AMERIPRISE FINANCIAL INC

Form 4

February 06, 2007

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

subject to Section 16. Form 4 or Form 5

if no longer

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Estimated average burden hours per response...

OMB APPROVAL

obligations may continue. See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person * TRUSCOTT WILLIAM F

2. Issuer Name and Ticker or Trading

Issuer

Symbol

AMERIPRISE FINANCIAL INC [AMP]

(Check all applicable)

(First) (Middle)

3. Date of Earliest Transaction

Director 10% Owner Other (specify _X__ Officer (give title

5. Relationship of Reporting Person(s) to

(Month/Day/Year) 02/02/2006

below) Pres - U.S. Asset Mgmt, CIO

GENERAL COUNSEL'S **OFFICE, 1098 AMERIPRISE** FINANCIAL CENTER

(Street)

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

X Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

MINNEAPOLIS, MN 55474

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) Transactiom Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or Code V Amount (D) Price		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
Common Stock	02/02/2007		M	48,134	A	\$ 20.819	87,738	D		
Common Stock	02/02/2007		S	2,263	D	\$ 59.43	85,475	D		
Common Stock	02/02/2007		S	200	D	\$ 59.44	85,275	D		
Common Stock	02/02/2007		S	200	D	\$ 59.45	85,075	D		
	02/02/2007		S	10,876	D	\$ 59.46	74,199	D		

Common Stock								
Common Stock	02/02/2007	S	1,270	D	\$ 59.47	72,929	D	
Common Stock	02/02/2007	S	1,700	D	\$ 59.48	71,229	D	
Common Stock	02/02/2007	S	201	D	\$ 59.49	71,028	D	
Common Stock	02/02/2007	S	2,700	D	\$ 59.5	68,328	D	
Common Stock	02/02/2007	S	9,847	D	\$ 59.51	58,481	D	
Common Stock	02/02/2007	S	708	D	\$ 59.52	57,773	D	
Common Stock	02/02/2007	S	600	D	\$ 59.53	57,173	D	
Common Stock	02/02/2007	S	900	D	\$ 59.55	56,273	D	
Common Stock	02/02/2007	S	7,100	D	\$ 59.57	49,173	D	
Common Stock	02/02/2007	S	406	D	\$ 59.58	48,767	D	
Common Stock						57	I	By 401(k) Plan (1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 4

Employee Stock

Option \$ 20.819 02/02/2007 M 48,134 (2) 01/26/2013 Common Stock 48,134

(right to buy)

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

TRUSCOTT WILLIAM F GENERAL COUNSEL'S OFFICE 1098 AMERIPRISE FINANCIAL CENTER MINNEAPOLIS, MN 55474

Pres - U.S. Asset Mgmt, CIO

Signatures

/s/ David H. Weiser for William Fredrick
Truscott

02/06/2007

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
 - Estimate of the number of shares held in the reporting person's account in the Ameriprise Financial Stock Fund under the Ameriprise
- (1) Financial 401(k) plan as of December 31, 2006. This plan uses unit accounting and the number of shares that a participant is deemed to hold varies with the price of Ameriprise stock.
- (2) The stock option became exercisable as to 50% of the shares on each of January 27, 2006 and January 27, 2007.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3