#### Edgar Filing: UNITED NATURAL FOODS INC - Form 3

#### UNITED NATURAL FOODS INC

Form 3

December 20, 2007

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement UNITED NATURAL FOODS INC [UNFI]  **KOCH CARL FREDERICK** (Month/Day/Year) 12/06/2007 Ш 4. Relationship of Reporting (Last) (First) (Middle) 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 260 LAKE ROAD (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) Director 10% Owner \_X\_ Form filed by One Reporting X\_ Officer Other Person DAYVILLE, CTÂ 06241 (give title below) (specify below) Form filed by More than One VP. Human Resources Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Restricted Common Stock 5,400 (1) D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Date Exercisable and	3. Title and Amount of	4.	5.	<ol><li>Nature of Indirect</li></ol>
(Instr. 4)	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial
	(Month/Day/Year)	Derivative Security	or Exercise	Form of	Ownership
		(Instr. 4)	Price of	Derivative	(Instr. 5)
		Title	Derivative	Security:	
			Security	Direct (D)	

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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Employee Stock Option (right to buy)	12/03/2006	12/03/2012	Common Stock	3,750	\$ 12.55	D	Â
Employee Stock Option (right to buy)	12/03/2006	12/03/2013	Common Stock	914	\$ 18.655	D	Â
Employee Stock Option (right to buy)	12/03/2007	12/03/2013	Common Stock	6,586	\$ 18.655	D	Â
Employee Stock Option (right to buy)	12/01/2004	12/01/2014	Common Stock	8,500	\$ 28.14	D	Â
Employee Stock Option (right to buy)	12/08/2006	12/08/2015	Common Stock	500	\$ 25.37	D	Â
Employee Stock Option (right to buy)	(2)	12/08/2015	Common Stock	1,500	\$ 25.37	D	Â
Employee Stock Option (right to buy)	(3)	12/07/2016	Common Stock	3,000	\$ 36.6	D	Â
Employee Stock Option (right to buy)	(4)	12/06/2017	Common Stock	3,000	\$ 28.32	D	Â
Restricted Stock Unit	(5)	(5)	Common Stock	3,600	\$ 0	D	Â

### **Reporting Owners**

Reporting Owner Name / Address	Relationships					
<b></b>	Director	10% Owner	Officer	Othe		
KOCH CARL FREDERICK III 260 LAKE ROAD DAYVILLE, CT 06241	Â	Â	VP, Human Resources	Â		

## **Signatures**

/s/ Lisa N'Chonon (Power of Attorney, in fact) 12/20/2007

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The shares vest in four equal annual installments beginning on the first anniversary of the date of grant.
- (2) The employee stock option vests in three equal annual installments commencing on December 8, 2007.
- (3) The employee stock option vests in four equal annual installments commencing on December 7, 2007.
- (4) The employee stock option vests in four equal annual installments commencing on December 6, 2008.
- (5) The restricted stock units vest in four equal annual installments beginning on December 6, 2008.

Reporting Owners 2

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.