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### Edgar Filing: HANMI FINANCIAL CORP - Form 3

## HANMI FINANCIAL CORP Form 3 December 21, 2012 FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB approval

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person <u>*</u> Yoon Shick				2. Date of Event Requ Statement (Month/Day/Year)		<sup>3</sup> 3. Issuer Name <b>and</b> Ticker or Trading Symbol HANMI FINANCIAL CORP [HAFC]				
	(Last)	(First)	(Middle)	12/21/2012		4. Relationship of Reporting Person(s) to Issuer		5. If Amendment, Date Original Filed(Month/Day/Year)		
3660 WILSHIRE BLVD. SUITE PH-A					(Check	all applicable)				
(Street) LOS ANGELES, CA 90010			90010		X Officer (give title belo	Director10% Owner XOfficerOther (give title below) (specify below) Interim CFO		<ul> <li>6. Individual or Joint/Group</li> <li>Filing(Check Applicable Line)</li> <li>_X_ Form filed by One Reporting</li> <li>Person</li> <li> Form filed by More than One</li> <li>Reporting Person</li> </ul>		
	(City)	y) (State) (Zip) <b>Table I - Non-Derivative Securities Beneficially Owned</b>								
1.Title of Security (Instr. 4)					ount of Securities cially Owned 4)	3.4. Nature of Indirect BeneficialOwnershipOwnershipForm:(Instr. 5)Direct (D)or Indirect(I)(Instr. 5)				
Co	ommon Sto	ock		5,811		D	Â			
Reminder: Report on a separate line for each owned directly or indirectly.				ch class of securities b	eneficially S	lly SEC 1473 (7-02)				
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#### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Expiration Date	Title	Derivative Security	Security: Direct (D)	

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	Date Exercisable			Amount or Number of Shares		or Indirect (I) (Instr. 5)				
Stock Option of Common Stock	12/12/2012	12/12/2022 <u>(1)</u>	Stock Option of Common Stock	5,500	\$ 12.54	D	Â			
Reporting Owners										
Reporting Owner Na	Director 10	Relationships rector 10% Owner Officer Oth								
Voon Shiele										

Yoon Shick 3660 WILSHIRE BLVD. SUITE PH-A Â Â Â Interim CFO Â LOS ANGELES, CAÂ 90010

# Signatures

/S/ Shick Yoon 12/21/2012

<u>\*\*</u>Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Stock option shall be vested and exercisable over a period of 4 years beginning December 12, 2012, with 25% of the shares vesting on
- (1) each anniversary of the Vesting Commencement Date until fully vested. This Stock option shall expire and shall no longer be exercisable ten years from the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.