### Edgar Filing: FINANCIAL INSTITUTIONS INC - Form 3

## FINANCIAL INSTITUTIONS INC Form 3 February 03, 2016 FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB APPROVAL

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

# (Print or Type Responses)

| 1. Name and Address of Reporting<br>Person <u>*</u><br>Burneal Michael D | <ul><li>2. Date of Event Requiring<br/>Statement</li><li>(Month/Day/Year)</li></ul> | 3. Issuer Name and Ticker or Trading Symbol<br>FINANCIAL INSTITUTIONS INC [FISI] |  |  |  |  |
|--|---|--|--|--|--|--|
| (Last) (First) (Middle)  | 01/27/2016  | 4. Relationship of Reporting Person(s) to Issuer                                 |  | 5. If Amendment, Date Original Filed(Month/Day/Year) |  |  |
| (Street)   |   | (Check all applicable)   |  |  | 6. Individual or Joint/Group   |  |
| WARSAW, NY 14569   |   | Director<br>X Officer<br>(give title below<br>Senior V                           | Other  | w)   | Filing(Check Applicable Line)<br>_X_Form filed by One Reporting<br>Person<br>Form filed by More than One<br>Reporting Person |  |
| (City) (State) (Zip)   | Table I - N   | Non-Derivative Securities Beneficially Owned                                     |  |  |  |  |
| 1.Title of Security<br>(Instr. 4)  | 2. Amount of<br>Beneficially<br>(Instr. 4)  |  | 3.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 5) | 4. Nat<br>Owne<br>(Instr.                            | <b>^</b>   |  |
| Common Stock   | 3,137   |  | D  | Â  |  |  |
| Common Stock   | 2,289   |  | Ι  | Held   | in 401K Plan   |  |
| Common Stock   | 800   |  | Ι  | Held   | in IRA   |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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#### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security | 2. Date Exercisable and | 3. Title and Amount of | 4.          | 5.        | 6. Nature of Indirect |
|---------------------------------|-------------------------|------------------------|-------------|-----------|-----------------------|
| (Instr. 4)                      | Expiration Date         | Securities Underlying  | Conversion  | Ownership | Beneficial Ownership  |
|                                 | (Month/Day/Year)        | Derivative Security    | or Exercise | Form of   | (Instr. 5)            |

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Number:

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|                     |                    | (Instr. 4) |                                  | Price of               | Derivative  |
|---------------------|--------------------|------------|----------------------------------|------------------------|---|
| Date<br>Exercisable | Expiration<br>Date | Title      | Amount or<br>Number of<br>Shares | Derivative<br>Security | Security:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 5) |

# **Reporting Owners**

| <b>Reporting Owner Name / Address</b>                       | Relationships |            |                       |       |  |
|---|---------------|------------|-----------------------|-------|--|
|   | Director      | 10% Owner  | Officer               | Other |  |
| Burneal Michael D<br>220 LIBERTY STREET<br>WARSAW, NY 14569 | Â             | Â          | Senior Vice President | Â     |  |
| Signatures  |               |            |                       |       |  |
| /s/ Michael D. Grover, by power of<br>attorney 02           |               | 02/03/2016 |                       |       |  |
| <u>**</u> Signature of Reporting Person                     |               | Date       |                       |       |  |

# **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.