Edgar Filing: Sprouts Farmers Market, Inc. - Form 4

Sprouts Farmers Market, Inc. Form 4 October 23, 2014 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Berlinski Donna Issuer Symbol Sprouts Farmers Market, Inc. [SFM] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner _X__ Officer (give title Other (specify 11811 N.TATUM BLVD, SUITE 10/21/2014 below) below) 2400 VP, Controller (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting PHOENIX, AZ 85028 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired (A) 5. Amount of 6. 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction Disposed of (D) Securities Ownership Indirect (Instr. 3) any Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial Direct (D) (Month/Day/Year) (Instr. 8) Owned Ownership Following or Indirect (Instr. 4) Reported (\mathbf{I}) (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code V Amount (D) Price Common Stock, par 10/21/2014 14,742 A 22,506 value Μ D \$0.001 per share Common Stock, par S⁽¹⁾ value 10/21/2014 14,742 D \$ 29.5 7,764 (2) D \$0.001 per share Common 10/21/2014 Μ 25,667 A \$ 33,431 D 3.3255 Stock, par

value \$0.001 per share							
Common Stock, par value \$0.001 per share	10/21/2014	S <u>(1)</u>	25,667	D	\$ 29.75	7,764 <u>(2)</u>	D
Common Stock, par value \$0.001 per share	10/21/2014	М	1,275	A	\$ 3.7755	9,039	D
Common Stock, par value \$0.001 per share	10/21/2014	S <u>(1)</u>	1,275	D	\$ 30	7,764 <u>(2)</u>	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration D (Month/Day)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (right to buy)	\$ 1.0864	10/21/2014		М	14,742	<u>(3)</u>	09/25/2018	Common stock, par value \$0.001 per share		
Stock Option (right to buy)	\$ 3.3255	10/21/2014		М	25,667	(3)	09/25/2018	Common stock, par value \$0.001	,	

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							per share	
Stock Option (right to buy)	\$ 3.7755	10/21/2014	М	1,275	<u>(4)</u>	07/23/2019	Common stock, par value \$0.001 per share	1,275

Reporting Owners

Reporting Person

Reporting Owner Name / Addre	55	Relationships						
repering of the rame , raure	Director	10% Owner	Officer	Other				
Berlinski Donna 11811 N.TATUM BLVD SUITE 2400 PHOENIX, AZ 85028			VP, Controller					
Signatures								
/s/ Donna Berlinski	10/23/2014							
<u>**</u> Signature of	Date							

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person.

Consists of 7,000 shares of common stock and 764 restricted stock units. Each restricted stock unit represents the right to receive, upon vesting, one share of common stock. These restricted stock units will vest over three years, with one-third vesting on March 4, 2015;

- (2) vesting, one share of common stock. These restricted stock units will vest over line years, with one-third vesting on March 4, 2015; and the remaining one-third vesting on March 4, 2017, assuming continued employment through the applicable vest date.
- (3) All such options are presently exercisable.

The reporting person is exercising options that are presently exercisable. Of the remaining options, 3,516 are presently exercisable, 4,125
(4) become exercisable in equal installments at the end of each calendar quarter through June 30, 2015 and 5,500 options become exercisable at the end of each of fiscal 2014, provided certain corporate performance targets are met.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.