

BALLY TECHNOLOGIES, INC.

Form 3

November 27, 2006

**FORM 3**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB  
Number: 3235-0104Expires: January 31,  
2005Estimated average  
burden hours per  
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF  
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting  
Person \*Â FOLLMER WILLIAM  
CURTISS

(Last) (First) (Middle)

749 MALLET ROAD

(Street)

VICKSBURG, Â MS Â 39180

(City) (State) (Zip)

2. Date of Event Requiring  
Statement(Month/Day/Year)  
10/25/20063. Issuer Name **and** Ticker or Trading Symbol  
BALLY TECHNOLOGIES, INC. [BYI]4. Relationship of Reporting  
Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner  
☒ Officer ☐ Other  
(give title below) (specify below)  
SVP & General Manager5. If Amendment, Date Original  
Filed(Month/Day/Year)6. Individual or Joint/Group  
Filing(Check Applicable Line)  
☒ Form filed by One Reporting  
Person  
☐ Form filed by More than One  
Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security  
(Instr. 4)2. Amount of Securities  
Beneficially Owned  
(Instr. 4)3. Ownership  
Form:  
Direct (D)  
or Indirect  
(I)  
(Instr. 5)4. Nature of Indirect Beneficial  
Ownership  
(Instr. 5)BYI Common Stock \$0.10 par value per  
share

2,620

D Â

BYI Common Stock \$0.10 par value per  
share

2,620

I Owned by spouse

Reminder: Report on a separate line for each class of securities beneficially  
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of  
information contained in this form are not  
required to respond unless the form displays a  
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

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1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
Date Exercisable	Expiration Date	Title	Amount or Number of Shares		
Employee Stock Options (right to buy)	Â <u>(1)</u> 12/11/2011	BYI Common Stock, \$0.10 par value per share	10,000	\$ 13.75	D Â
Employee Stock Options (right to buy)	Â <u>(1)</u> 12/03/2012	BYI Common Stock, \$.10 par value per share	20,000	\$ 17.35	D Â
Employee Stock Options (right to buy)	Â <u>(1)</u> 08/12/2013	BYI Common Stock, \$10 par value per share	20,000	\$ 21.53	D Â
Employee Stock Options (right to buy)	Â <u>(2)</u> 08/17/2014	BYI Common Stock, \$0.10 par value per share	20,000	\$ 13.86	D Â
Employee Stock Options (right to buy)	Â <u>(3)</u> 08/24/2015	BYI Common Stock, \$0.10 par value per share	20,000	\$ 12.07	D Â
Employee Stock Options (right to buy)	Â <u>(4)</u> 10/25/2016	BYI Common Stock, \$0.10 par value per share	20,000	\$ 19.47	D Â

## Reporting Owners

Reporting Owner Name / Address

Relationships

Reporting Owners

# Edgar Filing: BALLY TECHNOLOGIES, INC. - Form 3

	Director	10% Owner	Officer	Other
FOLLMER WILLIAM CURTISS			Â SVP &	
749 MALLET ROAD	Â	Â	General	Â
VICKSBURG,Â MSÂ 39180			Manager	

## Signatures

Curt Follmer 11/27/2006

Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Options are currently exercisable.
- (2) 13,333 of such options are currently exercisable. Remainder of options vest on 8/17/2007.
- (3) 6,666 of such options are currently exercisable. Remainder of options vest in 2 installments and become exercisable as follows: (i) 6,667 on 8/24/2007 and (ii) 6,667 on 8/24/2008.
- (4) Options vest in 4 equal installments and become exercisable as follows: (i) 5,000 on 10/25/2007, (ii) 5,000 on 10/25/2008, (iii) 5,000 on 10/25/2009 and (iv) 5,000 on 10/25/2010.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.  
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