Edgar Filing: Battles Michael Louis - Form 4

Battles Mich	hael Louis										
Form 4											
April 03, 20	18										
FORM	ΛΔ								OMB AF	PPROVAL	
	UNITEI) STATES		RITIES A shington,			NGE C	COMMISSION	OMB Number:	3235-0287	
Check th	nis box		vva	sinington,	D.C. 20	347				January 31,	
if no lon	- NIATH	MENT O	F CHAN	GES IN I	RENEF	ICIA	LOW	NERSHIP OF	Expires:	2005	
subject t Section	.0			SECURITIES					Estimated average		
Form 4 c				SECONTES					burden hours per response		
Form 5	Filed p	ursuant to	Section 1	6(a) of the	e Securit	ies E	xchang	e Act of 1934,	10000100	0.5	
obligatio	ons Section 17						-	1935 or Section	1		
may con See Instr	lunue.			vestment	•	· ·					
1(b).					_						
(Print or Type	Responses)										
1 Name and	Address of Departin	a Darson *	0 T		T . 1			5 Delationship of	Doporting Dor	on(s) to	
				r Name and Ticker or Trading				5. Relationship of Reporting Person(s) to Issuer			
Duties wife	inder Louis		Symbol CLEAN	HARBO	PS INC	ICU	L II				
<i></i>						[CL	11]	(Check	k all applicable	;)	
(Last)	(First)	(Middle)		f Earliest Tra	ansaction			D. (100	0	
42 LONGW	VATER DRIVE		(Month/E 04/01/2	-				Director 10% Owner X Officer (give title Other (specify			
42 LONG V	VATER DRIVE		04/01/2	010				below)	below)		
								EV	/P and CFO		
(Street) 4. If Ame			endment, Date Original				6. Individual or Joint/Group Filing(Check				
			Filed(Mor	nth/Day/Year))			Applicable Line))na Danastina Da	***	
NODWELI	MA 02061							_X_ Form filed by C Form filed by M			
NOKWELI	L, MA 02061							Person			
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of	2. Transaction Da	ate 2A. Dee	med	3.	4. Securi	ties A	cquired	5. Amount of	6. Ownership	7. Nature of	
Security	(Month/Day/Year	on Date, if Transaction(A) or Disposed of (D)					Securities	Form: Direct (D) or			
(Instr. 3) any (Mont			Code (Instr. 3, 4 and 5)					Beneficially Owned	Beneficial Ownership		
		(WORTH)	Day/Year)	(Instr. 8)				Following	Indirect (I) (Instr. 4)	(Instr. 4)	
						(A)		Reported			
						or		Transaction(s) (Instr. 3 and 4)			
				Code V	Amount	(D)	Price	(11150. 5 and 4)			
Common	04/01/2018			А	5,000	А	\$ 0	54,341	D		
Stock (1)					,			,			
Common Stock (2)	04/02/2018			F	1,547	D	\$ 48.81	52,794	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	;	ate	7. Titl Amou Under Secur (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	5	Relationships							
	Director	10% Owner	Officer	Other					
Battles Michael Louis 42 LONGWATER DRIVE NORWELL, MA 02061			EVP and CFO						
Signatures									
Michael Battles 0	4/02/2018								

**Signature of Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Date

- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted Stock Award vesting as to one-third on April 1, 2019, April 1, 2020 and April 1, 2021.
- (2) Surrender of shares for tax liability upon vesting of restricted stock

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.