Edgar Filing: THESTREET, INC. - Form 3

THESTREET, INC.

Form 3 April 21, 2016

UNITED STATES SECURITIES AND EXCHANGE COMMISSION FORM 3 Washington, D.C. 20549

OMB APPROVAL

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SECURITIES

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person *

Crosby Michael A C

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

04/11/2016

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

THESTREET, INC. [TST]

(Check all applicable)

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O THESTREET, INC., 14 WALL STREET, 15TH

FLOOR

1. Title of Security

(Instr. 4)

(Street)

Director _X__ Officer

(give title below) (specify below)

President, The Deal

10% Owner Other

6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

NEW YORK. NYÂ 10005

(City) (State) (Zip)

Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities

Beneficially Owned (Instr. 4)

3. Ownership 4. Nature of Indirect Beneficial Ownership

Form: Direct (D) or Indirect

(I) (Instr. 5)

(Instr. 5)

Common Stock, par value \$0.01 per share

10,000

Â D

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

Expiration Date

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. 5. Ownership Conversion or Exercise Form of

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Price of Derivative Derivative Security:

(Month/Day/Year)

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| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | Security | Direct (D) or Indirect (I) (Instr. 5) | |
|-----------------------------|---------------------|--------------------|--|----------------------------------|-----------------|--|---|
| Stock Option (right to buy) | (1) | 09/13/2018 | Common Stock, par value \$0.01 per share | 100,000 | \$ 2.15 | D | Â |
| Stock Option (right to buy) | (2) | 07/21/2019 | Common Stock, par value \$0.01 per share | 25,000 | \$ 2.34 | D | Â |
| Restricted Stock Units | (3) | 03/31/2023 | Common Stock, par value \$0.01 per share | 30,000 | \$ 0 <u>(4)</u> | D | Â |
| Stock Option (right to buy) | (5) | 09/12/2017 | Common Stock, par value \$0.01 per share | 100,000 | \$ 1.39 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | |
|---|---------------|-----------|------------------------|-------|--|
| | Director | 10% Owner | Officer | Other | |
| Crosby Michael A C C/O THESTREET, INC., 14 WALL STREET 15TH FLOOR NEW YORK, NY 10005 | Â | Â | President, The Deal | Â | |
| Cianoturas | | | | | |

Signatures

/s/ Yasmin Gamboa, as attorney-in-fact for Michael O4/21/2016

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The option vested as to 1/4th of the shares underlying the option on September 13, 2014, the first anniversary of the grant date, and vests (1) as to 1/48th of the shares underlying the option each month thereafter. As of April 11, 2016, 64,583 of the shares are vested and exercisable
- (2) The option vested as to 1/4th of the shares underlying the option on July 21, 2015, the first anniversary of the grant date, and vests as to 1/48th of the shares underlying the option each month thereafter. As of April 11, 2016, 10,416 of the shares are vested and exercisable.

Reporting Owners 2

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- (3) The restricted stock units vest as follows: 1/3rd on April 1, 2017, the first anniversary of the grant date, and 1/36th per month thereafter.
- (4) Each restricted stock units represent a contingent right to receive one share of Common Stock.

The option vested as to 1/4th of the shares underlying the option on September 12, 2013, the first anniversary of the grant date, and vests

(5) as to 1/48th of the shares underlying the option each month thereafter. As of April 11, 2016, 89,583 of the shares are vested and exercisable.

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Remarks:

Exhibit 24.1: Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.