Philip Morris International Inc.

Form 4 July 05, 2017

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

**OMB** 3235-0287

Washington, D.C. 20549 Check this box if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Number: January 31, Expires: 2005

**OMB APPROVAL** 

subject to Section 16. Form 4 or Form 5 obligations

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

**SECURITIES** 

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

Stock

(Print or Type Responses)

1 Name and Address of Departing De

1. Name and Ad FERRAGAN	Symbol				5. Relationship of Reporting Person(s) to Issuer			
	Philip M	Philip Morris International Inc. [PM]			(Check all applicable)			
(Last)	(First) (N		3. Date of Earliest Transaction					
120 PARK A	(Month/D 06/30/20	-		X Director Officer (gives) below)		Owner or (specify		
	(Street)	4. If Amer	4. If Amendment, Date Original			6. Individual or Joint/Group Filing(Check		
NEW YORK	K, NY 10017	Filed(Mon	th/Day/Year)		Applicable Line) _X_ Form filed by Form filed by Person	One Reporting Po		
(City)	(State)	(Zip) Table	e I - Non-D	erivative Securities Ac	equired, Disposed	of, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Data (Month/Day/Year)		3. Transactic Code (Instr. 8)	4. Securities onAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or Amount (D) Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Stock					$2,600 \frac{(1)}{}$	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: Philip Morris International Inc. - Form 4

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)		4. Transact		umbe			7. Title and I Underlying S		8. Price of Derivative
Security (Instr. 3)	or Exercise Price of Derivative Security	(Month/Day/Teal)	(Month/Day/Year)	Code (Instr. 8)	Der Sec Acc (A) Disp of (	oosed D) tr. 3, 4	e (Month/Day/Year) (In		(Instr. 3 and		Security (Instr. 5)
				Code V	/ (A	) (D	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock Units	<u>(2)</u>	06/30/2017		A	28		<u>(4)</u>	<u>(4)</u>	Common Stock	287	\$ 117.74 (5)

### **Reporting Owners**

Reporting Owner Name / Address	Relationships						
1 8	Director	10% Owner	Officer	Other			
FERRAGAMO MASSIMO 120 PARK AVENUE NEW YORK, NY 10017	X						

# **Signatures**

Jerry Whitson for Massimo Ferragamo

07/05/2017

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Deferred shares held under the Philip Morris International Inc. 2017 Stock Compensation Plan for Non-Employee Directors.
- (2) Phantom Stock Units convert to common stock on a 1-for-1 basis.
- (3) These units were acquired on June 30, 2017, pursuant to the Philip Morris International Inc. 2008 Deferred Fee Plan for Non-Employee Directors.
- (4) These units are to be settled in cash upon the reporting persons termination as a member of the Issuer's Board of Directors.
- (5) The average of the high and low price of Philip Morris International Inc. common stock on June 30, 2017.
- (6) Share equivalents held in the Philip Morris International Inc. 2008 Deferred Fee Plan for Non-Employee Directors.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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