

NETLOGIC MICROSYSTEMS INC

Form 3

January 25, 2007

FORM 3**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB
Number: 3235-0104Expires: January 31,
2005Estimated average
burden hours per
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Maghsoudnia Mozafar

(Last) (First) (Middle)

2. Date of Event Requiring
Statement

(Month/Day/Year)

01/17/2007

3. Issuer Name and Ticker or Trading Symbol

NETLOGIC MICROSYSTEMS INC [NETL]

4. Relationship of Reporting
Person(s) to Issuer5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

Vice President, Manufacturing

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting
Person☐ Form filed by More than One
Reporting Person

1875 CHARLESTON ROAD

(Street)

MOUNTAIN

VIEW,Â CAÂ 94043

(City) (State) (Zip)

Table I - Non-Derivative Securities Beneficially Owned1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

15,315

D

Â

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative
Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)Date Exercisable Expiration
Date3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)Title Amount or
Number of4. Conversion
or Exercise
Price of
Derivative
Security5. Ownership
Form of
Derivative
Security:
Direct (D)
or Indirect6. Nature of Indirect
Beneficial
Ownership
(Instr. 5)

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				Shares		(I) (Instr. 5)	
Stock Option (right to buy)	07/15/2003 ⁽¹⁾	07/14/2003	Common Stock	6,500	\$ 2	D	Â
Stock Option (right to buy)	10/07/2003 ⁽²⁾	10/06/2013	Common Stock	4,500	\$ 2	D	Â
Stock Option (right to buy)	06/01/2004 ⁽³⁾	05/31/2014	Common Stock	6,250	\$ 13	D	Â
Stock Option (right to buy)	07/01/2005 ⁽⁴⁾	07/08/2014	Common Stock	8,750	\$ 12	D	Â
Stock Option (right to buy)	10/27/2005 ⁽⁵⁾	10/26/2014	Common Stock	5,000	\$ 6.04	D	Â
Stock Option (right to buy)	04/20/2006 ⁽⁶⁾	04/19/2015	Common Stock	5,000	\$ 12.65	D	Â
Stock Option (right to buy)	04/26/2006 ⁽⁷⁾	04/25/2015	Common Stock	5,000	\$ 12.49	D	Â
Stock Option (right to buy)	09/15/2006 ⁽⁸⁾	09/14/2015	Common Stock	3,225	\$ 21.41	D	Â
Stock Option (right to buy)	12/31/2007 ⁽⁹⁾	10/10/2015	Common Stock	2,530	\$ 18.1	D	Â
Stock Option (right to buy)	09/15/2006 ⁽¹⁰⁾	09/14/2015	Common Stock	525	\$ 21.41	D	Â
Stock Option (right to buy)	10/10/2006 ⁽¹¹⁾	10/09/2015	Common Stock	1,220	\$ 18.1	D	Â
Stock Option (right to buy)	12/30/2005 ⁽¹⁶⁾	12/29/2015	Common Stock	1,983	\$ 27.24	D	Â
Stock Option (right to buy)	12/30/2005 ⁽¹⁶⁾	12/29/2015	Common Stock	6,517	\$ 27.24	D	Â
Stock Option (right to buy)	01/01/2008 ⁽¹²⁾	02/06/2016	Common Stock	2,328	\$ 35.11	D	Â
Stock Option (right to buy)	02/07/2007 ⁽¹³⁾	02/06/2016	Common Stock	5,547	\$ 35.11	D	Â
Stock Option (right to buy)	01/01/2010 ⁽¹⁴⁾	07/31/2016	Common Stock	610	\$ 23.4	D	Â
Stock Option (right to buy)	08/01/2007 ⁽¹⁵⁾	07/31/2016	Common Stock	3,015	\$ 23.4	D	Â

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer

Other

Maghsoudnia Mozafar
1875 CHARLESTON ROAD
MOUNTAIN VIEW, CA 94043

Â Â Â Vice President, Manufacturing Â

Signatures

/s/ Mozafar
Maghsoudnia

01/25/2007

Signature of Reporting
Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 4,563 shares of this option are vested and exercisable, the remaining 1,937 options will vest and become exercisable in equal monthly installments through June 30, 2007.
- (2) 3,563 shares of this option are vested and exercisable, the remaining 937 options will vest and become exercisable in equal monthly installments through October 31, 2007.
- (3) 3,906 shares of this option are vested and exercisable, the remaining 2,344 options will vest and become exercisable in equal monthly installments through June 30, 2008.
- (4) 5,286 shares of this option are vested and exercisable, the remaining 3,464 options will vest and become exercisable in equal monthly installments through July 31, 2008.
- (5) 2,708 shares of this option are vested and exercisable, the remaining 2,292 options will vest and become exercisable in equal monthly installments through October 31, 2008.
- (6) 2,083 shares of this option are vested and exercisable, the remaining 2,917 options will vest and become exercisable in equal monthly installments through April 30, 2009.
- (7) 2,083 shares of this option are vested and exercisable, the remaining 2,917 options will vest and become exercisable in equal monthly installments through April 30, 2009.
- (8) 645 shares of this option are vested and exercisable, the remaining 2,580 options will vest and become exercisable in equal monthly installments through September 30, 2009.
- (9) This option will vest and become exercisable in equal monthly installments through October 31, 2009.
- (10) This option was fully vested as of September 15, 2006.
- (11) 1,093 shares of this option are vested and exercisable, the remaining 127 options will vest and become exercisable in equal monthly installments through December 31, 2007.
- (12) This option will vest and become exercisable in equal monthly installments through February 28, 2010.
- (13) This option will vest and become exercisable with respect to 25% of the shares subject to the option on February 7, 2007 and with respect to 1/36 of the remaining shares subject to such option at the end of each calendar month thereafter.
- (14) This option will vest and become exercisable in equal monthly installments through August 31, 2010.
- (15) This option will vest and become exercisable with respect to 25% of the shares subject to the option on February 7, 2007 and with respect to 1/36 of the remaining shares subject to such option at the end of each calendar month thereafter.
- (16) This option was fully vested on December 30, 2005.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.