INTRICON CORP Form 4

December 07, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Washington, D.C. 20549

if no longer subject to Section 16. Form 4 or Form 5

Check this box

SECURITIES obligations

See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

may continue.

1. Name and Address of Reporting Person * Longval Scott

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

OMB APPROVAL

Other (specify

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

(Last)

(City)

(First) (Middle) INTRICON CORP [IIN] 3. Date of Earliest Transaction

(Month/Day/Year)

12/05/2016

(Check all applicable) Director 10% Owner

C/O INTRICON CORPORATION, 1260 RED FOX **ROAD**

(Street)

(State)

(Zip)

4. If Amendment, Date Original

Chief Financial Officer

X_ Officer (give title

below)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Filed(Month/Day/Year)

Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

ARDEN HILLS, MN 55112

| | | Tubi | Tubic 1 Tion Delivative Securities required, Disposed on, or Beneficiary 6 when | | | | | | | |
|------------|---------------------|--------------------|---|------------------------------|-----|--------------|------------------|--------------|------------|--|
| 1.Title of | 2. Transaction Date | 2A. Deemed | 3. | 4. Securities Acquired | | 5. Amount of | 6. Ownership | 7. Nature of | | |
| Security | (Month/Day/Year) | Execution Date, if | Transactio | ransaction(A) or Disposed of | | | Securities | Form: Direct | Indirect | |
| (Instr. 3) | • | any | Code | (D) | | | Beneficially | (D) or | Beneficial | |
| | | (Month/Day/Year) | (Instr. 8) | (Instr. 3, 4 and 5) | | | Owned | Indirect (I) | Ownership | |
| | | | | | | Following | (Instr. 4) | (Instr. 4) | | |
| | | | | | () | | Reported | | | |
| | | | | | (A) | | Transaction(s) | | | |
| | | | C = V | | or | ъ. | (Instr. 3 and 4) | | | |
| | | | Code V | Amount | (D) | Price | | | | |
| Common | 12/05/2016 | | M | 2,500 | A | \$ | 24,006 | D | | |
| Stock | 12/03/2010 | | 141 | (1) | 11 | 5.35 | 21,000 | D | | |
| | | | | 2 000 | | | | | | |
| Common | 12/05/2016 | | F | 2,090 | D | \$64 | 21,916 | D | | |
| Stock | 12/03/2010 | | 1 | (1) | ט | ψ 0.τ | 21,710 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | 6. Date Exerc Expiration D (Month/Day/ | ate | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | 3 (|
|---|---|--------------------------------------|---|---|---------|--|--------------------|---|--|-----|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Option to Purchase - Common Stock | \$ 5.35 | 12/05/2016 | | M | 2,500 | (2) | 12/11/2016 | Common Stock | 2,500 | |

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Longval Scott C/O INTRICON CORPORATION 1260 RED FOX ROAD ARDEN HILLS, MN 55112

Chief Financial Officer

Signatures

/s/ Scott Longval, attorney-in-fact

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations, See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) "Cashless Exercise" Resulting In Net Acquisition of 410 Shares
- (2) This Option is Fully Vested and May Be Exercised Immediately.

Remarks:

The filing of this Statement shall not be construed as an admission (a) that the person filing this Statement is, for the purposes Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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