E TRADE FINANCIAL Corp Form 8-K September 08, 2014

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM 8-K

CURRENT REPORT Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of report: September 8, 2014 (Date of earliest event reported)

E*TRADE Financial Corporation (Exact name of Registrant as Specified in its Charter)

| Delaware | 1-11921 | 94-2844166 |
|---|--------------------------|--|
| (State or other jurisdiction of incorporation or organization) | (Commission File Number) | (I.R.S. Employer Identification Number) |
| 1271 Avenue of the Americas, 14 th Floor, New York, New York 10020 | | |
| (Address of Principal Executive C (646) 521-4300 | Offices and Zip Code) | |
| (Registrant's Telephone Number, | including Area Code | |

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

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Item 7.01 REGULATION FD DISCLOSURE

E*TRADE Financial Corporation (the "Company") is presenting at the Barclays Global Financial Services Conference today. During the conference, the Company will discuss its Daily Average Revenue Trades (DARTs) for the month of August. For August 2014, the Company reports DARTs of 146,165, a five percent decrease from July, and a one percent decrease from the year-ago period. The Company intends to issue its standard monthly activity report for August, on or about September 15, 2014. A copy of the Company's presentation is available on the Company's corporate website, <u>about.etrade.com</u>.

The Company also announced that its subsidiary, E*TRADE Bank, has received regulatory and Bank Board approval to dividend \$75 million of capital to the Company during the third quarter of 2014.

Investors should note that the Company announces material financial information in SEC filings, press releases and public conference calls. Based on guidance from the SEC, the Company may also use the Investor Relations section of its corporate website, <u>about.etrade.com</u>, to communicate with investors about the Company. It is possible that the financial and other information posted there could be deemed to be material information. The information on the Company's corporate website is not part of this document.

The information appearing in this Item 7.01 shall not be deemed "filed" for purposes of Section 18 of the Securities Exchange act of 1934, or otherwise subject to the liabilities of that section.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

E*TRADE FINANCIAL CORPORATION

Date: September 8, 2014 By: /s/ Karl A. Roessner

Name:Karl A. RoessnerTitle:Corporate Secretary