#### Edgar Filing: FULLER H B CO - Form 3

FULLER H B CO Form 3 March 13, 2007

### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement FULLER H B CO [FUL]  **MORETTI MONICA** (Month/Day/Year) 03/05/2007 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 1200 WILLOW LAKE (Check all applicable) BOULEVARD, P.O. BOX 64683 10% Owner Director \_X\_\_ Officer (Street) Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) VP and Chief Marketing Officer \_X\_ Form filed by One Reporting Person ST. PAUL. MNÂ 55164-0683 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial Ownership Beneficially Owned Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Common Stock  $1,828.25 \frac{(1)}{}$ I By 401(k) Plan Common Stock 9.084.79 (2) (3) D Â Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

required to respond unless the form displays a

currently valid OMB control number.

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date (Month/Day/Year)

2. Date Exercisable and Securities Underlying Derivative Security

3. Title and Amount of 4.

5. 6. Nature of Indirect Conversion Ownership Portugity Or Exercise Form of Ownership

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			(Instr. 4)		Price of	Derivative	(Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Option (Right-to-Buy)	(4)	12/03/2013	Common Stock	5,082	\$ 13.65	D	Â
Employee Stock Option (Right-to-Buy)	(5)	12/02/2014	Common Stock	7,136	\$ 14.49	D	Â
Employee Stock Option (Right-to-Buy)	(6)	12/01/2015	Common Stock	16,776	\$ 16.015	D	Â
Employee Stock Option (Right-to-Buy)	(7)	12/04/2016	Common Stock	9,646	\$ 26.79	D	Â

### **Reporting Owners**

Reporting Owner Name / Address	Keiauonsnips				
	Director	10% Owner	Officer	Other	

MORETTI MONICA 1200 WILLOW LAKE BOULEVARD P.O. BOX 64683 ST. PAUL, MNÂ 55164-0683

 $\hat{A}$   $\hat{A}$   $\hat{A}$  VP and Chief Marketing Officer  $\hat{A}$ 

### **Signatures**

/s/ Timothy J. Keenan, Attorney-in-Fact 03/13/2007

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 401(k) Plan: This amount includes shares and dividends acquired during the fiscal year pursuant to the H.B. Fuller Company Thrift Plan.
- 2000 Stock Incentive Plan: 5,950 restricted shares were awarded pursuant to H.B. Fuller Company's 2000 Stock Incentive Plan. This amount includes 776 restricted shares that vest in two equal annual installments beginning April 15, 2007; 1,118 restricted shares that vest effective December 2, 2007 and 4,056 restricted shares that vest effective December 1, 2008. Total amount also includes restricted shares acquired pursuant to a dividend accrual feature of the 2000 Stock Incentive Plan.
- Amended and Restated 2000 Stock Incentive Plan: 2,499 restricted shares were awarded pursuant to H.B. Fuller Company's Amended and Restated 2000 Stock Incentive Plan and will vest effective December 4, 2009. Amount also includes restricted shares acquired pursuant to a dividend accrual feature of the Amended and Restated 2000 Stock Incentive Plan.
- (4) 2000 Stock Incentive Plan: This option vests in four equal annual installments beginning on December 3, 2004.
- (5) 2000 Stock Incentive Plan: This option vests in four equal annual installments beginning on December 2, 2005.
- (6) 2000 Stock Incentive Plan: This option vests in four equal annual installments beginning on December 1, 2006.
- (7) Amended and Restated 2000 Stock Incentive Plan: This option vests in four equal annual installments beginning on December 4, 2007. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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