of	, or Beneficial	ly Owned			
(Instr. 3) any		xecution Date, if	3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) (A) or	Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
Common			Code V Amount (D) Price	(Instr. 3 and 4)	_				
Shares				408,659	D				
Common Shares				17,056	I	By 401(k)			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if	4. Transactio	5. orNumber	6. Date Exer Expiration D		7. Title and of Underlyin		8. Price of Derivative
Security	or Exercise	(Mondif Buj, 1 cur)	any	Code	of	(Month/Day		Securities	' 5	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	` .	,	(Instr. 3 and	4)	(Instr. 5)
	Derivative				Securities					
	Security				Acquired					
					(A) or					
					Disposed					
					of (D)					
					(Instr. 3,					
					4, and 5)					
									Amount	
						Date	Expiration		or	
						Exercisable	Date	Title	Number	
						Excreisable	Duic		of	
				Code V	(A) (D)				Shares	
phantom stock	<u>(1)</u>	11/23/2016		A	34	(2)	(3)	common shares	34	\$ 61.73

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

RUSSELL MARK A 200 OLD WILSON BRIDGE ROAD COLUMBUS, OH 43085

Pres/COO, Worthington Industri

Signatures

/s/Mark A. Russell by Dale T. Brinkman, as attorney-in-fact

11/28/2016

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The accounts track common shares on a one-for-one basis
- (2) Prior to October 1, 2014, the account balances related to the theoretical Company common share investment option could be immediately transferred to other investment options under the terms of the deferred compensation plan.
 - The Company amended its Amended and Restated 2005 Deferred Compensation Plan (The "Plan") effective October 1, 2014. The amendment includes a provision that effective October 1, 2014 and thereafter, any amount credited in a participant's account to the
- (3) phantom stock fund (i.e. theoretical Company common shares deemed investment option) may not be transferred to an alternative deemed investment option under the Plan until distribution from the Plan. Distributions are made only in common shares of the Company and generally commence upon leaving the Company.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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