WORTHINGTON INDUSTRIES INC

Form 4 July 01, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person * MCCONNELL JOHN P/OH

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol **WORTHINGTON INDUSTRIES**

INC [WOR]

(Middle)

3. Date of Earliest Transaction (Month/Day/Year)

_X__ Director 10% Owner Other (specify X_ Officer (give title below)

(Check all applicable)

Chairman/Chief Exec Officer

OMB APPROVAL

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

OMB

Number:

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response...

WORTHINGTON INDUSTRIES. INC., 200 OLD WILSON BRIDGE ROAD

(First)

(Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

06/30/2014

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

COLUMBUS, OH 43085

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							icially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)		5. Amount of Securities Beneficially Owned Following	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(I) (Instr. 4)	
Common Shares	06/30/2014		A	22,000 (1)	A	\$0	1,459,337	D	
Common Shares	06/30/2014		F	10,091 (2)	D	\$ 43.04	1,449,246	D	
Common Shares							12,415,982	I	By JDEL, Inc.
Common Shares							2,428,312	I	By the Porter Rardin Trust

f/b/o John P.

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			McConnell and Margaret Kollis				
Common Shares	5,212 (4)	I	As custodian for his son, C.R.McConnell				
Common Shares	4,431 <u>(4)</u>	I	By Spouse, Amy McConnell, as custodian for her son, Luke A. Edmonds (4)				
Common Shares	118,000	I	By The McConnell Family Trust				
Common Shares	255,875	I	By The Margaret R. McConnell Trust f/b/o Margaret Kollis				
Common Shares	23,402 (5)	I	By the Worthington Industries, Inc. Deferred Profit Sharing Plan (5)				
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.							

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SEC 1474

(9-02)

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4,	Derivative Expiration Date Securities (Month/Day/Year) Acquired (A) or Disposed of D)		7. Title and Amour of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amous or Numb of Sha
Employee stock	\$ 43.04	06/30/2014		A	17,000	06/30/2015 <u>(6)</u>	06/29/2024	common shares	17,00

option (right to purchase)

Reporting Owners

Reporting Owner Name / Address	Relationships						
4	Director	10% Owner	Officer	Other			
MCCONNELL JOHN P/OH WORTHINGTON INDUSTRIES, INC. 200 OLD WILSON BRIDGE ROAD COLUMBUS, OH 43085	X		Chairman/Chief Exec Officer				

Signatures

/S/ John P. McConnell by Dale T. Brinkman, as attorney-in-fact

07/01/2014

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) An award of restricted stock was granted pursuant to the Worthington Industries, Inc. Amended and Restated 1997 Long-Term Incentive Plan. The restricted stock will vest on the third anniversary i.e. June 30, 2017.
- (2) Represents shares withheld upon the vesting of restricted stock in order to satisfy the reporting person's tax withholding obligation upon such vesting.
- These Common Shares are held of record by JDEL, Inc., a Delaware corporation ("JDEL"). JDEL is a wholly-owned sbusidiary of (3) JMAC, Inc., a private investment company substantially owned, directly or indirectly, by John P. McConnell and certain entities with
- whom John P. McConnell is affiliated.
- (4) The account is enrolled in the Issuer's dividend reinvestment plan and the amount listed includes the number of Common Shares reflected in the most up-to-date information available.
- (5) Amount listed is the most up-to-date information available regarding holdings in the Company Plan Fund which invests in Common Shares of the Company.
- This non-qualified stock option was granted pursuant to the Worthington Industries, Inc. 2010 Stock Option Plan. Date listed is the first day any portion of the option will vest. Additional portions of 33.33% of the option vest annually on 6/30/2016 and 6/30/2017. The last day to exercise out of this option grant is 6/29/2024.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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