Edgar Filing: Seidelmann Scott Peter - Form 4

| Seidelmann S Form 4 | cott Peter | | | | | | | | | |
|--|---|--|---|--|--|---------|---|--|-----------|--|
| Form 4 February 15, 2 | 2019 | | | | | | | | | |
| FORM | Л | | | | | | | | PPROVAL | |
| | UNITED | | CURITIES A Washington, | | | NGE (| COMMISSION | OMB Number: | 3235-0287 | |
| Check this if no longe subject to Section 16 Form 4 or | er STATEM | ENT OF CH | ANGES IN I SECURI | Expires:January 31 200Estimated average burden hours per response0. | | | | | | |
| Form 5 obligation may contin <i>See</i> Instruct 1(b). | s Section 17(a | suant to Section 16(a) of the Securities Exchange Act of 1934, a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | |
| (Print or Type R | esponses) | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> Seidelmann Scott Peter | | | ssuer Name and ool NICELL, Inc | | | g | 5. Relationship of Reporting Person(s) to Issuer | | | |
| (Least) | (Einst) (M | | | - · | J | | (Chec | k all applicabl | e) | |
| (Last) (First) (Middle) 590 E. MIDDLEFIELD ROAD | | | te of Earliest Tra th/Day/Year) 3/2019 | insaction | | | Director 10% Owner X Officer (give title Other (specify below) below) below) EVP, Chief Commercial Officer | | | |
| | (Street) | | Amendment, Dat (Month/Day/Year) | e Original | | | 6. Individual or Joint/Group Filing(CheckApplicable Line)_X_ Form filed by One Reporting Person | | | |
| MOUNTAIN | VIEW, CA 940 | 43 | | | | | Form filed by N Person | Nore than One R | eporting | |
| (City) | (State) (| Zip) | Fable I - Non-Do | erivative S | Securi | ties Ac | quired, Disposed of | f, or Beneficia | lly Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | Execution Date any | 3. Transactic Code ear) (Instr. 8) Code V | Disposed (Instr. 3, | l (A) o l of (D 4 and (A) or |) | Securities Beneficially Owned | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | |
| Common Stock | | | Code | Timount | | Thee | 15,856 | D | | |
| Common Stock | 02/13/2019 | | А | 6,991 (3) | A | \$0 | 22,847 | D | | |
| Common Stock | 02/13/2019 | | А | 6,082 (1) | А | \$0 | 28,929 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|--|--|--|--------------------|---|-------------------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock Options NQ (Right to Buy) | \$ 78.91 | 02/13/2019 | | A | 25,518 (2) | 02/13/2020 | 02/12/2029 | Common Stock | 25,518 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--|---------------|-----------|-------------------------------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| Seidelmann Scott Peter 590 E. MIDDLEFIELD ROAD MOUNTAIN VIEW, CA 94043 | | | EVP, Chief Commercial Officer | | | | |
| Signatures | | | | | | | |

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/s/ Scott P. Seidelmann 02/15/2019 **Signature of Date Reporting Person

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v). *
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted Stock Units shall vest semiannually on 6/15 and 12/15 over a 4 year period.
- (2) NQ Options shall vest ratably over 48 month period, with a year cliff.

The Performance-based restricted stock unit awards vest as to 25% of the shares on the date of the Compensation Committee meeting in 2020 when the Committee reviews the performance-based metrics and determines if they were met or not with the remaining shares

(3) vesting on a semi-annual basis over a period of thirty-six months commencing on June 15, 2020 if the Company meets certain stock performance objectives compared to the NASDAQ Healthcare Index. The actual number of performance based stock awards that vest may be 0%, 50% or 100% of the numbers reflected above, depending upon the Company's performance.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.