

Dumbleton Sonia Marie
Form 3
May 17, 2013

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB
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(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Dumbleton Sonia Marie
(Last) (First) (Middle)

220 LIBERTY STREET

(Street)

WARSAW,Â NYÂ 14569

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)
05/08/2013

3. Issuer Name **and** Ticker or Trading Symbol
FINANCIAL INSTITUTIONS INC [FISI]

4. Relationship of Reporting
Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)
Senior Vice President

5. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group
Filing(Check Applicable Line)
☒ Form filed by One Reporting
Person
☐ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

2,883

D Â

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and
Expiration Date
(Month/Day/Year)

Date Expiration
Exercisable Date

3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

Title Amount or
Number of

4. Conversion
or Exercise
Price of
Derivative
Security

5. Ownership
Form of
Derivative
Security:
Direct (D)
or Indirect

6. Nature of Indirect
Beneficial
Ownership
(Instr. 5)

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| | | | | Shares | | (I) (Instr. 5) | |
|-----------------------------|------------|------------|--------------|--------|----------|-------------------|---|
| Stock Option (right to buy) | 02/04/2008 | 02/04/2014 | Common Stock | 982 | \$ 23.8 | D | Â |
| Stock Option (right to buy) | 02/23/2009 | 02/23/2015 | Common Stock | 1,314 | \$ 21.05 | D | Â |
| Stock Option (right to buy) | 07/26/2010 | 07/26/2016 | Common Stock | 1,200 | \$ 19.75 | D | Â |
| Stock Option (right to buy) | 07/25/2011 | 07/25/2017 | Common Stock | 1,200 | \$ 19.41 | D | Â |
| Stock Option (right to buy) | 07/07/2012 | 07/07/2018 | Common Stock | 600 | \$ 15.85 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|-------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Dumbleton Sonia Marie 220 LIBERTY STREET WARSAW, NY 14569 | Â | Â | Â Senior Vice President | Â |

Signatures

/s/ Michael D. Grover, by power of attorney

05/17/2013

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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