### Edgar Filing: WORTHINGTON INDUSTRIES INC - Form 4/A

### **WORTHINGTON INDUSTRIES INC**

12/18/2015

Shares

Shares

Common

Form 4/A January 15, 2016

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box

if no longer subject to Section 16. Form 4 or

Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading LYTTLE CATHERINE M Issuer Symbol WORTHINGTON INDUSTRIES (Check all applicable) INC [WOR] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner X\_ Officer (give title Other (specify (Month/Day/Year) below) 200 OLD WILSON BRIDGE ROAD 12/18/2015 Vice President-Communications (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person 12/21/2015 Form filed by More than One Reporting COLUMBUS, OH 43085 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Ownership Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Direct Beneficial Ownership (Month/Day/Year) Owned (Instr. 8) (D) or Following Indirect (I) (Instr. 4) Reported (Instr. 4) (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price Common 12/18/2015 M 10,000 A 30,451 D 18.17 Shares Common

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

S

10,000 D

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20,451

511 (1)

D

I (2)

By 401(k)

Plan

**OMB APPROVAL** 

3235-0287

January 31,

2005

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#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisabl Expiration Date (Month/Day/Year)		7. Title and A of Underlying Securities (Instr. 3 and 4	ıg
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	or No
Non-qualified stock option (right to buy)	\$ 18.17	12/18/2015		M	10,000	06/01/2007(3)	06/01/2016	common shares	1

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

LYTTLE CATHERINE M 200 OLD WILSON BRIDGE ROAD COLUMBUS, OH 43085

Vice President-Communications

## **Signatures**

/s/Dale T. Brinkman as attorney-in-fact for Catherine M. Lyttle

12/21/2015

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations, See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Amount listed is the most up-to-date information available regarding holdings in the Company Plan Fund which invests in Common Shares of the Company.
- (2) This filing corrects the original filing which reported ownership of common shares held by 401(k) Plan are direct. Common shares held in the Company's 401(k) Plan are Indirect. No other changes have been made.
- (3) This non-qualified stock option was granted out of the Company's 2003 Stock Option Plan and vests at 20% per year. Date listed is the first day the options were available

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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