Edgar Filing: Atara Biotherapeutics, Inc. - Form 4

Atara Biotherapeurs, Inc. Form 4 May 27, 2016 OMB August FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB MB Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES OMB MB Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 1(b). Estimated august 2005										
(Print or Type)	Responses)									
Ciechanover Isaac E. Symbol			r Name and				5. Relationship of Reporting Person(s) to Issuer			
			Biotherapeutics, Inc. [ATRA]				(Check all applicable)			
611 GATEV BOULEVA	Day/Year) 016	unsueuron			X Director 10% Owner X Officer (give title Other (specify below) Chief Executive Officer					
	endment, Da nth/Day/Year	-	1		 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 					
SOUTH SA FRANCISC	AN CO, CA 94080						Person	lore than One Re	porting	
(City)	(State) (Zi	^{p)} Tab	le I - Non-D	Derivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	(Month/Day/Year) E	ansaction Date 2A. Deemed tht/Day/Year) Execution Date, if any (Month/Day/Year)				Price	5. Amount of Securities6. Ownersh Form: Direc (D) orBeneficially Owned(D) orOwnedIndirect (I)Following Reported(Instr. 4)Transaction(s) (Instr. 3 and 4)		Beneficial Ownership (Instr. 4)	
Common Stock	05/25/2016		S <u>(1)</u>	3,600	D	\$ 18.03 (2)	359,911	Ι	See Footnote (3)	
Common Stock	05/26/2016		S <u>(1)</u>	3,600	D	\$ 17.35 (4)	356,311	I	See Footnote	
Common Stock							223,228	D		
Common Stock							450,824	I	See footnote	

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								(5)					
Common Stock						180,00	0 I	See foo (6)	e tnote				
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number.													
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)													
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr			
				Code V	(A) (D)	Date Exercisable	Expiration Date	Amount or Title Number of Shares					
Repo	rting O	wners											
Repo	orting Owner	Name / Address	Director 10%		Relationshij Officer	98	Othe	er.					
611 GAT SUITE 90	00	ULEVARD CISCO, CA 9408	X 0		Chief Ex	ecutive Off	icer						
Signa													
•	Gullotta, Att	torney-in-Fact for	Isaac E.		05/26/20	016							
	<u>**</u> Signa	ture of Reporting Person			Date								

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Transaction pursuant to Rule 10b5-1 Plan adopted March 22, 2016.

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The price in Column 4 is a weighted average sale price. The prices actually received ranged from \$17.82 to \$18.27. The reporting person(2) will provide to the issuer, any security holder of the issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.

(3) Shares are held by the Isaac E. Ciechanover and Allison M. Ciechanover Family Trust dated 8/8/08, of which the Reporting Person is a trustee.

The price in Column 4 is a weighted average sale price. The prices actually received ranged from \$17.12 to \$17.61. The reporting person(4) will provide to the issuer, any security holder of the issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.

- (5) Shares are held by the Ciechanover Family GRAT, of which the Reporting Person is a trustee.
- (6) Shares are held by the The Ciechanover 2015 GRAT, of which the Reporting Person is a trustee.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.