Edgar Filing: Emmett Dan A - Form 4/A

Emmett Dan A Form 4/A January 22, 2013OMB APPROVALFORM 4UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549OMB APPROVALCheck this box if no longer subject to sction 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIESOMB APPROVALFiled pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1(b).Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 30(h) of the Investment Company Act of 1940Filed Pursuant of 1940 Section 1940Cher trye Response.USAUSACompany Act of 1940 Section 1940Cher trye Response.USAUSAUSACher trye Response.USAUSACher trye Response.USAUSACher trye Response.USAUSACher trye Response.USACher trye Response.USACher trye Response.USACher trye Response.USACher trye trye Response.USACher trye Response.USACher trye trye Response.USACher trye trye Response.USACher trye trye trye trye trye trye trye tr									
1. Name and Emmett Da	Address of Reporting Person $\frac{s}{2}$ in A	2. Issuer Name a Symbol Douglas Emm			0	5. Relationship of Reporting Person(s) to Issuer			
· ·			t Transaction	1]		(Check all applicable) X_ DirectorX_ 10% Owner X_ Officer (give title Other (specify below) below) Chairman of the Board			
SANTA M	(Street) ONICA, CA 90401	4. If Amendment, Filed(Month/Day/Y 01/22/2013	-	ıl		6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State) (Zip)	Table I - No	n-Derivative	Secur	ities Acqu	ired, Disposed of,	or Beneficiall	y Owned	
1.Title of Security (Instr. 3)	Security (Month/Day/Year) Execution Date, if			(A) or	D) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	01/17/2013	Code S <u>(1)</u>	V Amount 82,143 (2)	(D) D	Price \$ 23.636	4,433,114	I <u>(3)</u>	See footnote 6.	
Common Stock	01/17/2013(7)	S <u>(1)</u>	32,857 (4)	D	\$ 23.636	4,300,257	I <u>(3)</u>	See footnote 6.	
Common Stock	01/18/2013(8)	S <u>(1)</u>	17,857 (2)	D	\$ 23.545	4,282,400	I <u>(3)</u>	See footnote 6.	
Common Stock	01/18/2013(8)	S <u>(1)</u>	7,143 (4)	D	\$ 23.545	4,275,257 <u>(5)</u>	I <u>(6)</u>	See footnote	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,	5	ate	7. Title Amou Under Securi (Instr.	int of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	4, and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Emmett Dan A 808 WILSHIRE BOULEVARD SUITE 200 SANTA MONICA, CA 90401	Х	Х	Chairman of the Board				
Signatures							
/s/ Ben D. Orlanski by PA for Dan A. Emmett			/22/2013				
**Signature of Reporting Person							

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported herein were effected pursuant to Rule 10b5-1 trading plans entered into by Reporting Person and an entity affiliated with Reporting Person as of December 18, 2012.
- (2) Shares of Issuer's common stock ("Shares") sold by the Dan A. Emmett Revocable Living Trust (the "Trust").
- (3) See footnote 6.

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(4) Shares sold by Rivermouth Partners, a California limited partnership ("Rivermouth"). The Reporting Person is the chief executive officer and president of the general partner of Rivermouth.

Following the sales reported herein and subject to the disclaimer in footnote 6, Reporting Person continued to beneficially own

(5) 13,776,152 common stock equivalents, including common stock, partnership common units (OP Units") of Douglas Emmett Properties, LP, and long term incentive plan units ("LTIP Units"). Upon the occurrence of certain events, OP Units and LTIP Units are redeemable, without consideration, by the holder for an equivalent number of Shares or for the cash value of such Shares, at Issuer's election.

Ownership: 3,447,507 Shares owned by the Trust; 315,750 Shares owned by the Emmett Foundation, a CA charitable organization, and disclaimed by Reporting Person; 440,000 Shares owned by Rivermouth and disclaimed by Reporting Person except to the extent of his pecuniary interest therein; 72,000 Shares owned by certain trusts f/b/o Reporting Person's spouse and children of which the Reporting

- (6) Person is a trustee and has voting and investment power but disclaims beneficial ownership. Beneficial ownership of derivative securities: 9,486,475 OP Units of which Reporting Person disclaims, except to the extent of his pecuniary interest there, 1,537,288 OP Units held by Rivermouth and 810,126 OP Units held by trusts for his spouse and children; 14,420 LTIP Units some of which are subject to vesting, and 274,355 vested stock options (right to buy). See also footnote 5.
- (7) This Form 4/A is filed solely to correct an inadvertent mistake in the transaction date which should be 01/17/2013. The date entered was 07/17/2013 on the Form 4.
- (8) This Form 4/A is filed solely to correct an inadvertent mistake in the transaction date which should be 01/18/2013. The date entered was 07/18/2013 on the Form 4.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.