Edgar Filing: NIACARIS JEANNINE - Form 4

**NIACARIS JEANNINE** 

Form 4

September 09, 2002

#### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

\_ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

**OMB APPROVAL** 

OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response. . .0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

Filed By Romeo & Dye's Instant Form 4 Filer www.section16.net

(Print or Type Responses)

|                                 |               |   |   | er Name <b>and</b><br>Corporation (                    |          | Person(s) to Issuer (Ch _ Director 10% Owner X Officer (gi Other (specif | to Issuer (Check all applicable) _ Director                            |   |   |  |  |
|---------------------------------|---------------|---|---|--|----------|--|--|---|---|--|--|
|                                 |               |   |   | S. Identification<br>orting Person<br>ntity (voluntary | ,        | Mon<br>9/5/0<br>5. If<br>Date  | Amendment, of Original nth/Day/Year)                                   | (Check Appl X Form filed Person Form filed                | 7. Individual or Joint/Group Filing (Check Applicable Line)  X Form filed by One Reporting Person  Form filed by More than One Reporting Person |  |  |
| (City)                          | (State) (Zip) |   |   | Table I No   | n Dorive | tivo Socu  | rities Acquired T  | hisposod of or Ro   | eneficially Owned   |  |  |
| 1. Title of Security (Instr. 3) |               |   | 4. Securities Acquired ( Disposed of (D) (Instr. 3, 4 & 5)  V Amount (A) or |  |          |  | 5. Amount of<br>Securities<br>Beneficially<br>Owned at<br>End of Month | 6. Owner-ship Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect<br>Beneficial Ownership<br>(Instr. 4)   |  |  |
| Common Stock(1)                 | 06/28/02      | A | V   | 694  | (D)      | \$3.89   | (Instr. 3 & 4)<br>1,45   | 1 D   |   |  |  |
|                                 |               |   |   |  |          |  |  |   |   |  |  |
|                                 |               |   |   |  |          |  |  |   |   |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Over) SEC 1474

<sup>\*</sup> If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number

### FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

|            |  |           |  |            |           |             | arrants, of    |            |                |             |            | 1            |                    |             |
|------------|--|-----------|--|------------|-----------|-------------|----------------|------------|----------------|-------------|------------|--------------|--------------------|-------------|
|            | 2. Conver-                                       |           |  |            |           |             |                |            | 7. Title and   |             |            | 9. Number    | 10.                | 11. Nature  |
| Derivative | sion or  |           | Trans  |            |           |             | and Expiration |            | Amount of      |             |            | of           | Owner-             | of Indirect |
| ,          |  | Date      | action Derivative                                    |            |           |             | Underlying     |            | Security       | Derivative  | ship       | Beneficial   |                    |             |
|            | Price of   |           | Code Securities                                      |            | es        | (Month/Day/ |                | Securities |                | (Instr. 5)  | Securities | Form         | Ownership          |             |
| (Instr. 3) | Derivative                                       | (Month/   | Ionth/ Acquired Year) (Instr. 3 & 4)  (Instr. 3 & 4) |            | Acquire   | ed          | Year)          |            | (Instr. 3 & 4) |             |            | Beneficially | of Deriv-          | (Instr. 4)  |
|            | Security   | Day/      |  |            |           |             |                |            |                |             |            | Owned at     | ative<br>Security: |             |
|            |  | Year)     |  |            |           |             |                |            |                |             |            |              |                    |             |
|            |  |           |  |            |           |             | Month          | Direct     |                |             |            |              |                    |             |
|            |  |           |  |            |           |             |                |            |                |             |            | (Instr. 4)   | (D)                |             |
|            |  |           |  |            | (Instr. 3 | 3, 4        |                |            |                | (2115121 1) | or         |              |                    |             |
|            |  |           |  |            | & 5)      |             |                |            | ļ              |             |            |              | Indirect           |             |
|            |  |           | Code   | _          | _         | (D)         | Date           | Expira-    | Title          | Amount      |            |              | (I)                |             |
|            |  |           | Coue   | ľ          | (A)       |             |                | tion       |                | or          |            |              | (Instr. 4)         |             |
|            |  |           |  |            |           |             |                | Date       |                | Number      |            |              |                    |             |
|            |  |           |  |            |           |             |                | Date       |                | of          |            |              |                    |             |
|            |  |           |  |            |           |             |                |            |                | Shares      |            |              |                    |             |
| E1         | ¢0.22  | 6/27/02   | Α  | <b>3</b> 7 | 30,000    |             | (2)            | (107/10    | Common         |             |            | 1.45.000     | D                  |             |
| Employee   | \$8.23   | 0/2//02   | Α  | ľ          | 30,000    |             | (2)            |            |                | 30,000      |            | 145,000      | D                  |             |
| Stock      |  |           |  |            |           |             |                |            | Stock          |             |            |              |                    |             |
| Option     |  |           |  |            |           |             |                |            |                |             |            |              |                    |             |
| (right to  |  |           |  |            |           |             |                |            |                |             |            |              |                    |             |
| buy)       |  | 0.17.10.0 |  |            | 60.000    |             | (2)            | 0.15.11.0  | ~              | 60.000      |            | 207.000      |                    |             |
| Employee   | \$3.76   | 9/5/02    | Α  |            | 60,000    |             | (3)            |            | Common         | 60,000      |            | 205,000      | D                  |             |
| Stock      |  |           |  |            |           |             |                |            | Stock          |             |            |              |                    |             |
| Option     |  |           |  |            |           |             |                |            |                |             |            |              |                    |             |
| (right to  |  |           |  |            |           |             |                |            |                |             |            |              |                    |             |
| buy)       |  |           |  |            |           |             |                |            |                |             |            |              |                    |             |
|            |  |           |  |            |           |             |                |            |                |             |            |              |                    |             |
|            |  |           |  |            |           |             |                |            |                |             |            |              |                    |             |
|            |  |           |  |            |           |             |                |            |                |             |            |              |                    |             |
|            |  |           |  |            |           |             |                |            |                |             |            |              |                    |             |
| <u> </u>   | <del>                                     </del> |           |  | _          |           |             |                |            |                |             |            |              |                    |             |
|            |  |           |  |            |           |             |                |            |                |             |            |              |                    |             |
|            |  |           |  |            |           |             |                |            |                |             |            |              |                    |             |
|            |  |           |  |            |           |             |                |            |                |             |            |              |                    |             |
|            |  |           |  |            |           |             |                |            |                |             |            |              |                    |             |

Explanation of Responses:

<sup>(3)</sup> The option is exercisable in a series of 48 consecutive monthly installments commencing September 5, 2002 provided the optionee continues to provide services to the company.

| <u>Jeannine Niacaris</u>        | 9/09/02 |
|---------------------------------|---------|
| **Signature of Reporting Person | Date    |

<sup>\*\*</sup>Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, See Instruction 6 for procedure.

<sup>(1)</sup> Acquired under the 1996 Employee Stock Purchase Plan.

<sup>(2)</sup> The option is exercisable in a series of 48 consecutive monthly installments commencing January 1, 2002 provided the optionee continues to provide services to the company.

#### Edgar Filing: NIACARIS JEANNINE - Form 4

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.