CUBIC CORP/DE/

Form 4

August 30, 2013

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading ZABLE WALTER C Issuer Symbol CUBIC CORP /DE/ [CUB] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction \_X\_\_ 10% Owner (Month/Day/Year) \_X\_ Director \_X\_\_ Officer (give title \_ Other (specify 9333 BALBOA AVENUE 08/28/2013 below) Exec. Chairman of the Board (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting SAN DIEGO, CA 92123 Person

| (City)                               | (State)                              | (Zip) Tab   | le I - Non-                            | Derivative Sec  | curitie | s Acqu     | ired, Disposed of  | , or Beneficia   | lly Owned   |
|--------------------------------------|--------------------------------------|---|--|---|---------|------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securities and Dipor Disposed of (Instr. 3, 4 and Amount | of (D)  | ed (A)     | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Common<br>Stock                      | 08/28/2013                           |   | J <u>(1)</u>                           | 1,902,999   | D       | <u>(1)</u> | 229,297  | I  | Zable<br>Survivors<br>Trust (3)                       |
| Common<br>Stock                      | 08/28/2013                           |   | J <u>(2)</u>                           | 94,042  | A       | (2)        | 281,412  | I  | Zable<br>QTIP<br>Marital<br>Trust (3)                 |
| Common<br>Stock                      | 08/28/2013                           |   | J <u>(1)</u>                           | 2,964,821   | D       | <u>(1)</u> | 187,370  | I  | Zable<br>QTIP<br>Marital<br>Trust (3)                 |

#### Edgar Filing: CUBIC CORP /DE/ - Form 4

| Common<br>Stock |            |             |           |   |            | 32,593    | Ι | Zable<br>Reverse<br>QTIP<br>Marital (3)                |
|-----------------|------------|-------------|-----------|---|------------|-----------|---|--|
| Common<br>Stock |            |             |           |   |            | 16,108    | I | Zable Non<br>QTIP<br>Marital<br>Trust (3)              |
| Common<br>Stock |            |             |           |   |            | 232,746   | I | Trusts for<br>Reporting<br>Persons'<br>Children        |
| Common<br>Stock | 08/28/2013 | <u>J(1)</u> | 1,837,366 | A | <u>(1)</u> | 2,306,761 | I | The Walter<br>C. Zable<br>Trust<br>U/A/D<br>2/7/06 (5) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5.         | 6. Date Exer    | cisable and | 7. Title           | and    | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|------------|------------|-----------------|-------------|--------------------|--------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transact   | iorNumber  | Expiration D    | Date        | Amoun              | t of   | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day      | /Year)      | Underly            | ing    | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivativ  | re              |             | Securiti           | es     | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |            | Securities | S               |             | (Instr. 3          | and 4) |             | Owne   |
|             | Security    |                     |                    |            | Acquired   |                 |             |                    |        |             | Follo  |
|             |             |                     |                    |            | (A) or     |                 |             |                    |        |             | Repo   |
|             |             |                     |                    |            | Disposed   |                 |             |                    |        |             | Trans  |
|             |             |                     |                    |            | of (D)     |                 |             |                    |        |             | (Instr |
|             |             |                     |                    |            | (Instr. 3, |                 |             |                    |        |             |        |
|             |             |                     |                    |            | 4, and 5)  |                 |             |                    |        |             |        |
|             |             |                     |                    |            |            |                 |             |                    | Amount |             |        |
|             |             |                     |                    |            |            |                 |             |                    |        |             |        |
|             |             |                     |                    |            |            | Date Expiration |             | or<br>Title Number |        |             |        |
|             |             |                     |                    |            |            | Exercisable     | Date        |                    | of     |             |        |
|             |             |                     |                    | Code V     | / (A) (D)  |                 |             |                    | Shares |             |        |

# **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |         |       |  |  |
|--------------------------------|---------------|-----------|---------|-------|--|--|
|                                | Director      | 10% Owner | Officer | Other |  |  |

Reporting Owners 2

Edgar Filing: CUBIC CORP /DE/ - Form 4

ZABLE WALTER C 9333 BALBOA AVENUE SAN DIEGO, CA 92123

X Exec. Chairman of the Board

## **Signatures**

Angela L. Hartley, Attorney-in-fact

08/30/2013

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Stock held by Reporting Person as a co-trustee in a trust was distributed to Reporting Person's individual trust, where he is sole trustee.
- (2) Stock transferred from Zable Survivors' Trust to Zable QTIP Marital Trust. Reporting Person is co-trustee of both trusts. The Reporting Person disclaims beneficial ownership of these securities except to the extent of his pecuniary interest therein.
- (3) The reported securities are owned by the named trusts of which the Reporting Person is a co-trustee. The Reporting Person disclaims beneficial ownership of these securities except to the extent of his pecuniary interest therein.
- (4) The reported securities are held in 3 trusts for the Reporting Person's children, for which the Reporting Person is Trustee.
- (5) The Walter C. Zable Trust U/A/D 2/7/06, for which the Reporting Person is Trustee.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3