JTH Holding, Inc. Form 5 June 13, 2013

### FORM 5

#### **OMB APPROVAL**

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0362 Number:

no longer subject to Section 16. Form 4 or Form 5 obligations

Check this box if

January 31, Expires: 2005

1.0

#### ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Estimated average burden hours per response...

may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

Reported Form 4

Transactions

30(h) of the Investment Company Act of 1940

Reported

1. Name and Address of Reporting Person \* DAngelo Gordon

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

JTH Holding, Inc. [TAX]

(Check all applicable)

(Last) (First) 3. Statement for Issuer's Fiscal Year Ended

\_X\_ Director 10% Owner

(Month/Day/Year) 04/30/2013

Officer (give title below)

Other (specify below)

1716 CORPORATE LANDING **PARKWAY** 

(Street)

4. If Amendment, Date Original

6. Individual or Joint/Group Reporting

Filed(Month/Day/Year)

(check applicable line)

VIRGINIA BEACH, VAÂ 23454

\_X\_ Form Filed by One Reporting Person Form Filed by More than One Reporting

(City)

(State)

(Zip)

(Middle)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if

(Month/Day/Year)

3. Transaction Code (Instr. 8)

4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)

(A)

or

Amount (D) Price

5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year

(Instr. 3 and 4)

6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)

7. Nature of Indirect Beneficial Ownership (Instr. 4)

Reminder: Report on a separate line for each class of

securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

**SEC 2270** (9-02)

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: JTH Holding, Inc. - Form 5

Derivative or Disposed Security of (D) (Instr. 3, 4, and 5) Expiration Title (A) (D) Date Amount Exercisable Date or Number of Shares Restricted Class A Â  $3,166 \quad \hat{A} \quad \hat{A} \quad (1)$ Stock 08/01/2012 Â A  $\hat{\mathbf{A}}$   $\underline{^{(1)}}$ Common 3,166 Units Stock

### **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

DAngelo Gordon

1716 CORPORATE LANDING PARKWAY Â X Â Â

VIRGINIA BEACH, VAÂ 23454

# **Signatures**

Gordon 06/13/2013 D'Angelo

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This restricted stock unit award was granted August 1, 2012 and vests in full on December 1, 2013.
- (2) Each restricted stock unit represents the right to receive, at settlement, one share of Class A Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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