### Edgar Filing: DAWSON GEOPHYSICAL CO - Form 4

#### DAWSON GEOPHYSICAL CO

Form 4

December 09, 2014

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

3235-0287

Check this box if no longer subject to

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005 Estimated average

**OMB APPROVAL** 

Section 16. Form 4 or Form 5 obligations

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

burden hours per response... 0.5

may continue. See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

2. Issuer Name and Ticker or Trading

Issuer

**TOBIAS C RAY** 

Symbol DAWSON GEOPHYSICAL CO

(Check all applicable)

5. Relationship of Reporting Person(s) to

[DWSN]

(Last) (First) (Middle) 3. Date of Earliest Transaction

Director X\_ Officer (give title

508 W. WALL SUITE 800

(Month/Day/Year) 12/04/2013

Other (specify below)

below) Executive Vice President/COO

(Street) 4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

MIDLAND, TX 79701

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year)

3. 4. Securities Execution Date, if TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) (Month/Day/Year)

5. Amount of Securities Beneficially Owned

7. Nature Ownership of Indirect Form: Beneficial Ownership Direct (D) (Instr. 4)

10% Owner

(A)

Following or Indirect Reported (I) Transaction(s) (Instr. 4)

(Instr. 3 and 4)

Code V Amount (D) Price

Dawson

Geophysical

Company-Common  $A^{(1)}$ 12/04/2013 2,956 \$0 31,806 D Α

Stock \$0.33 1/3 par

value

Dawson

Geophysical  $A^{(2)}$ Company-Common 12/05/2014 7,907 Α \$0 39,713 D

Stock \$0.33 1/3 par

value

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

#### Edgar Filing: DAWSON GEOPHYSICAL CO - Form 4

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber		Expiration Da	ate	Amoun	it of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underly	ying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8) Derivative		e		Securit	ies	(Instr. 5)	Bene
	Derivative				Securities			(Instr. 3	3 and 4)		Owne
	Security				Acquired						Follo
	-				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									A manust		
									Amount		
						Date Expiration Exercisable Date	Expiration		or N		
							Date		Number		
				C 1 W	(A) (D)				of		
				Code V	(A) (D)				Shares		

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

TOBIAS C RAY 508 W. WALL SUITE 800 MIDLAND, TX 79701

Executive Vice President/COO

# **Signatures**

C. Ray Tobias 12/09/2014

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This is a grant of restricted stock units under the issuer's long term incentive plan that will vest December 4, 2016.
- (2) This is a grant of restricted stock units under the issuer's long term incentive plan that will vest December 5, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2