MARINEMAX INC

Form 4

August 04, 2016

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

Estimated average

burden hours per

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005

0.5

**OMB APPROVAL** 

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

response...

See Instruction

1(b).

(Print or Type Responses)

5. Relationship of Reporting Person(s) to Issuer

Symbol

MARINEMAX INC [HZO]

(Check all applicable)

(Last)

Day Paulee C

(First)

(Middle)

3. Date of Earliest Transaction

(Month/Day/Year)

10% Owner \_ Other (specify

2600 MCCORMICK DRIVE, SUITE 08/03/2016

1. Name and Address of Reporting Person \*

200

\_X\_\_ Officer (give title below)

EVP & Chief Legal Officer

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

Director

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### CLEARWATER, FL 33759

(City)	(State) (	<sup>(Zip)</sup> Tabl	e I - Non-D	erivative	Secur	ities Acqu	uired, Disposed of	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	action Date 2A. Deemed Day/Year) Execution Date, if any		3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			Beneficially Form Owned (D) of Following India	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		
Common stock	08/03/2016		M(1)	5,000	A	\$ 9.13	18,411	D	
Common stock	08/03/2016		S(1)	5,000	D	\$ 20.08	13,411	D	
Common stock	08/03/2016		M(1)	2,500	A	\$ 7.48	15,911	D	
Common stock	08/03/2016		S <u>(1)</u>	2,500	D	\$ 20.09 (2)	13,411	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number proof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 9.13	08/03/2016		M	5,000	(3)	02/10/2021	Common Stock	5,000
Employee Stock Option (Right to Buy)	\$ 7.48	08/03/2016		M	2,500	<u>(4)</u>	11/20/2022	Common Stock	2,500

# **Reporting Owners**

\*\*Signature of Reporting Person

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Day Paulee C 2600 MCCORMICK DRIVE, SUITE 200 CLEARWATER, FL 33759			EVP & Chief Legal Officer			
Signatures						
/s/ Michael H. McLamb, Attorney-in-Fact for C. Day	Paulee	08/04/201	6			

Reporting Owners 2

Date

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## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The shares were exercised and sold pursuant to a 10b5-1 Sales Plan.
- This transaction was executed in multiple trades at prices ranging from \$20.08 to \$20.13. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the Securities and Exchange Commission staff, the Issuer or a security holder of the Issuer, full information regarding the number of shares sold at each separate price for all transactions reported on this Form 4.
- (3) 1/36 of the total number of options granted vested and became exercisable on a monthly basis for a three-year period beginning on February 10, 2011, the initial grant date.
- (4) 1/36 of the total number of options granted vested and became exercisable on a monthly basis for a three-year period beginning on November 20, 2012, the initial grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.