JOHNSON TIM C Form 5

February 14, 2003

SEC Form 5

## **OMB APPROVAL** FORM 5 UNITED STATES SECURITIES AND EXCHANGE COMMISSION [ ] Check this box if no Washington, D.C. 20549 longer subject to Section 16. Form 4 OMB Number: 3235-0362 or Form 5 obligations may Expires: January 31, 2005 ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP continue. Estimated average burden See Instruction 1(b). hours per response. . . . . 1.0 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section [ ] Form 3 Holdings 17(a) of the Public Utility Reported Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940 [ ] Form 4 Transactions Reported Name and Address of Reporting Person\* Issuer Name 4. Statement for 6. Relationship of Reporting Person(s) Johnson, Tim C. and Ticker or Trading Symbol Month/Year to Issuer (Check all applicable) Natus Medical Incorporated 12/31/2002 (Last) (First) BABY X Director \_ 10% Owner (Middle) X Officer (give title below) \_ Other c/o Natus Medical Incorporated (specify below) 1501 Industrial Road 3. I.R.S. Identification 5. If Amendment, Number of Reporting Date of Original Description **Chief Executive** Person, if an entity (Street) (Month/Year) Officer, COO and President (voluntary) San Carlos. CA 94070 7. Individual or Joint/Group (City) (State) (Zip) Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of Security 2.Transaction 2A. Deemed 3. 4. Securities 5. Amount of 6. Owner-7. Nature of (Instr. 3) Execution Date, if Transaction Acquired (A) or Securities Indirect Date ship (Month/Day/Year) any Code Disposed Of (D) Beneficially Form: Beneficial (Month/Day/Year) (Instr. 8) Owned at end Direct (D) Ownership (Instr. 3, 4, and 5) Indirect Issuer's Fiscal (Instr. 4) Year (Instr. 3 and (Instr. 4) Amount A/D Price Common Stock 04/30/2002 04/30/2002 М 1,748 (1) / A / \$4.038 Common Stock 08/29/2002 08/29/2002 G 6,000 (2) / D / \$ Common Stock 08/29/2002 08/29/2002 G 6,000 (2) / D / \$ Common Stock 08/29/2002 08/29/2002 G 6,000 (2) / D / \$ Common Stock 08/29/2002 08/29/2002 G 6,000 (2) / A / \$ Common Stock 08/29/2002 08/29/2002 G 6,000 (2) / A / \$ By self for Common Stock 08/29/2002 08/29/2002 G 6,000 (2) / A / \$ 27,600 ı children Common Stock 10/31/2002 10/31/2002 М 2,352 (1) / A / \$2.975 250.698 D

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)										
1. Title of Derivative Security (Instr. 3)	sion or Exercise Price of Deri-	Transaction Date (Month/ Day/ Year)	3A. Deemed Execution Date, if any  (Month/ Day/ Year)	Transaction Code (Instr.8)	of Derivative Securities	and	Amount of Underlying Securities (Instr. 3	8. Price of Derivative Security (Instr.5)	Derivative Securities Beneficially Owned at End of Year Reported Transaction(s) (Instr.4)	Securities:
					A or	DE / ED	Title / Amount or Number of Shares			

## **Explanation of Responses:**

1	1)	Shares issued	nursuant to the	Issuer's Employe	e Stock Purchase Plan.
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(2) Shares held by Mr. Johnson's minor children.

By: Date:

<u>/s/ Tim C. Johnson</u> <u>02/11/2003</u>

Tim C. Johnson, Chief Executive Officer, COO & President

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not

required to respond unless the form displays a currently valid OMB Number.

SEC 2270 (09-02)

 $<sup>^{\</sup>star}$  If the form is filed by more than one reporting person, see Instruction 4(b)(v).

<sup>\*\*</sup> Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).