AGENUS INC Form 8-K July 19, 2016

#### **UNITED STATES**

# SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

#### FORM 8-K

# **CURRENT REPORT**

Pursuant to Section 13 or 15(d)

of The Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): July 14, 2016

#### **AGENUS INC.**

(Exact name of registrant as specified in its charter)

DELAWARE (State or other jurisdiction of incorporation) 000-29089 (Commission File Number) 06-1562417 (IRS Employer Identification No.)

3 Forbes Road

02421

# Edgar Filing: AGENUS INC - Form 8-K

# Lexington, MA (Address of principal executive offices) Registrant s telephone number, including area code: 781-674-4400

(Zip Code)

N/A

(Former name or former address, if changed since last report.)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

# Item 5.02 Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.

On July 14, 2016, C. Evan Ballantyne, Chief Financial Officer of Agenus Inc. (Agenus), informed Agenus of his intention to resign effective as of July 29, 2016. Christine M. Klaskin, Agenus Vice President, Finance and principal accounting officer, will retain her roles and assume the role of principal financial officer. Ms. Klaskin has served in various finance roles at Agenus since 1996. Additional biographical information about Ms. Klaskin is contained in Agenus prior filings with the Securities and Exchange Commission.

# **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: July 19, 2016 AGENUS INC.

By: /s/ Garo H. Armen, Ph.D. Garo H. Armen, Ph.D. Chief Executive Officer and Chairman of the Board