HP INC Form 3 November 12, 2015

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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SECURITIES

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement HP INC [HPO]  **BINNS ROBERT HUGH** (Month/Day/Year) 11/01/2015 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O HP INC., 1501 PAGE (Check all applicable) MILL ROAD (Street) 6. Individual or Joint/Group 10% Owner Director \_X\_\_ Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting HGT and IR, Treasurer Person PALO ALTO, Â CAÂ 94304 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock 23,410 D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Date Exercisable and Expiration	3. Title and Amount of	4.	5.	6. Nature of
Security	Date	Securities Underlying	Conversion	Ownership	Indirect Beneficial
(Instr. 4)	(Month/Day/Year)	Derivative Security	or Exercise	Form of	Ownership
		(Instr. 4)	Price of	Derivative	(Instr. 5)
	Date Exercisable Expiration Date	Title	Derivative	Security:	
			Security	Direct (D)	

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				Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Employee Stock Option (right to buy)	05/22/2007(1)	05/22/2016(3)	Common Stock	1,212	\$ 25.1	D	Â
Employee Stock Option (right to buy)	09/27/2012(1)	09/27/2019(3)	Common Stock	4,610	\$ 23.59	D	Â
Employee Stock Option (right to buy)	12/07/2012(1)	12/07/2019(3)	Common Stock	2,436	\$ 28.41	D	Â
Employee Stock Option (right to buy)	04/23/2013(1)	04/23/2020(3)	Common Stock	4,500	\$ 24.27	D	Â
Employee Stock Option (right to buy)	12/06/2013(1)	12/06/2020(3)	Common Stock	5,625	\$ 13.83	D	Â
Employee Stock Option (right to buy)	12/11/2014(1)	12/11/2021(3)	Common Stock	6,000	\$ 26.99	D	Â
Employee Stock Option (right to buy)	09/17/2015(1)	12/10/2022(3)	Common Stock	7,500	\$ 37.36	D	Â
Employee Stock Option (right to buy)	10/30/2016(2)	10/30/2023(3)	Common Stock	41,733	\$ 26.96	D	Â
Restricted Stock Units	(4)(6)	(6)	Common Stock	2,079.5669	\$ <u>(5)</u>	D	Â
Restricted Stock Units	(7)	(7)	Common Stock	9,949.8868	\$ <u>(5)</u>	D	Â
Restricted Stock Units	(8)	(8)	Common Stock	2,394.1251	\$ <u>(5)</u>	D	Â
Restricted Stock Units	(4)(9)	(9)	Common Stock	5,105.4174	\$ <u>(5)</u>	D	Â
Restricted Stock Units	(10)	(10)	Common Stock	13,909	\$ <u>(5)</u>	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships			
<b>FG</b>	Director	10% Owner	Officer	Other
BINNS ROBERT HUGH C/O HP INC.	Â	Â	HGT and IR, Treasurer	Â
1501 PAGE MILL ROAD PALO ALTO, CA 94304				

## **Signatures**

Katie Colendich as Attorney-in-Fact for Robert H.
Binns
11/12/2015

\*\*Signature of Reporting Person Date

Reporting Owners 2

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### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This option became exercisable beginning on this date.
- (2) This option will become exercisable in three equal annual installments beginning on this date.
- (3) This option is no longer exercisable beginning on this date.
- On 07/29/15 the Issuer announced approved amendments to certain outstanding long-term incentive awards that were originally scheduled to vest between 09/18/15 and 12/31/15, to provide for the accelerated vesting on 09/17/15.
- (5) Each restricted stock unit represents a contingent right to receive one share of the Issuer's common stock.
- On 12/11/13 the reporting person was granted 6,000 restricted stock units ("RSUs"), 2,000 of which vested on 12/11/14, 2,000 of which vested early on 09/17/15, and 2,000 of which will vest on 12/11/16. Dividend equivalent rights accrue with respect to these RSUs when and as dividends are paid on the Issuer's common stock. The number of derivative securities in column 5 includes 79.5669 vested dividend equivalent rights accrued but not released since the grant date.
- On 06/16/14 the reporting person was granted 14,550 RSUs, 4,850 of which vested on 06/16/15, and 4,850 of which will vest on each of 06/16/16 and 06/16/17. Dividend equivalent rights accrue with respect to these RSUs when and as dividends are paid on the Issuer's common stock. The number of derivative securities in column 5 includes 249.8868 vested dividend equivalent rights accrued but not released since the grant date.
- On 06/16/14 the reporting person was granted 3,500 RSUs, 1,166 of which vested on 06/16/15, and 1,167 of which will vest on each of 06/16/16 and 06/16/17. Dividend equivalent rights accrue with respect to these RSUs when and as dividends are paid on the Issuer's common stock. The number of derivative securities in column 5 includes 60.1251 vested dividend equivalent rights accrued but not released since the grant date.
- On 12/10/14 the reporting person was granted 7,500 RSUs, 2,500 of which vested early on 09/17/15, and 2,500 of which will vest on each of 12/10/16 and 12/10/17. Dividend equivalent rights accrue with respect to these RSUs when and as dividends are paid on the Issuer's common stock. The number of derivative securities in column 5 includes 105.4174 vested dividend equivalent rights accrued but not released since the grant date.
- On 10/30/15 the reporting person was granted 13,909 RSUs, 4,636 of which will vest on each of 10/30/16 and 10/30/17, and 4,637 of which will vest on 10/30/18. Dividend equivalent rights accrue with respect to these RSUs when and as dividends are paid on the Issuer's common stock

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.